

Substrate RF Non-Linear Characterization and Modeling

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Outline

Introduction / Context / Motivation

- Why linearity?
- Substrates for RF

Physics of RF substrates

- Charge Balance
- Trap Passivation
- Dynamic Effects (finite time constants)

Modelling (CPW lines)

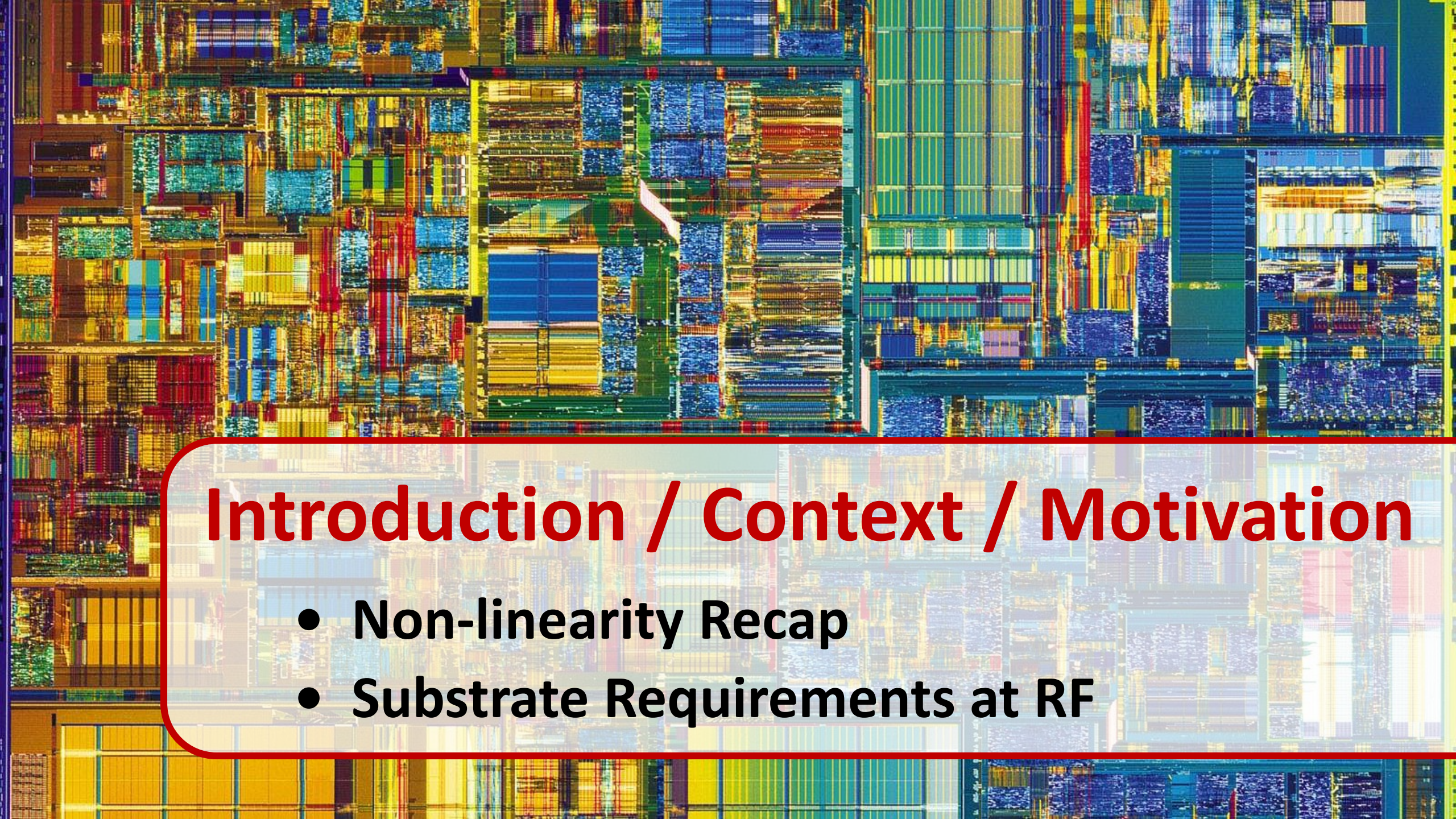
- Instantaneous substrate impedance
- Correlation to measurements

Modelling of arbitrary layouts

- Approach and initial results

TCAD + T-line theory

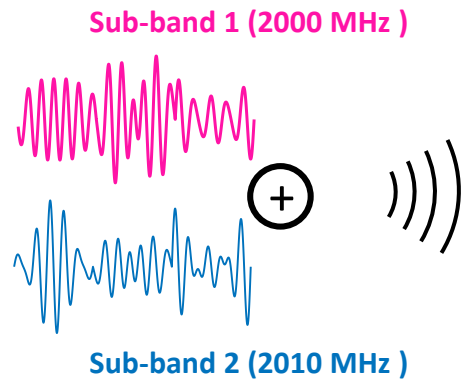
TCAD + EM simulations



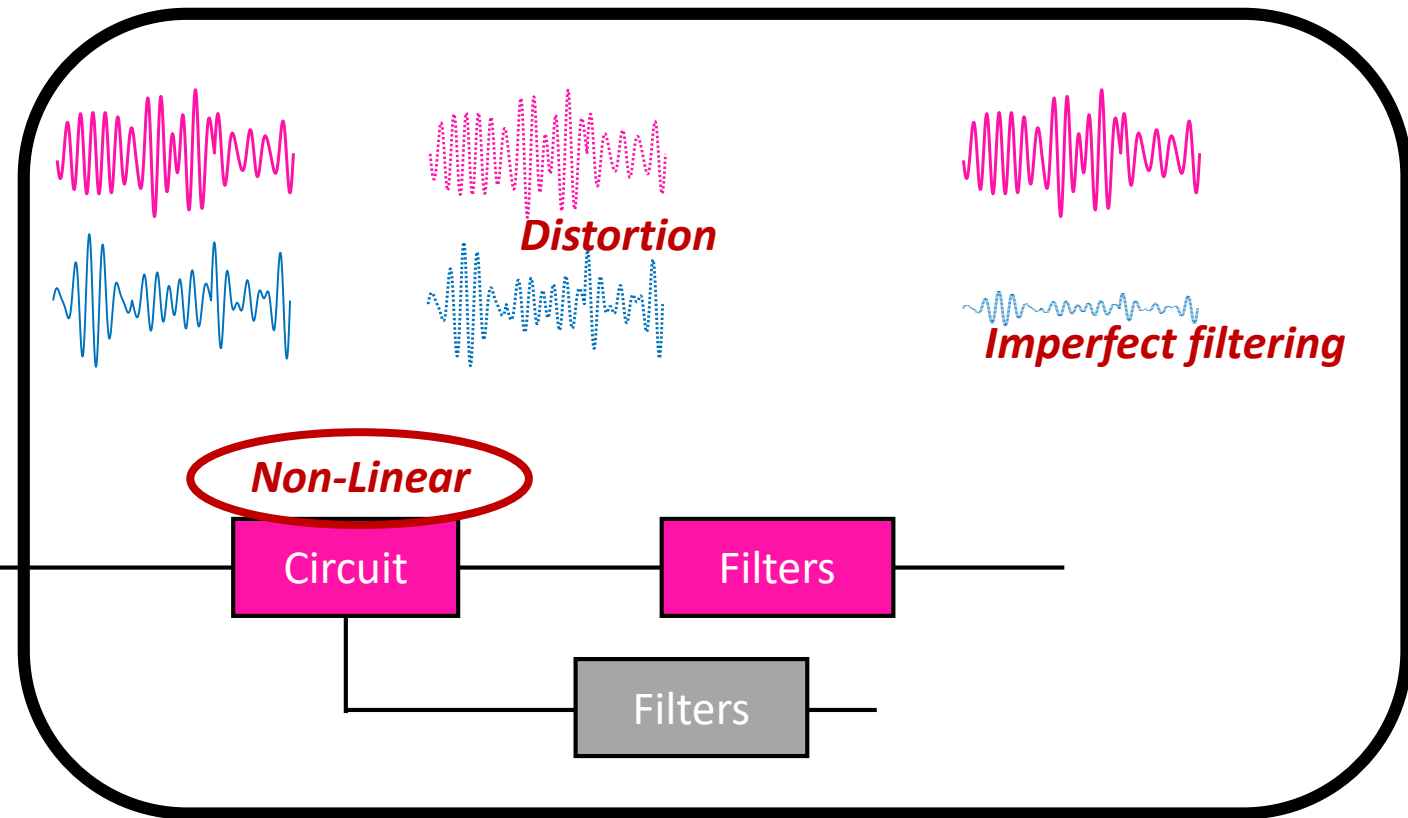
Introduction / Context / Motivation

- Non-linearity Recap
- Substrate Requirements at RF

Signal Distortion / System Linearity



Antenna



Non-linearity = spectral “smearing”.

⇒ adjacent band spectral contamination

⇒ Ever more stringent **linearity** specifications for FEMs

Network	Linearity IIP3 [dBm]
2G	55
3G	65
4G LTE	72
4G LTE + CA	Up to 90

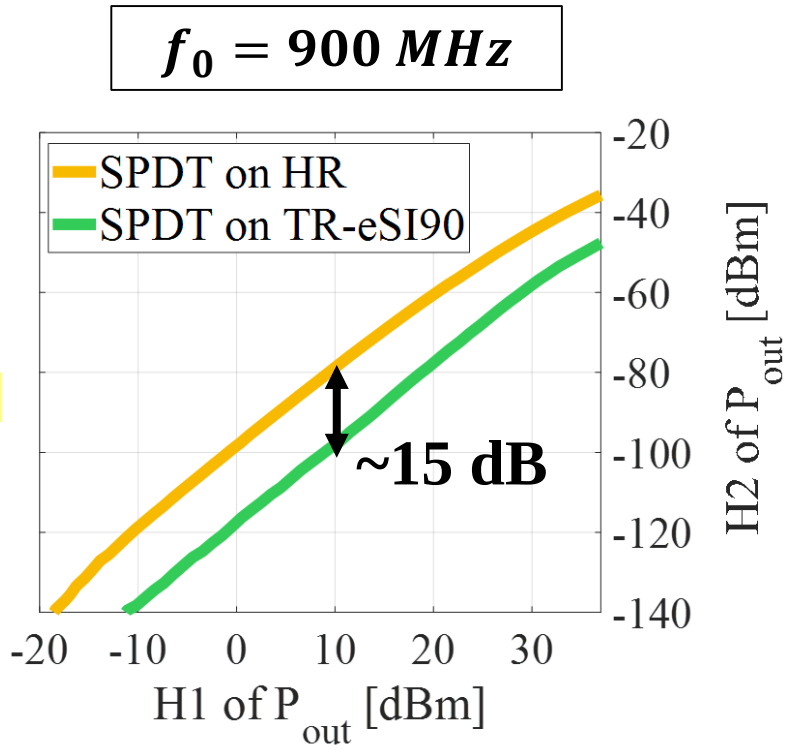
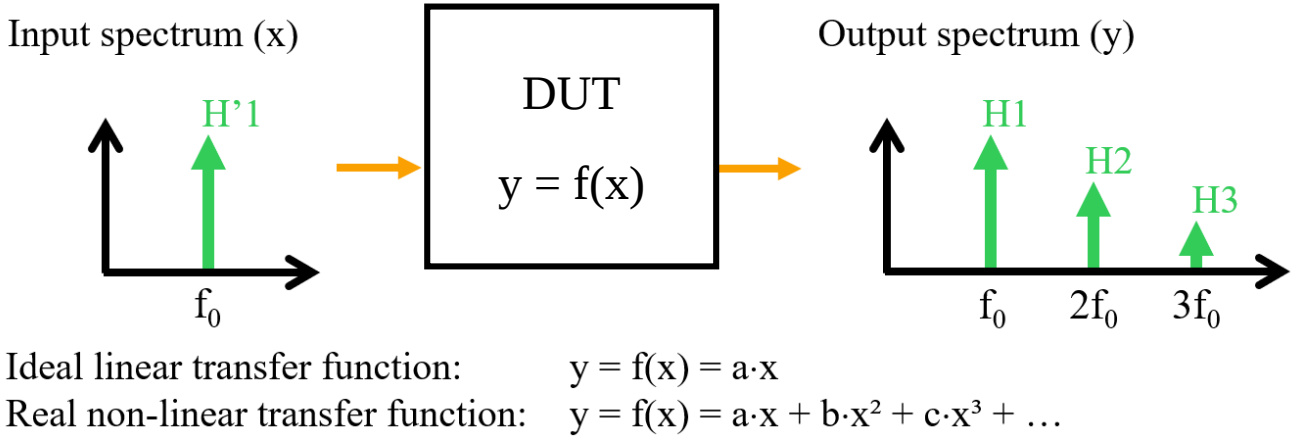
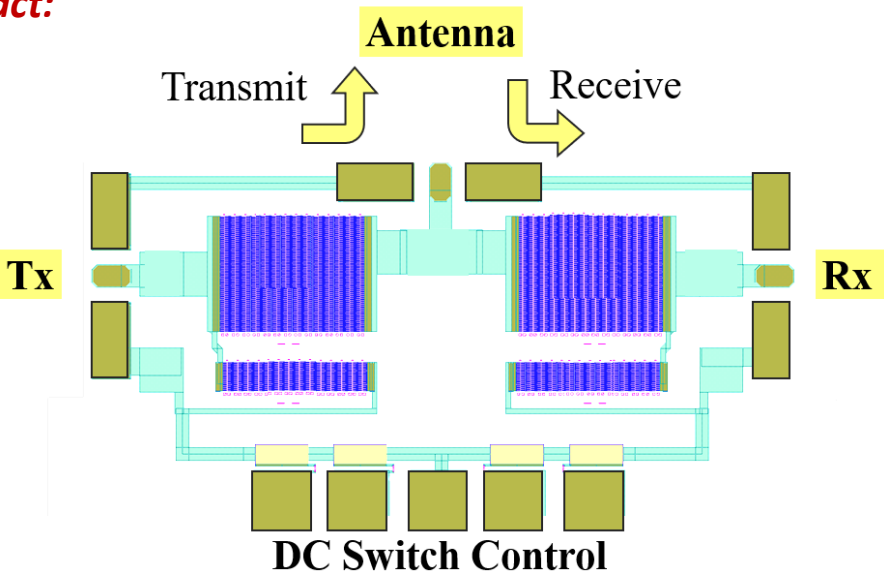
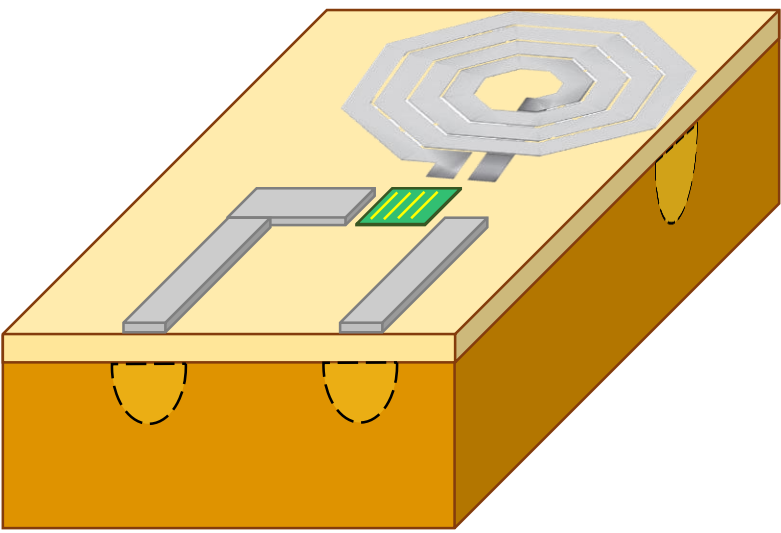
Linearity Requirements by Network Standard

Substrate Requirements for RF

Substrate FoMs:

- Losses / coupling / ρ_{eff}
(TCAD+EM non-uniform material stack)
- Linearity / HD → talk's focus

Example of substrate impact:



Substrate Requirements for RF

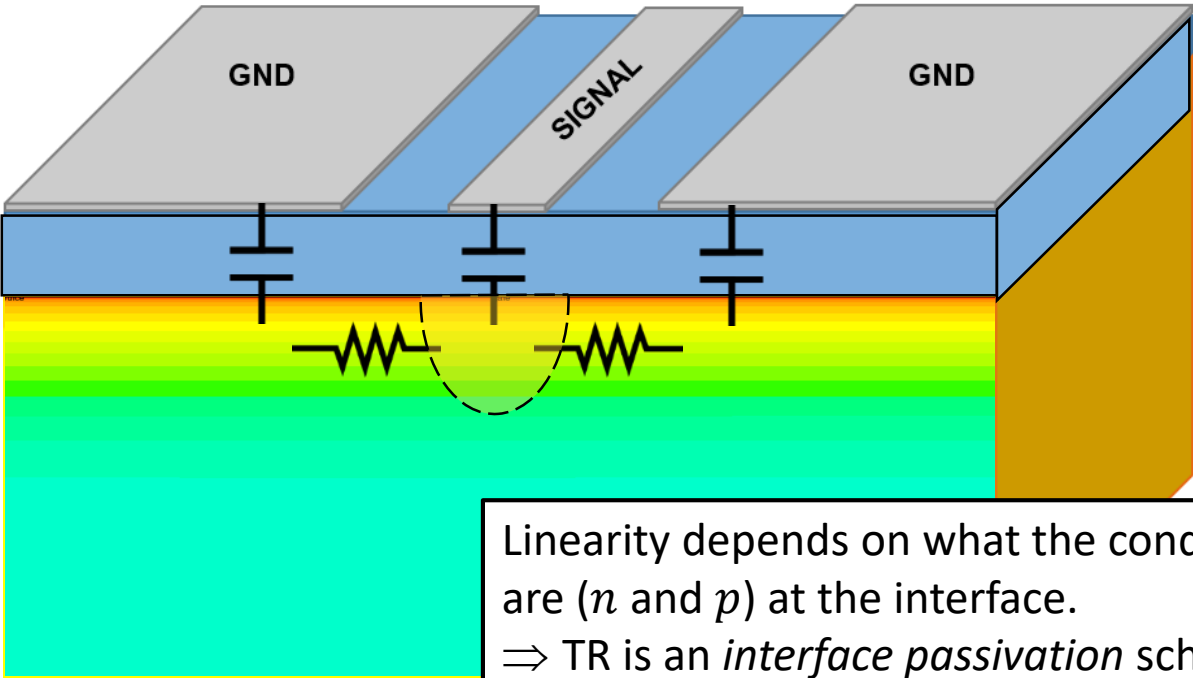
Standard-Si vs. High-Res. vs. Trap-Rich

Substrate FoMs:

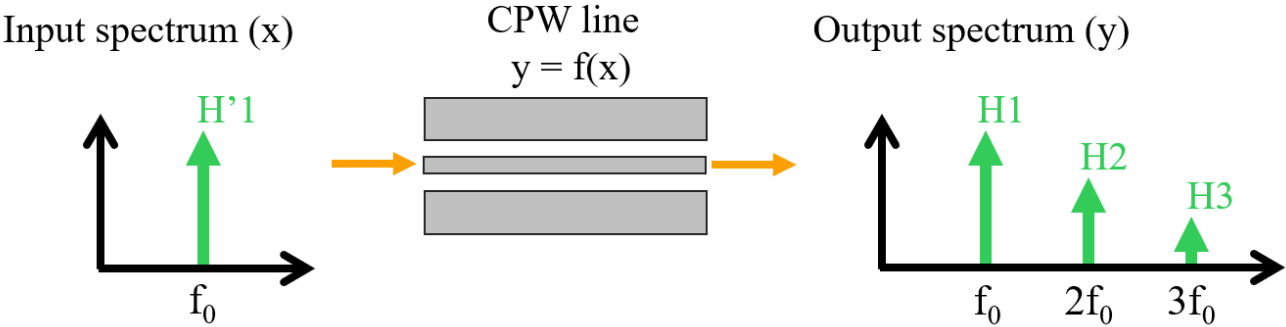
- Losses / coupling / ρ_{eff}
(TCAD+EM non-uniform material stack)

- Linearity / HD

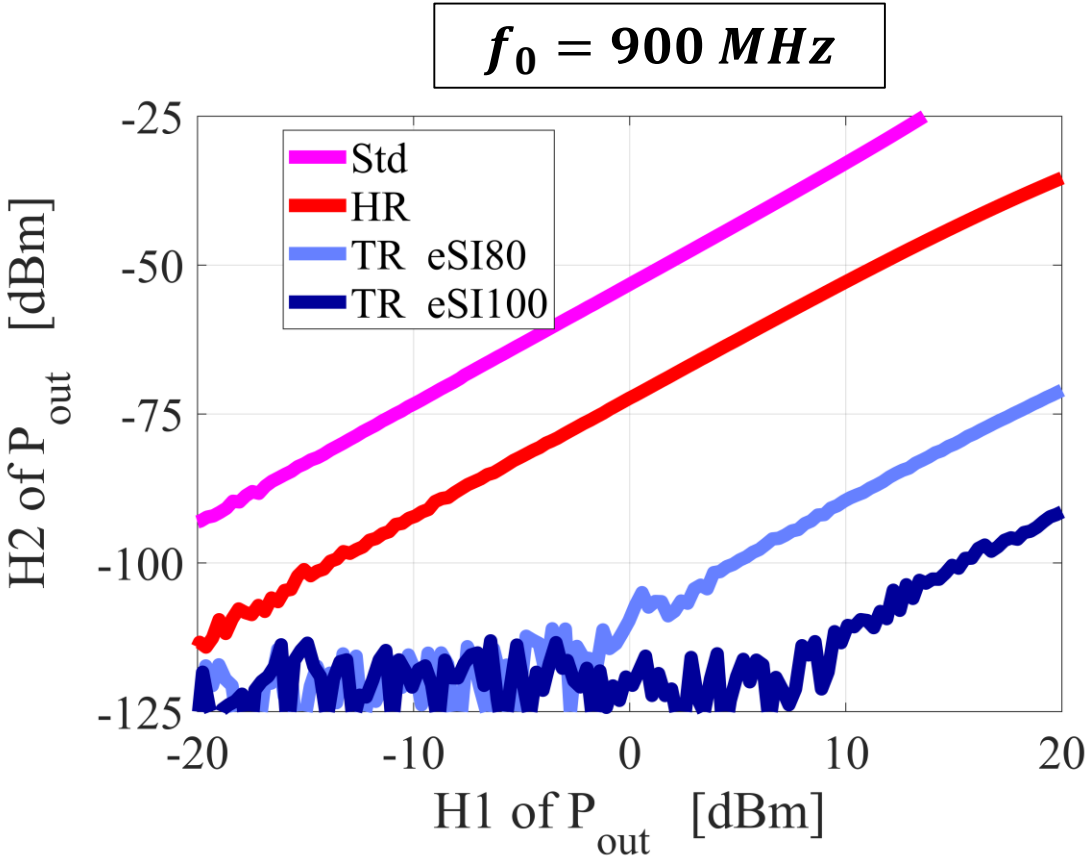
Semiconductors are non-linear by nature (field-effect).



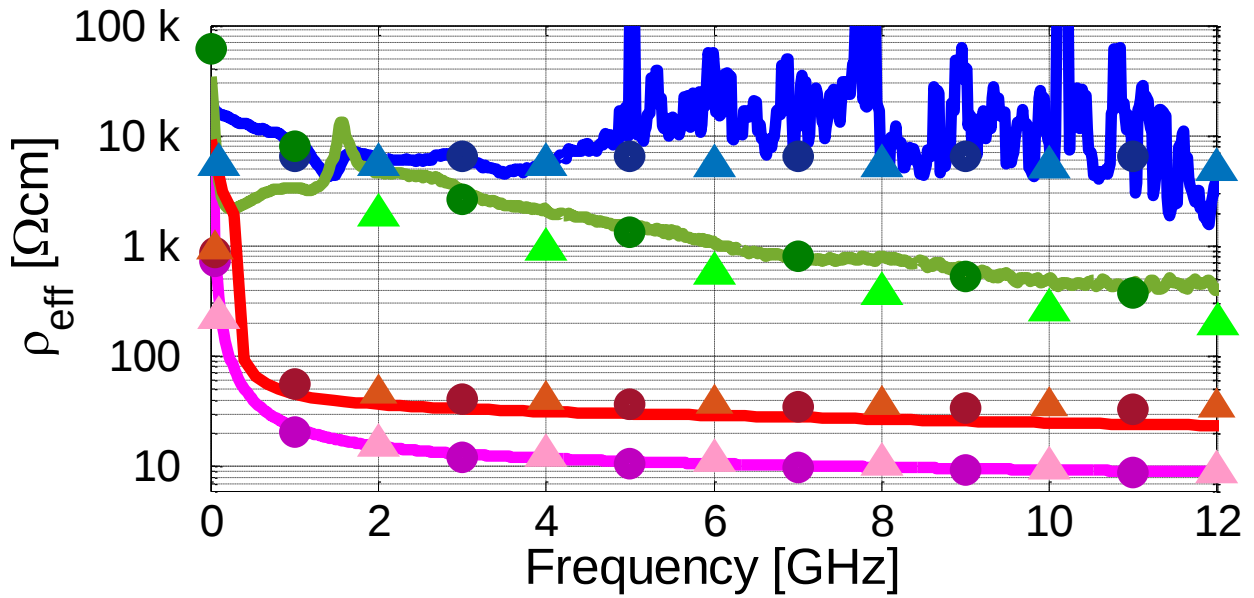
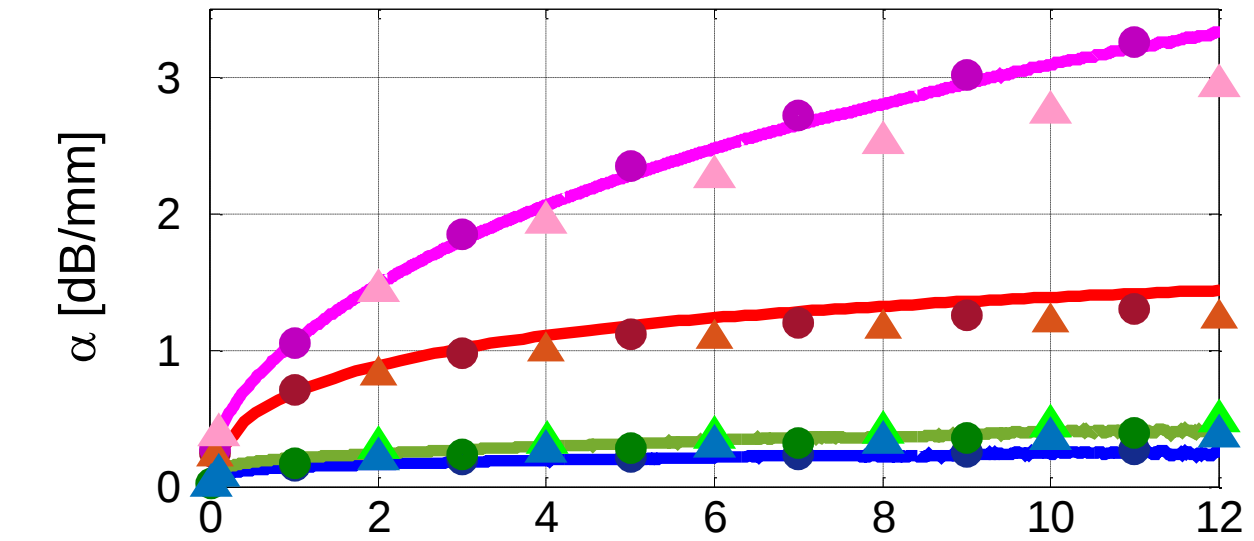
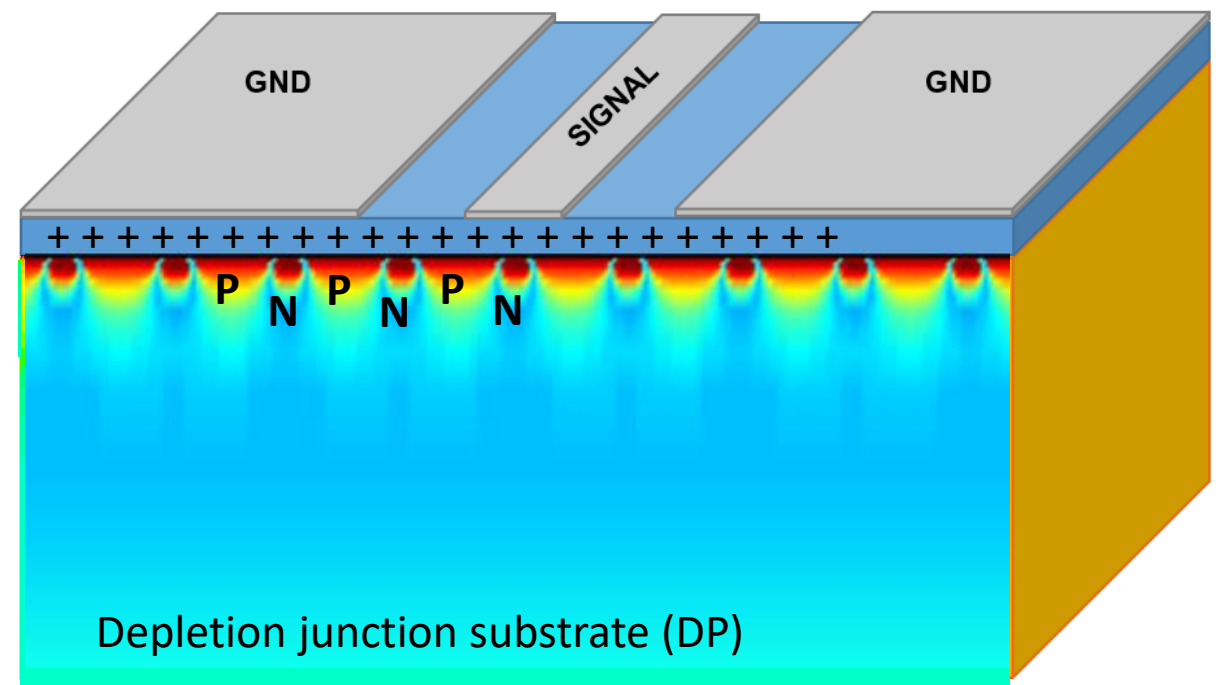
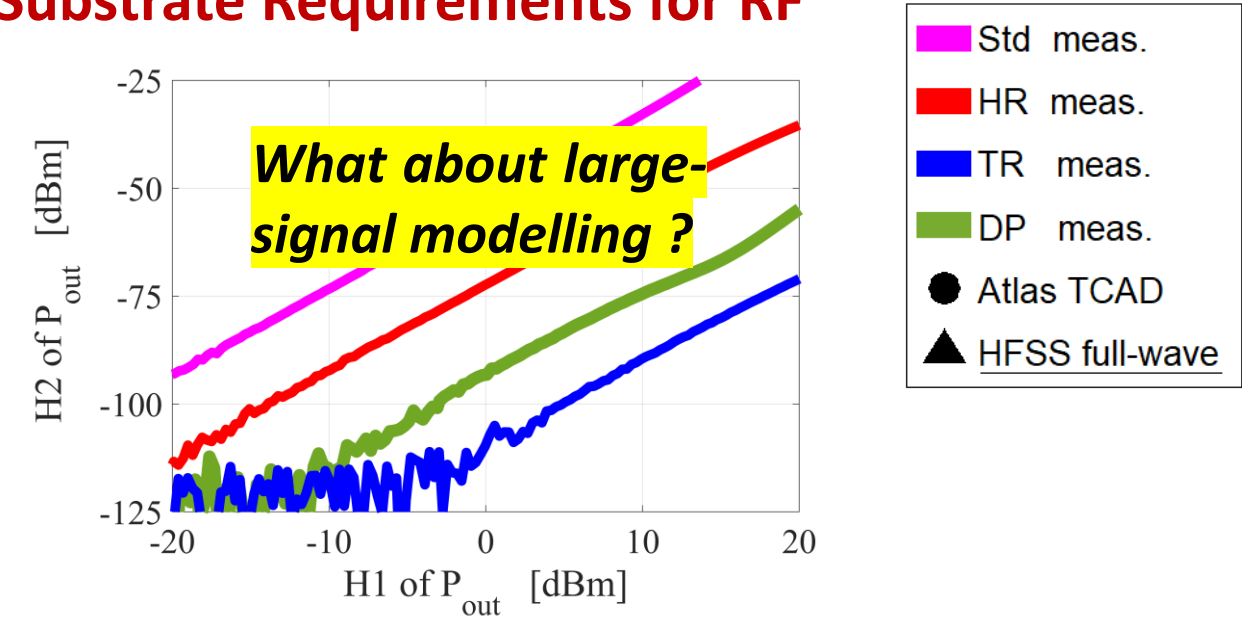
Linearity depends on what the conditions are (n and p) at the interface.
⇒ TR is an *interface passivation* scheme.



Ideal linear transfer function: $y = f(x) = a \cdot x$
Real non-linear transfer function: $y = f(x) = a \cdot x + b \cdot x^2 + c \cdot x^3 + \dots$

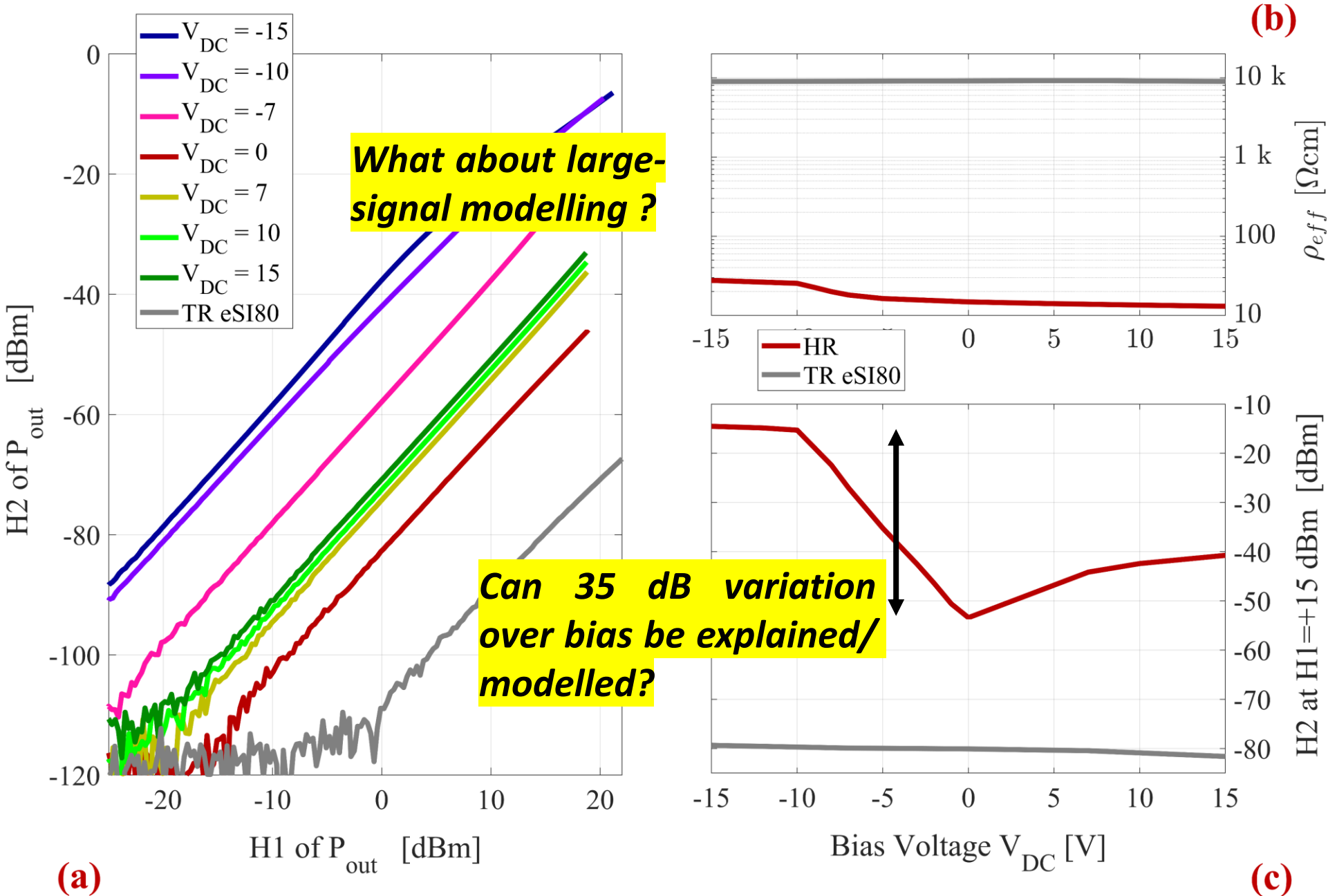


Substrate Requirements for RF



EM + TCAD can be combined to simulate a range of RF passives on highly non-uniform Si-based substrates.
⇒ **Small-signal modelling OK !**

Substrate RF FoMs plotted vs bias



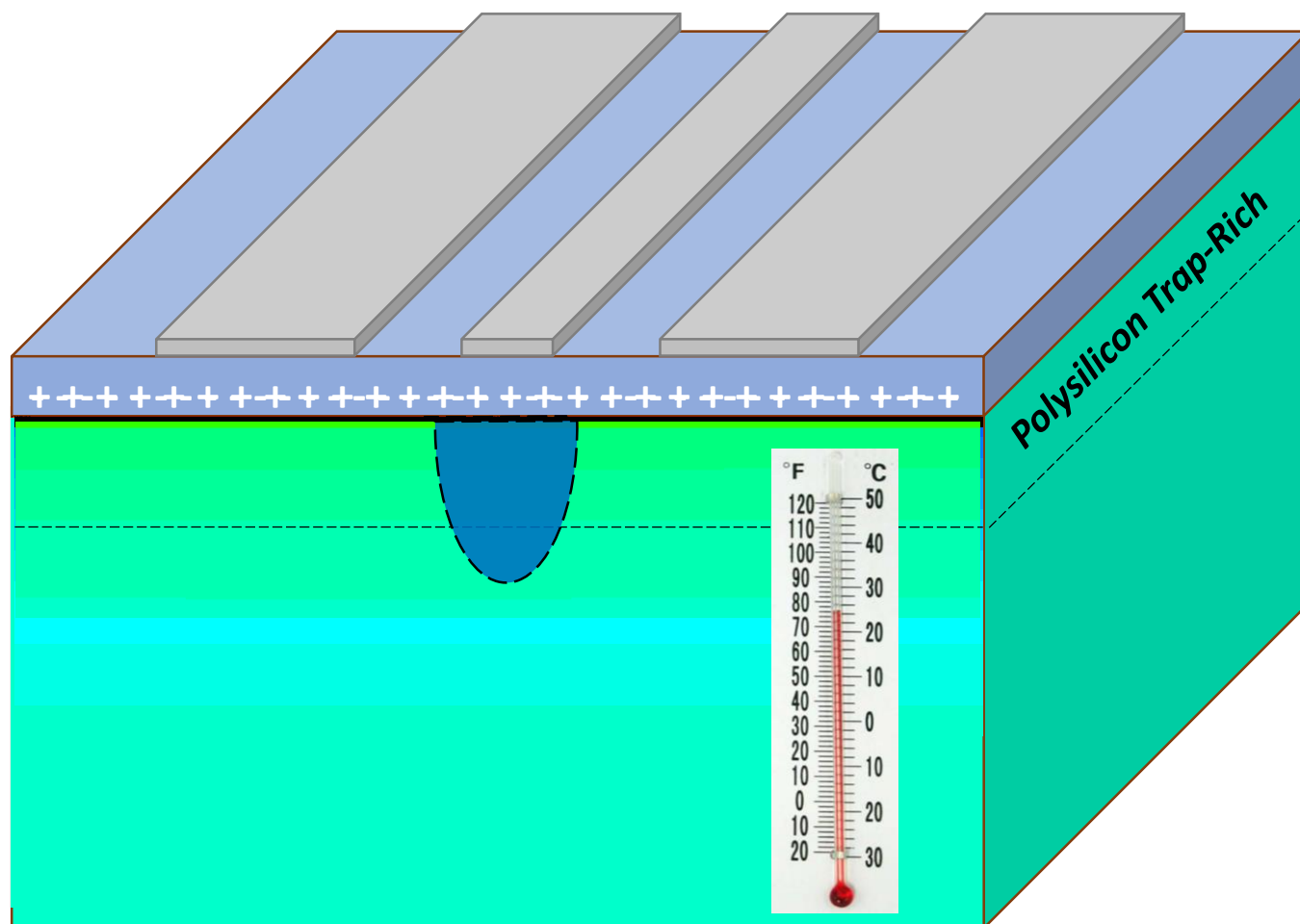
Answer: Yes !

→ Model of in this presentation validated over many parameters.

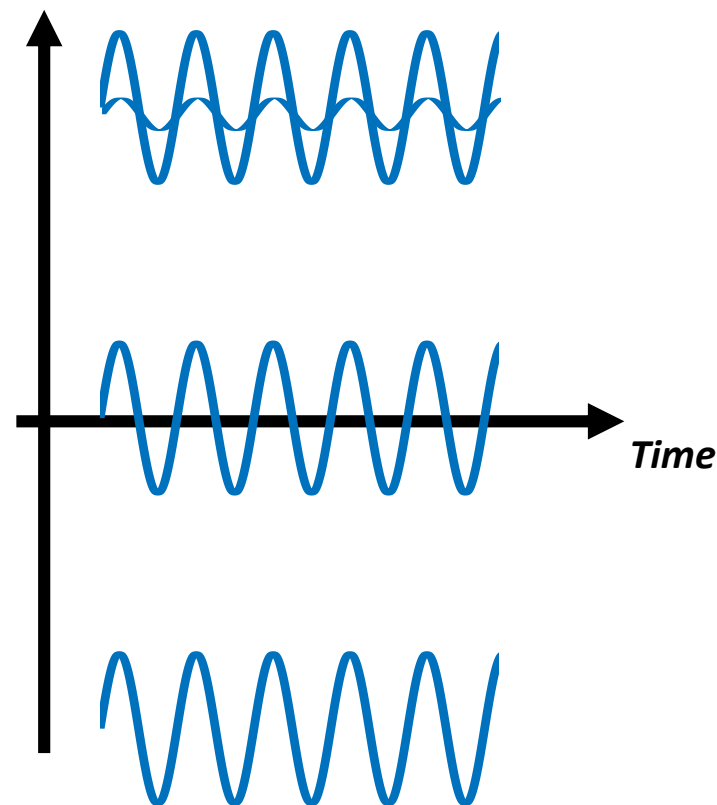
Full References:

- *Modeling of Semiconductor Substrates for RF Applications: Part I - Static and Dynamic Physics of Carriers and Traps*, doi: 10.1109/TED.2021.3096777.
- *Modeling of Semiconductor Substrates for RF Applications: Part II - Parameter Impact on Harmonic Distortion*, doi: 10.1109/TED.2021.3096781.

- Amplitude RF
- Point DC
- Fixed interface charge
- Interface traps and *trap-rich* passivation
- Dimensions of CPW
- Frequency
- Temperature

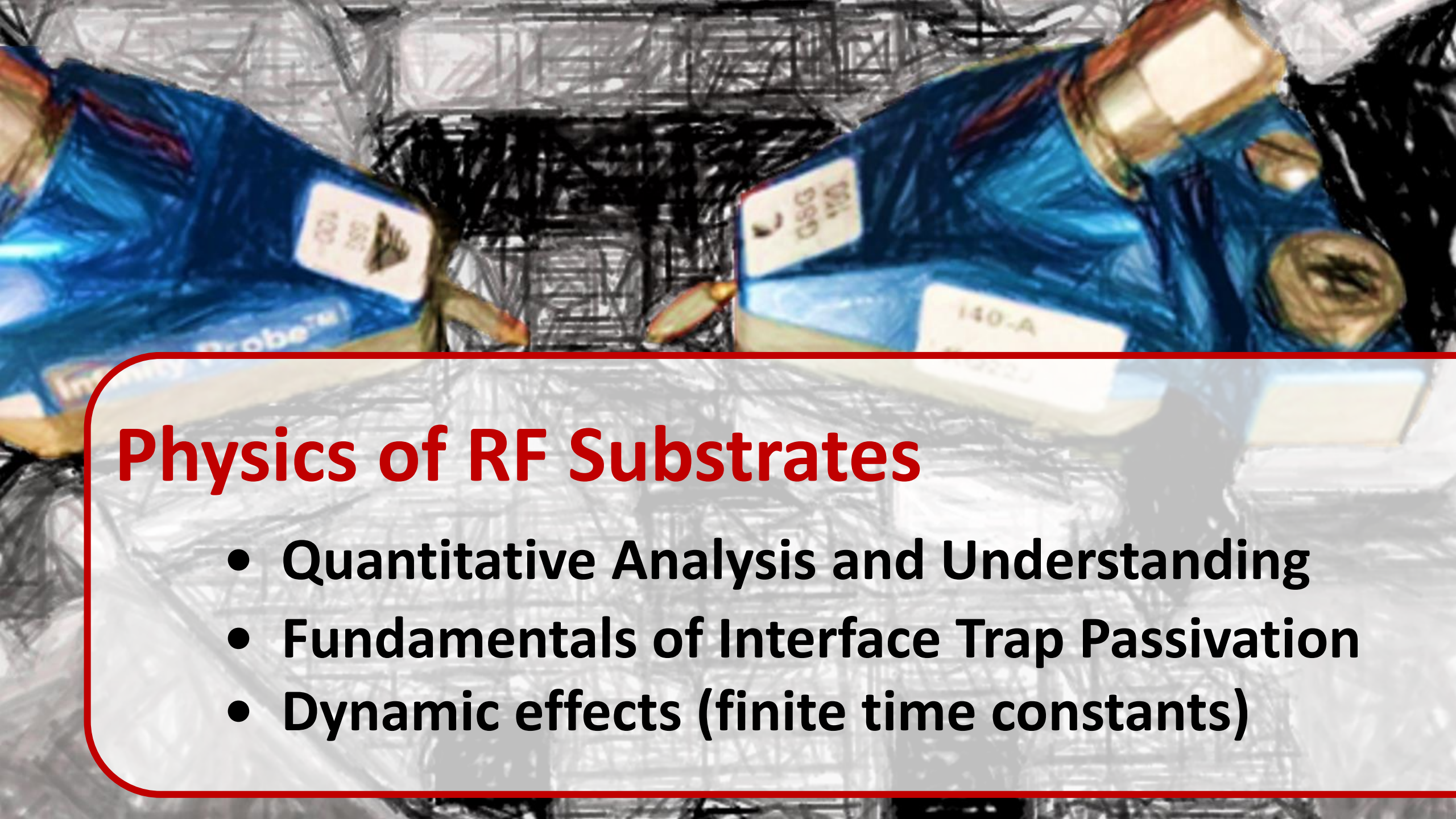


Line Voltage



Motivation and Objectives of a Large-Signal Substrate Model

- Can we predict HD of a given material stack?
- How to model, taking into account all semiconductor effects
- Gain physical insight into parameter impact (future substrate developments, IC design, etc.)

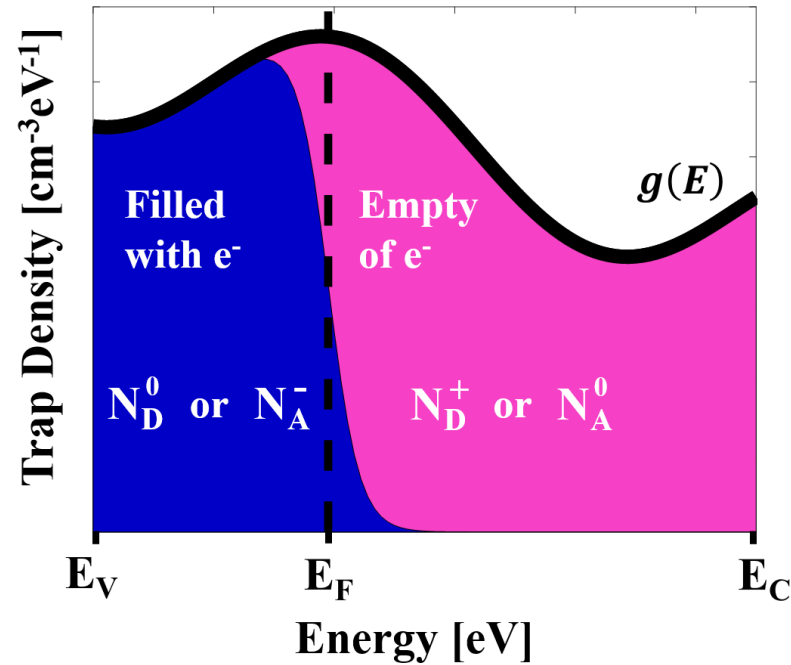


Physics of RF Substrates

- Quantitative Analysis and Understanding
- Fundamentals of Interface Trap Passivation
- Dynamic effects (finite time constants)

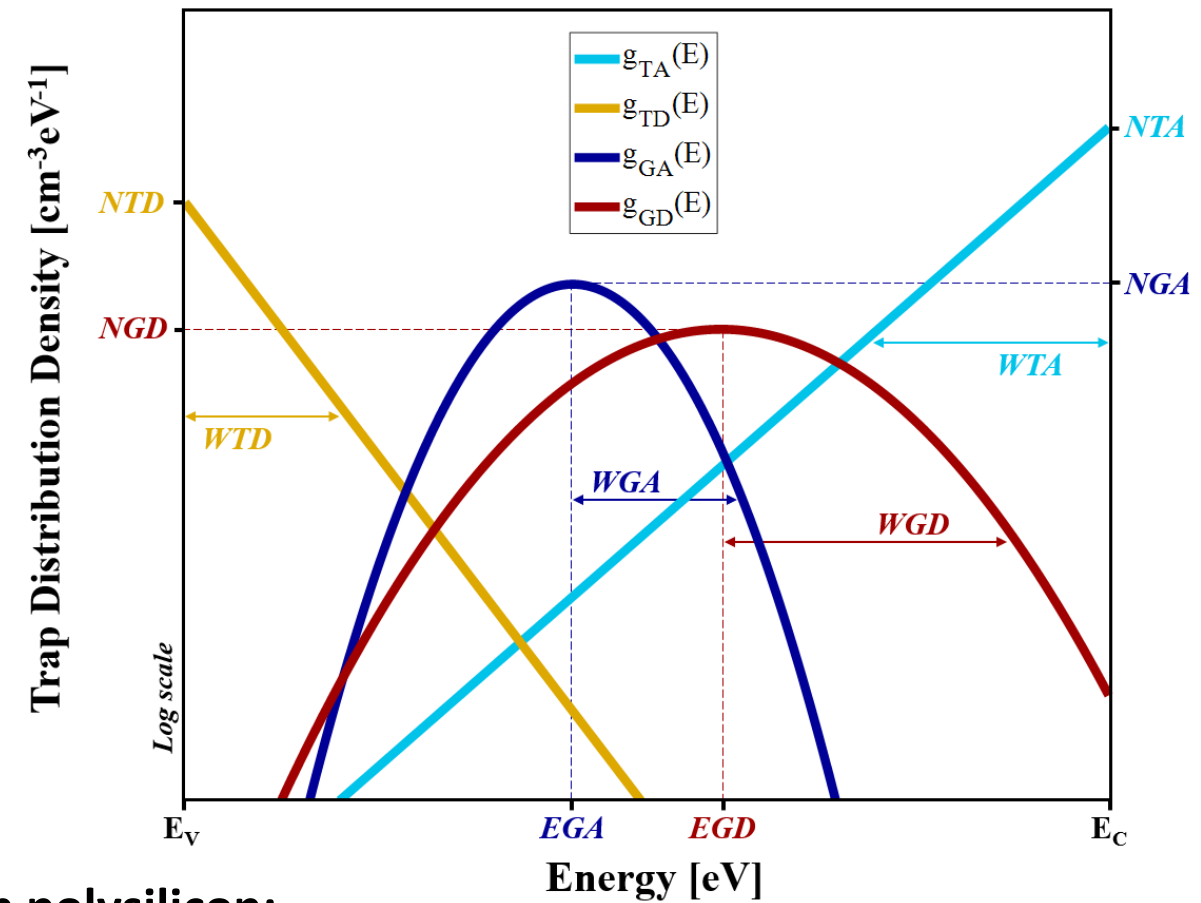
Quantitative Charge Balance

How does Trap-Rich interface passivation work?



For Acceptor Traps: $Q_{\text{Empty}} = 0$
 $Q_{\text{Filled}} = -q$

For Donor Traps: $Q_{\text{Empty}} = +q$
 $Q_{\text{Filled}} = 0$



Traps in polysilicon:

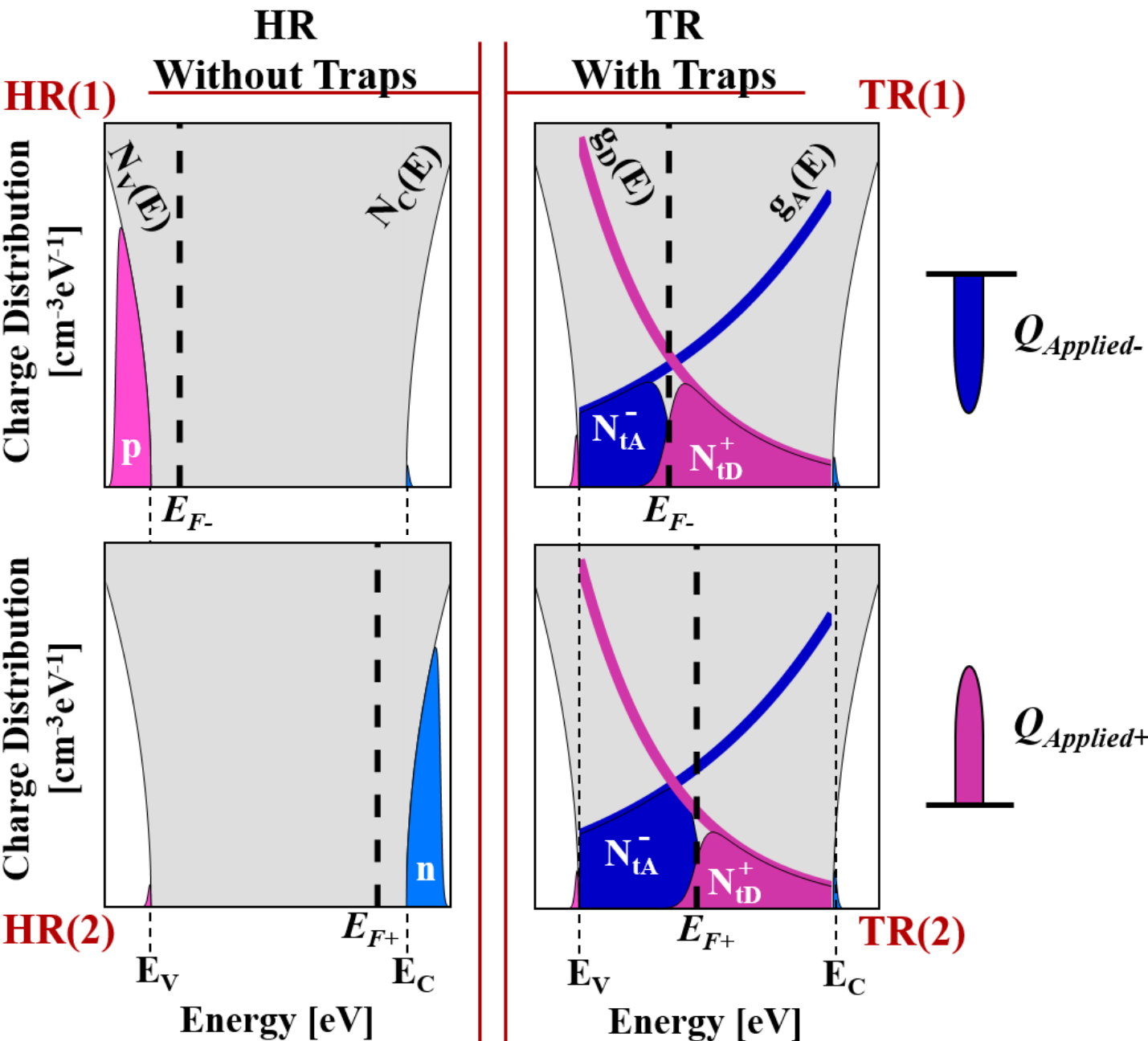
$$g_{GA}(E) = NGA \cdot \exp\left\{-\left(\frac{EGA - E}{WGA}\right)^2\right\} \quad [\text{cm}^{-3} \cdot \text{eV}^{-1}] \quad (3.45)$$

$$g_{GD}(E) = NGD \cdot \exp\left\{-\left(\frac{EGD - E}{WGD}\right)^2\right\} \quad [\text{cm}^{-3} \cdot \text{eV}^{-1}] \quad (3.46)$$

$$g_{TA}(E) = NTA \cdot \exp\left\{\frac{E - E_C}{WTA}\right\} \quad [\text{cm}^{-3} \cdot \text{eV}^{-1}] \quad (3.47)$$

$$g_{TD}(E) = NTD \cdot \exp\left\{\frac{E_V - E}{WTD}\right\} \quad [\text{cm}^{-3} \cdot \text{eV}^{-1}] \quad (3.48)$$

Charge compensation: HR vs. TR



$$N_{free} = \int_0^{t_Q} p(y) - n(y) dy \quad [\text{cm}^{-2}]$$
$$N_{trap} = \int_0^{t_Q} N_{tD}^+(y) - N_{tA}^-(y) dy \quad [\text{cm}^{-2}]$$

$$N_{Applied}(V) = N_{ox} + C_{ox}(V - V_{MS}) \quad [\text{cm}^{-2}]$$

In all generality:

$$-N_{Applied} = N_{free} + N_{trap}$$

In a HR:

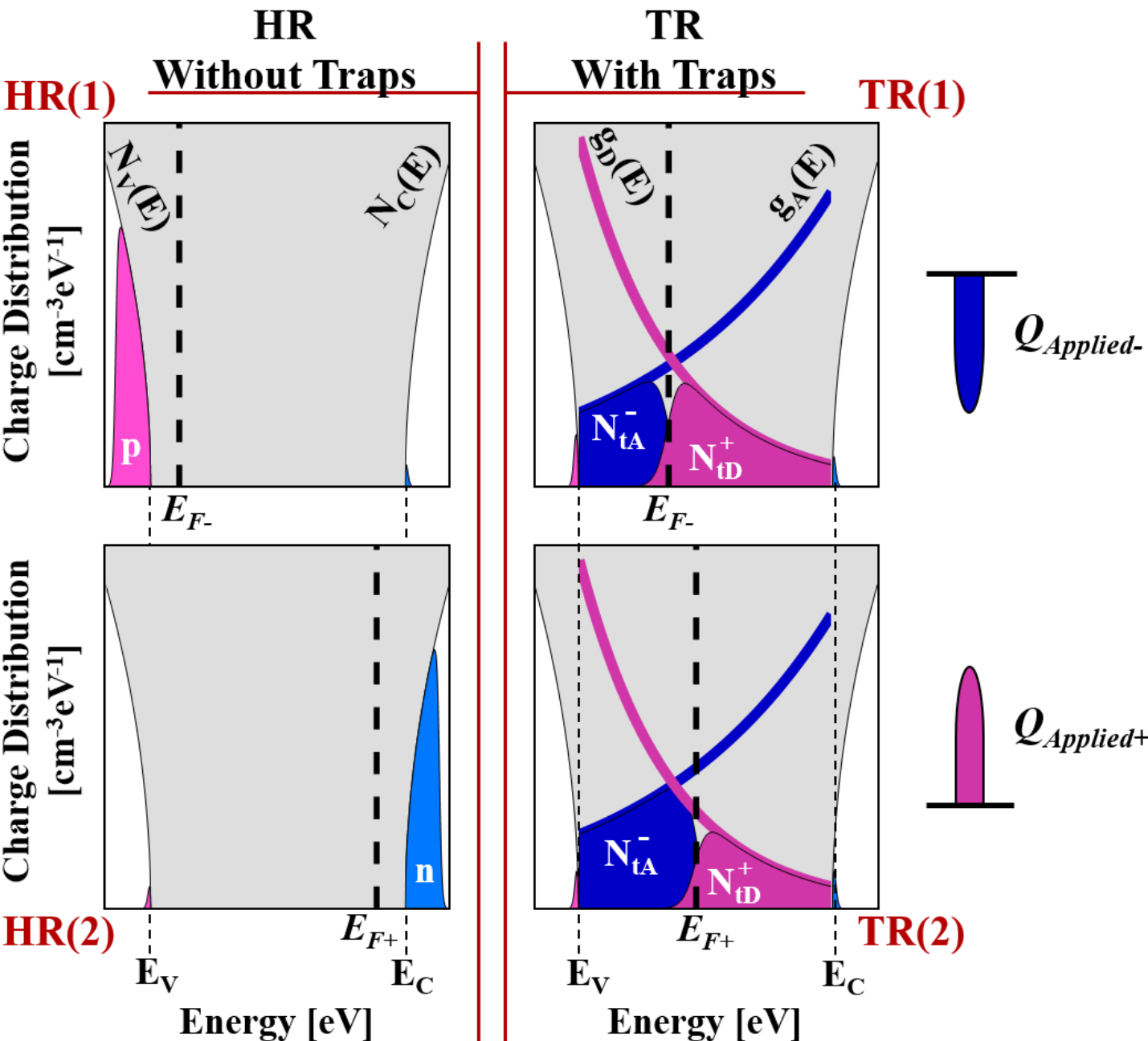
$$-N_{Applied} = N_{free}$$

In a « good » TR:

$$-N_{Applied} \approx N_{trap}$$

For any usual value of $N_{Applied}(V)$

Charge compensation: HR vs. TR



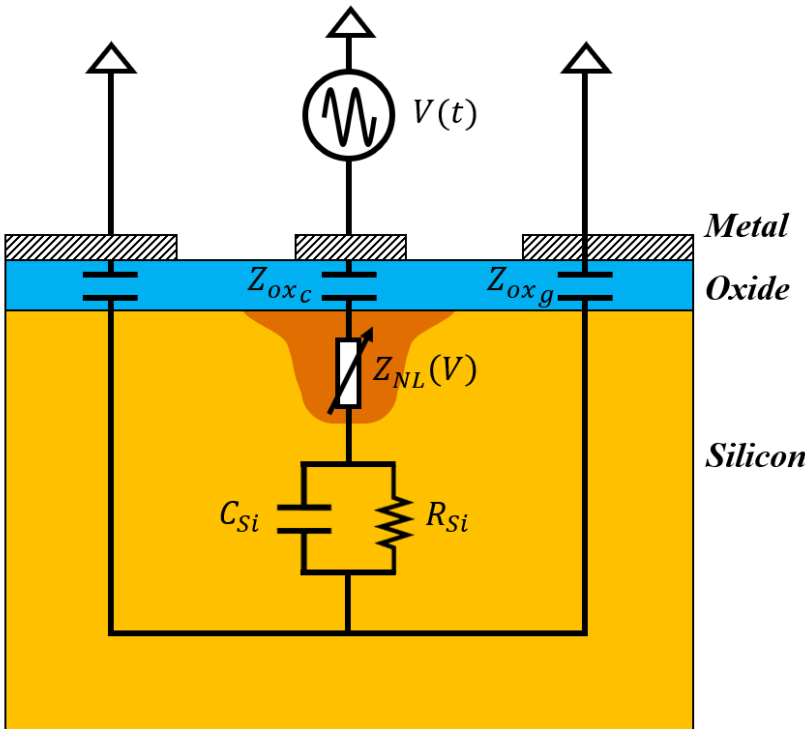
In a HR:

n and **p** vary strongly with the applied signal $V(t)$

In a « good » TR:

n and **p** remain very low for "any" signal $V(t)$

Concept of *Fermi-level pinning* → **Quasistatic**



Charge compensation: HR vs. TR

Charges in the Semiconductor

■ ■ ■ Donor Distr.
■ ■ ■ Acceptor Distr.
— — — Fermi Level

Applied Charge

HR substrate

Large variations in n and p

⇒ Large variations in
substrate impedance

⇒ Highly voltage-
dependent (non-linear)

[Movie Animation Not Included in PDF Format]

*Quasistatic analysis
(DC or low-freq)*

Charge compensation: HR vs. TR

Charges in the Semiconductor

■ ■ ■ Donor Distr.
■ ■ ■ Acceptor Distr.
— — — Fermi Level

Applied Charge

[Movie Animation Not Included in PDF Format]

TR substrate

Small variations in n and p

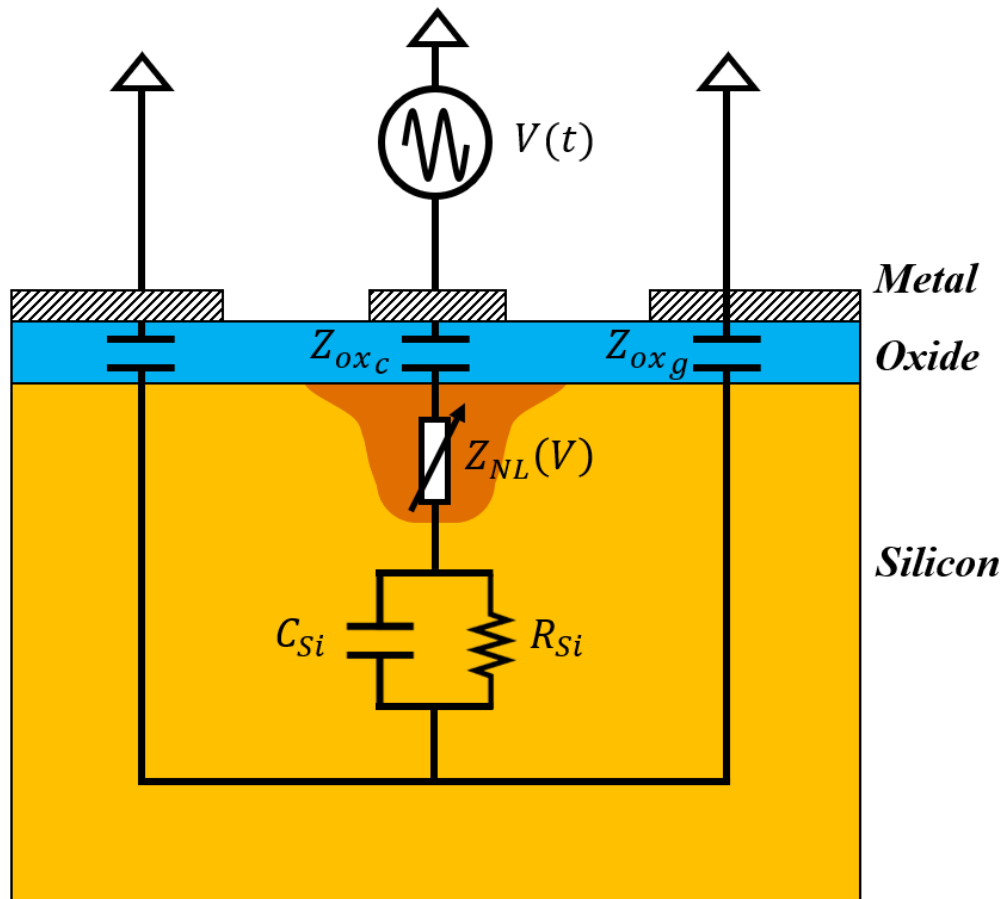
⇒ Small variations in
substrate impedance

⇒ Little voltage-
dependency
(at low freq. !!)

*Quasistatic analysis
(DC or low-freq)*

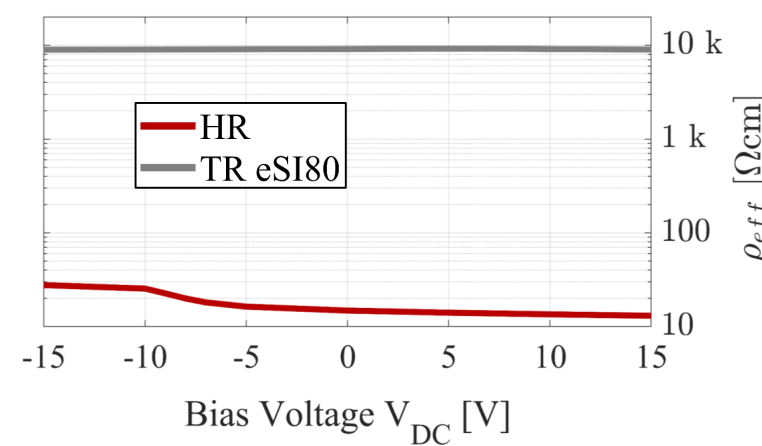
At RF, full description
involves E_{QFn} , E_{QFp} ,
 $E_{QFtrap1}$, $E_{QFtrap2}$, etc.

For Large-Signal Substrate Modelling...



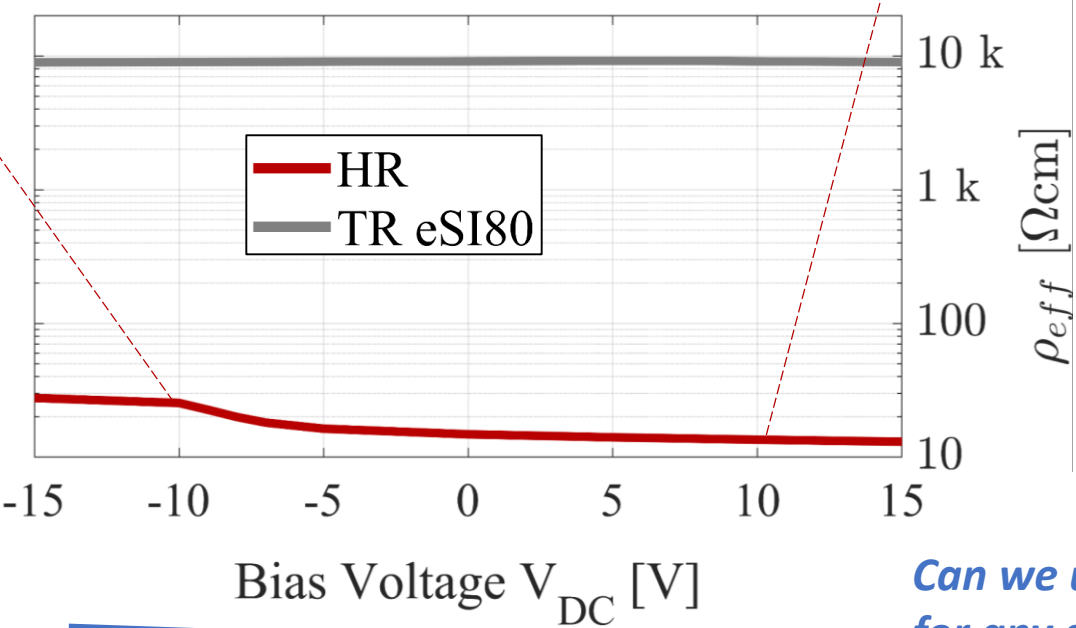
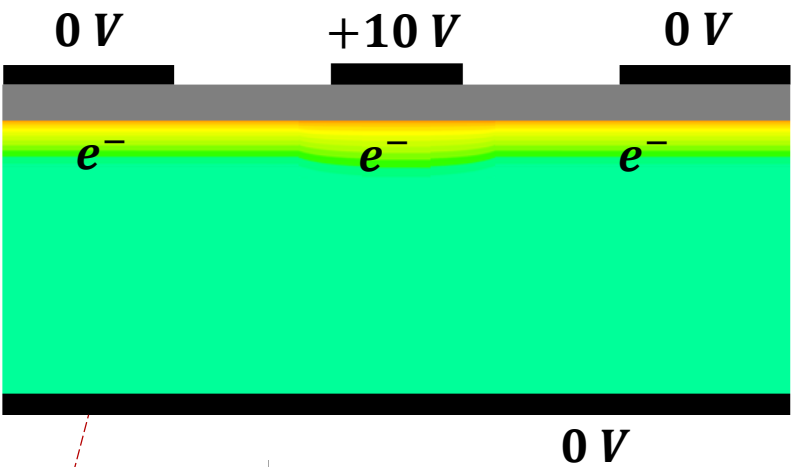
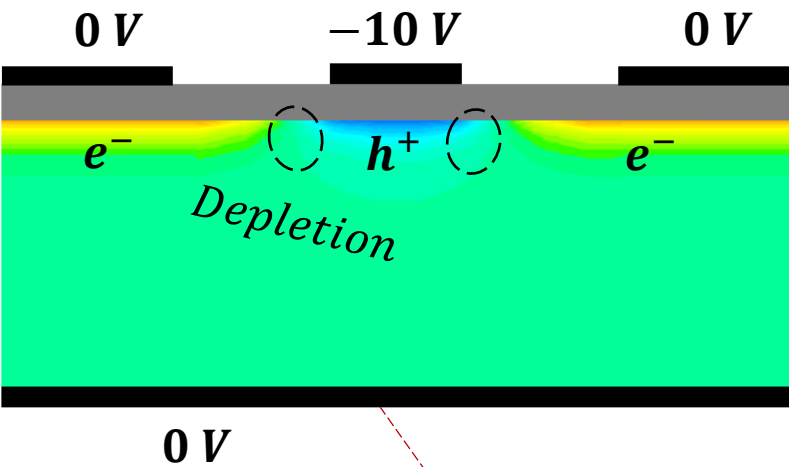
... interested in the behaviour of substrate impedance with the applied voltage signal.

$$Z_{NL}(V)$$



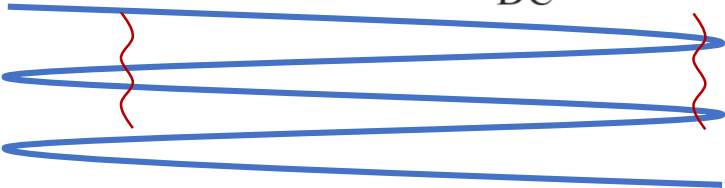
== Substrate impedance $Z_{sub}(V)$

Substrate impedance vs. voltage



== Substrate impedance $Z_{sub}(V)$

How does the substrate respond under large-signal excitation?



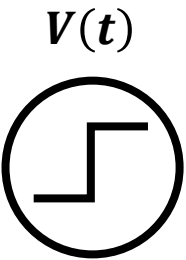
Can we use this $Z_{sub}(V)$ transfer function, for any signal $V(t)$?

Flip between these states in ~ ns ??

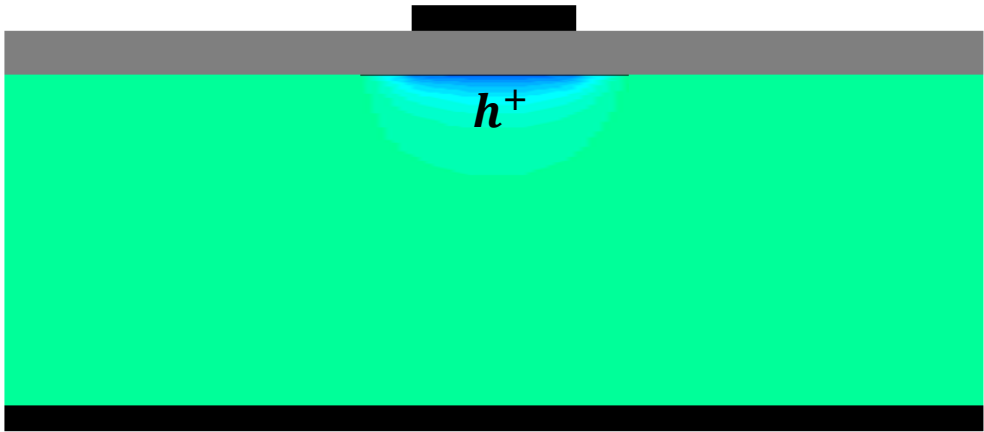
Non-Equilibrium Dynamics

Voltage step applied to MOS device.

$$N_{ox} = 0 \text{ cm}^{-2}$$

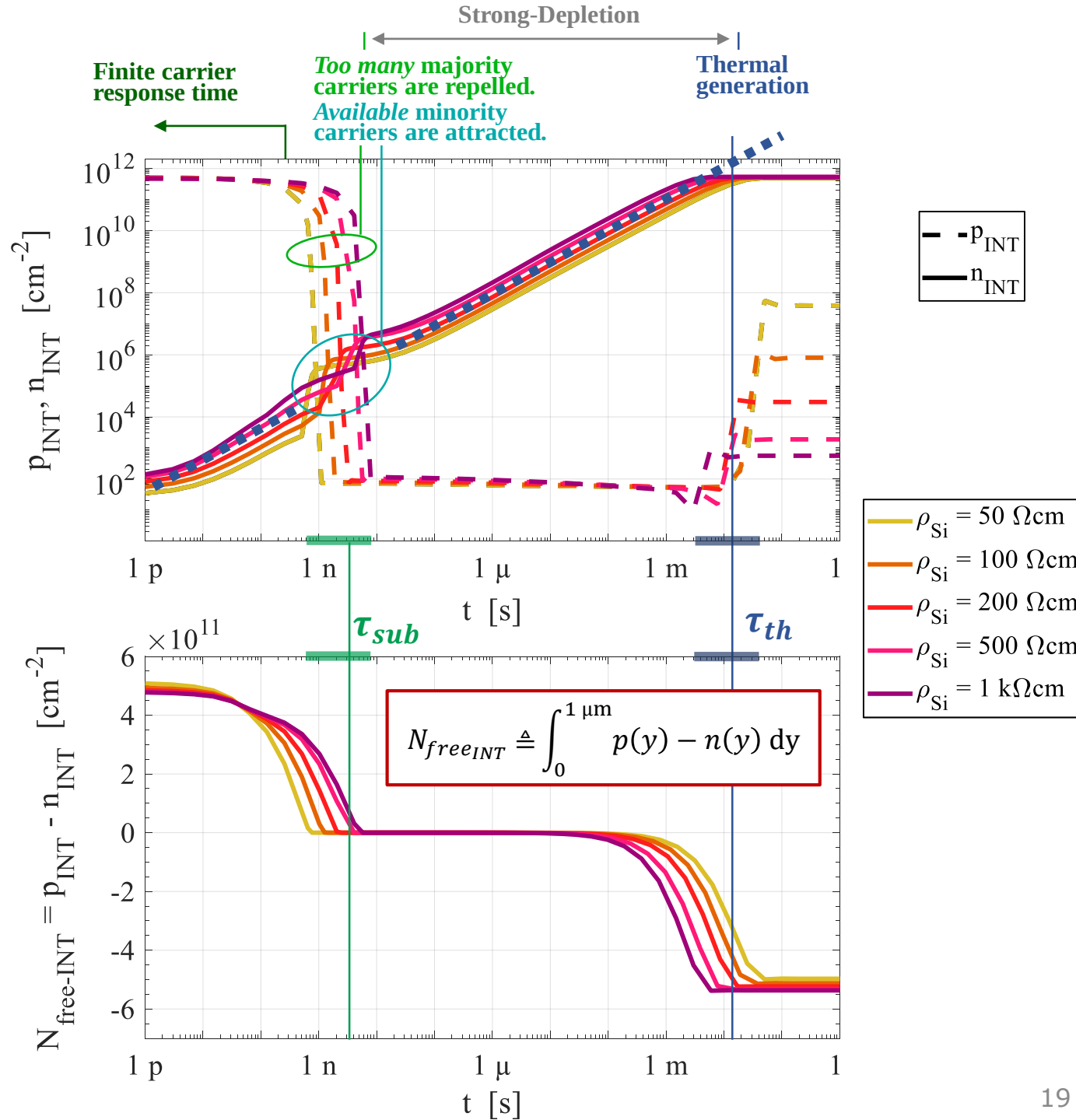
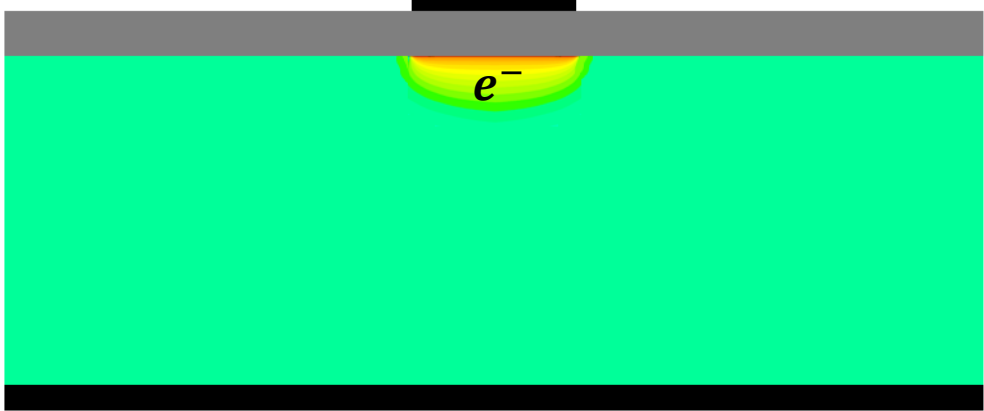


$$V(t = 0^-) = -10 \text{ V}$$



Color map
 $n(x, y)$

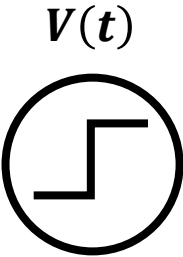
$$V(t = +\infty) = +10 \text{ V}$$



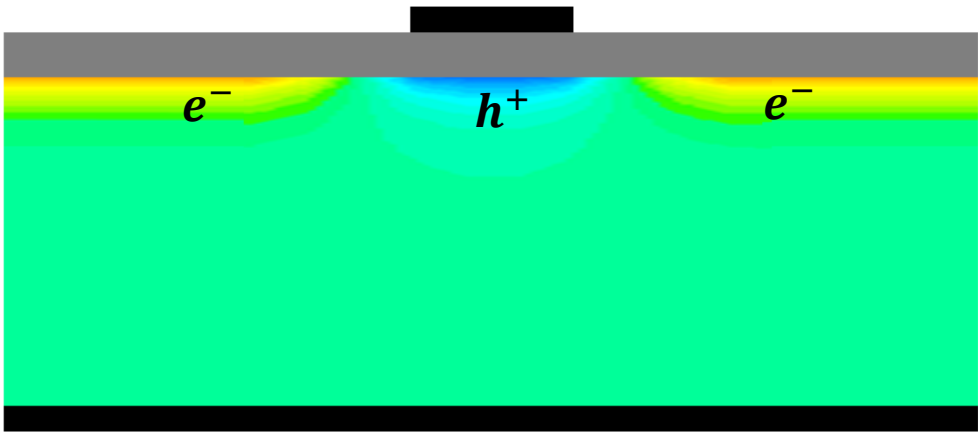
Non-Equilibrium Dynamics

Voltage step applied to MOS device.

$N_{ox} = 10^{11} \text{ cm}^{-2}$

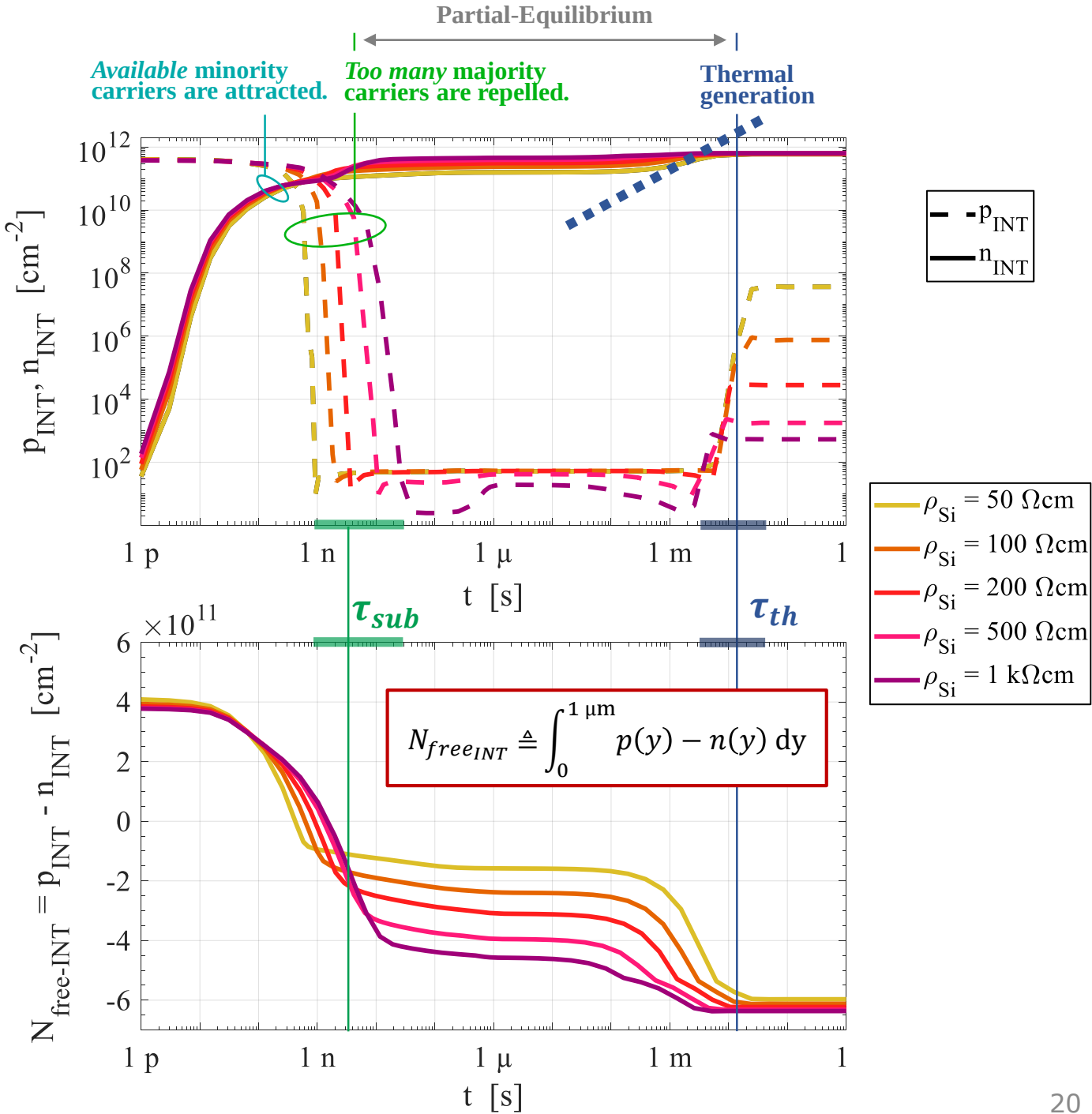
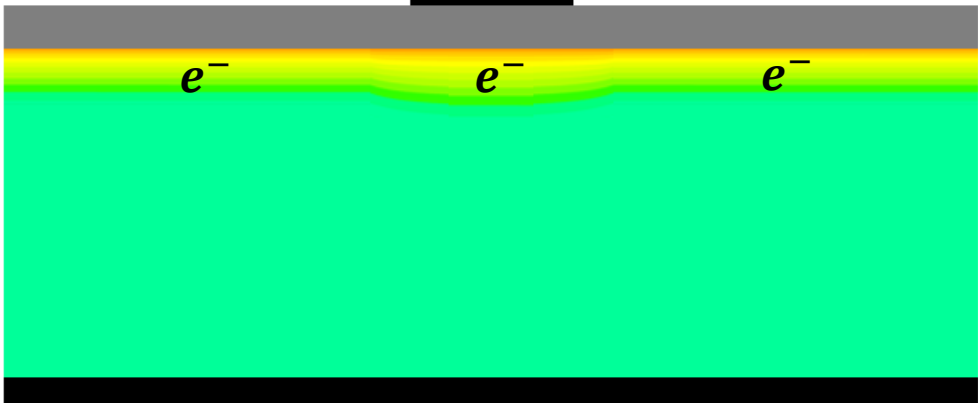


$V(t = 0^-) = -10 \text{ V}$

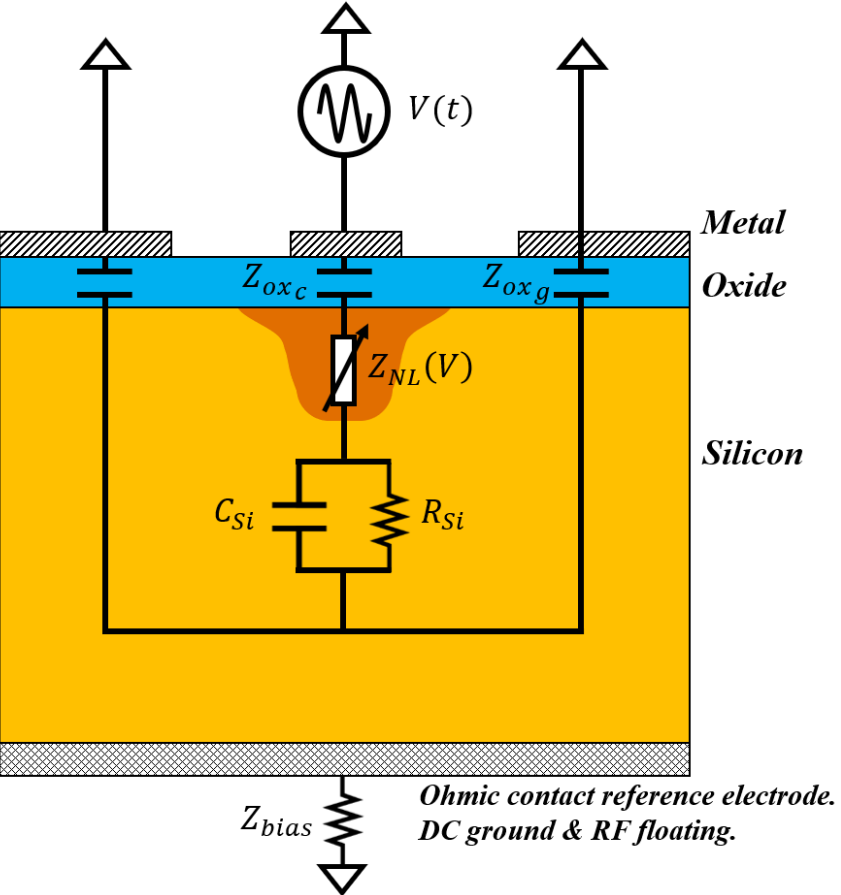


Color map
 $n(x, y)$

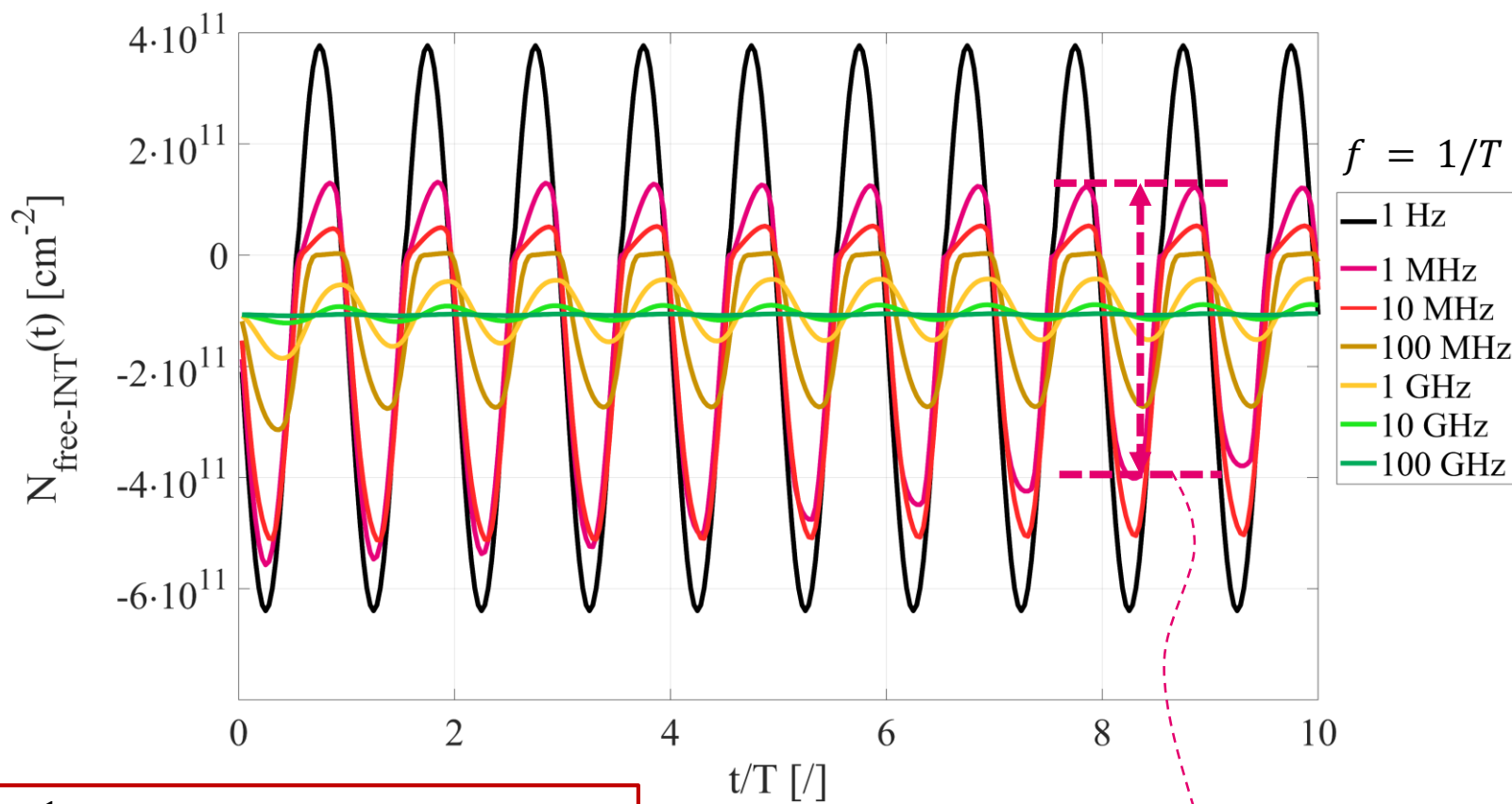
$V(t = +\infty) = +10 \text{ V}$



Non-Equilibrium Dynamics Sinusoidal $V(t)$ - RF analysis



HR $\rho_{Si} = 1 \text{ k}\Omega\text{cm}$ (P-type),
 $t_{ox} = 400 \text{ nm}$, $N_{ox} = 10^{11} \text{ cm}^{-2}$

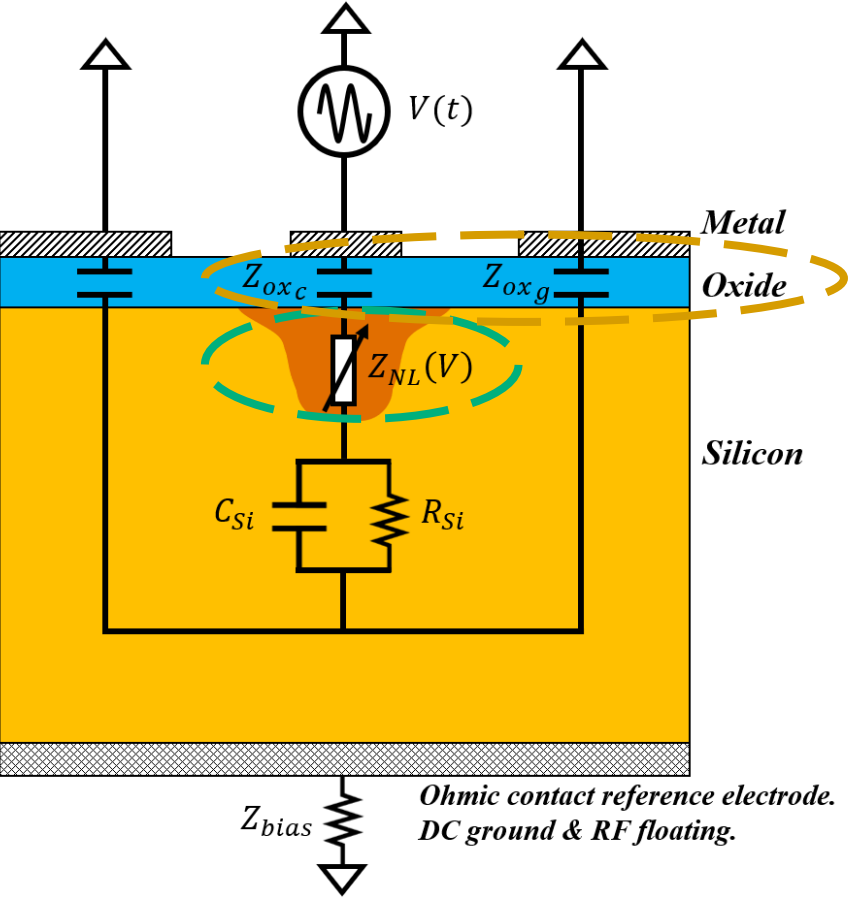


$$N_{free_{INT}} \triangleq \int_0^{1 \mu\text{m}} p(y) - n(y) dy \quad [\text{cm}^{-2}]$$

$2 \cdot A\{N_{free_{INT}}(t)\}$
At 1 MHz

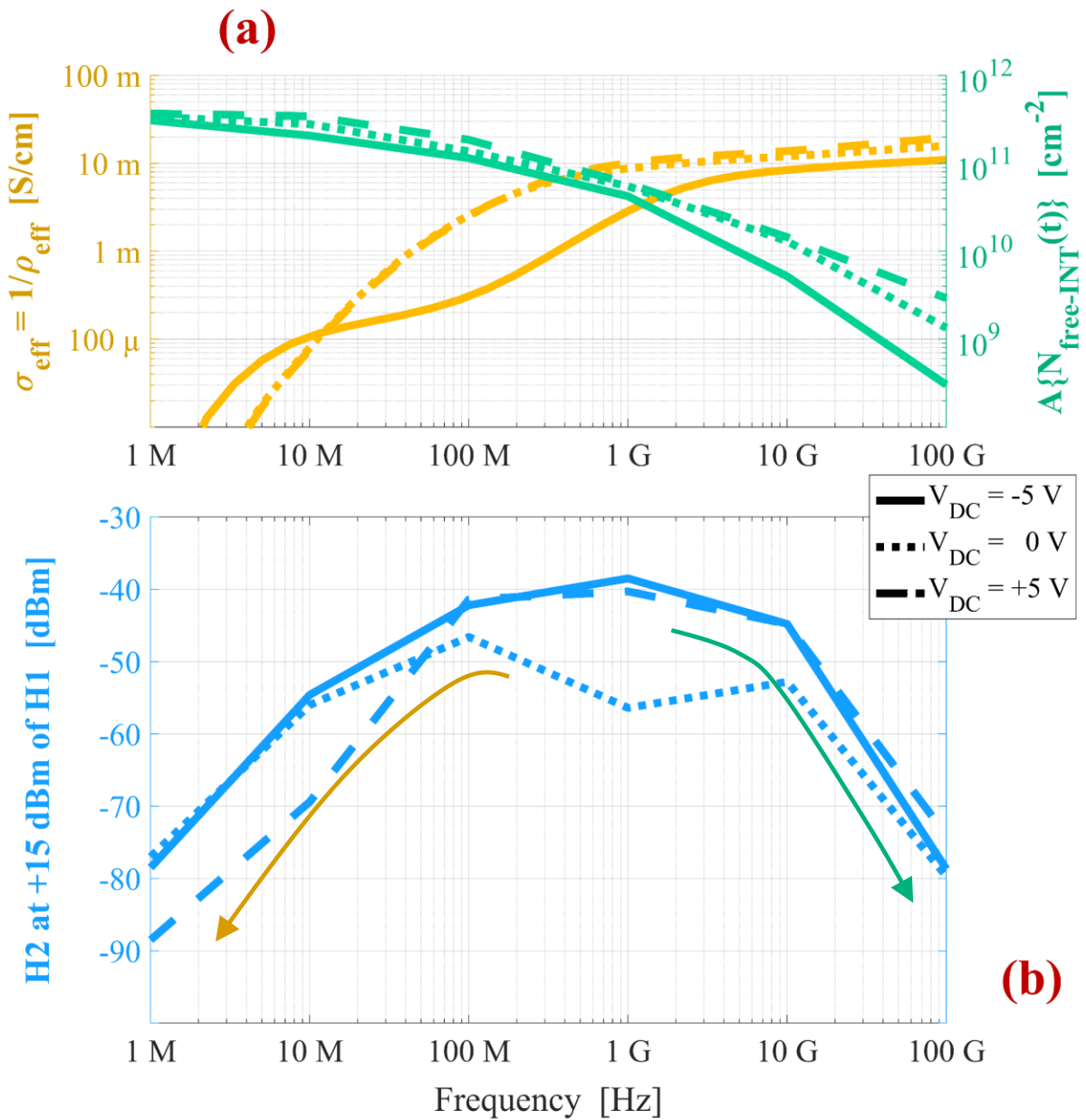
As f increases: only a spatial rearrangement of existing *available carriers through time*
no (significant) generation/recombination

Non-Equilibrium Dynamics RF analysis



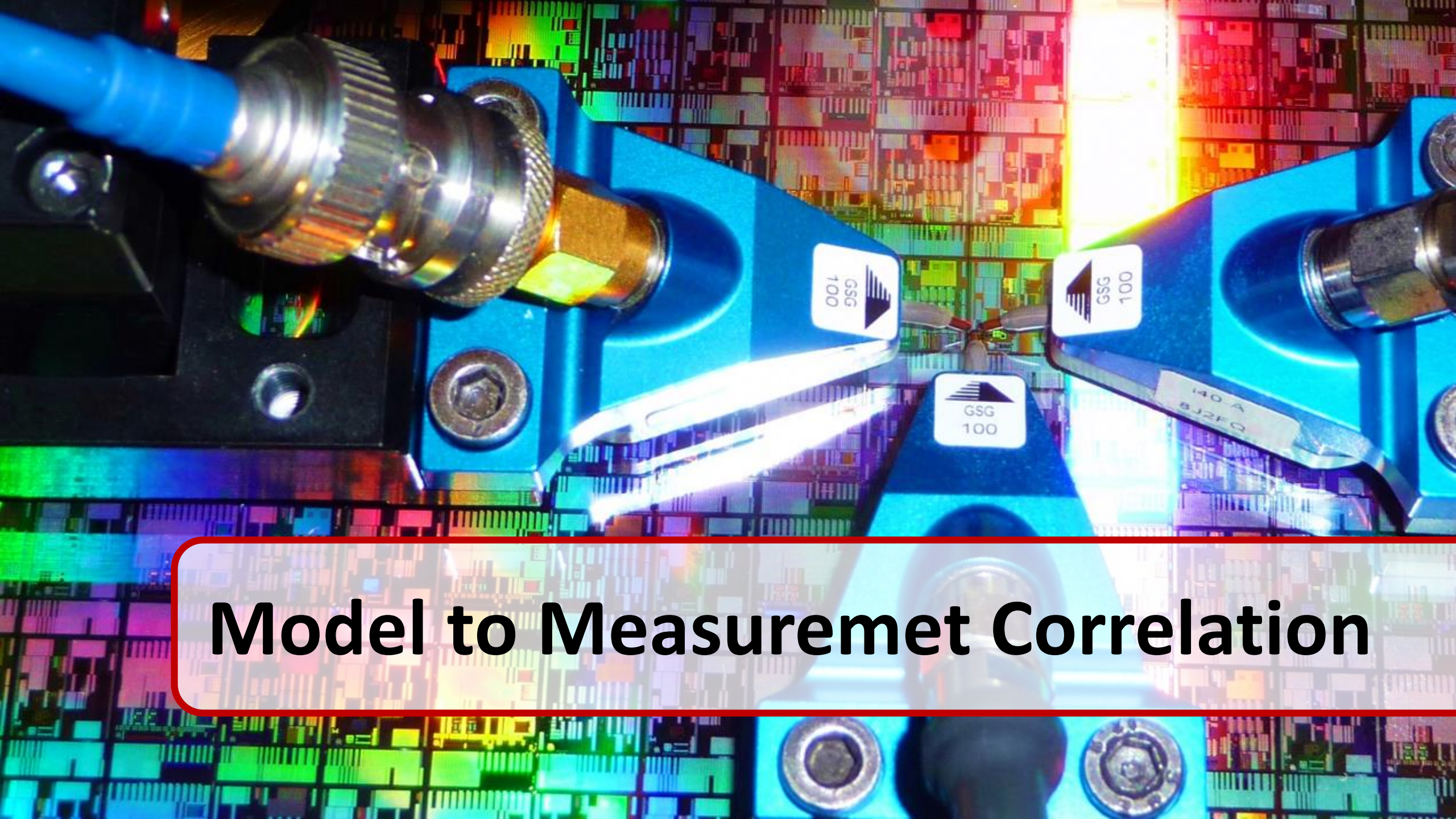
HD is maximum just after the end of the slow-wave mode f_{SW}

	ρ_{eff} [Ωcm]	f_{SW}
Standard Si	~ 10	5 - 15 GHz
HR with strong PSC	< 50	5 - 15 GHz
HR with weak PSC	50 – 200	1 - 5 GHz
TR	10k	10 - 50 MHz



In the slow-wave mode, the BOX impedance linearizes the overall substrate impedance.

Carrier inertia dampens impedance variations at high frequencies

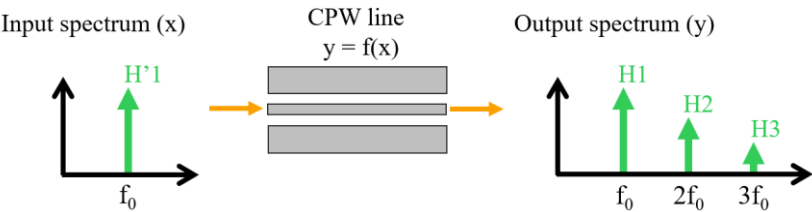


Model to Measuremet Correlation

Non-Equilibrium Dynamics RF analysis

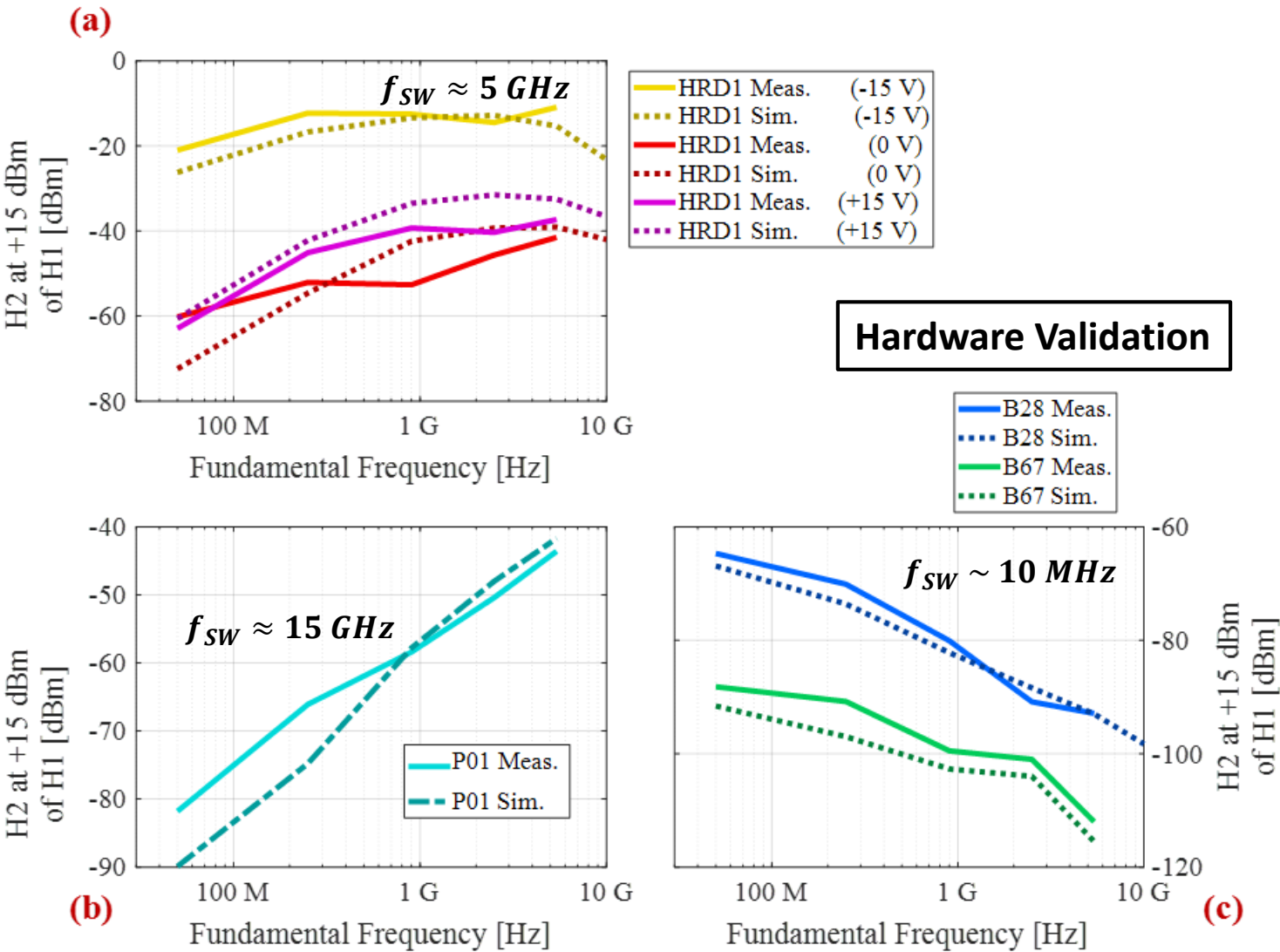
HD is maximum just after the end of the slow-wave mode f_{SW}

Observe these trends in measurements?

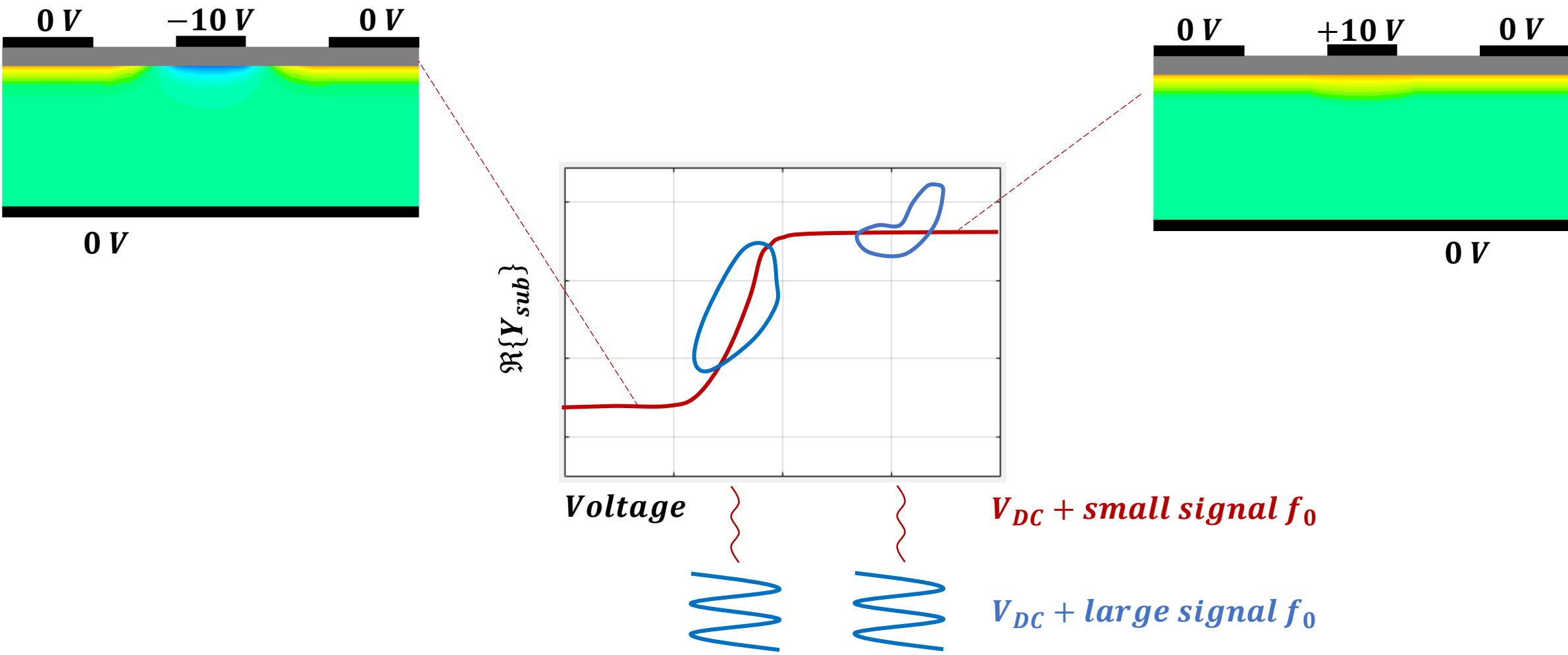


⇒ We set-up measurement benches at:
50 MHz, 250 MHz, 900 MHz, 2.5 GHz
and **5.4 GHz**

(each setup uses dedicated filters and power amplifier)



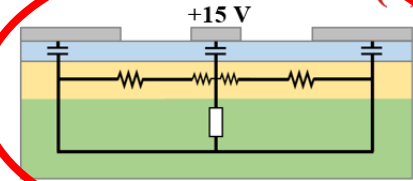
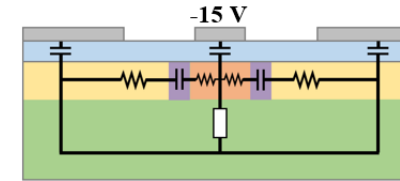
Substrate impedance vs. voltage





— Measurements
 - - - Simulation

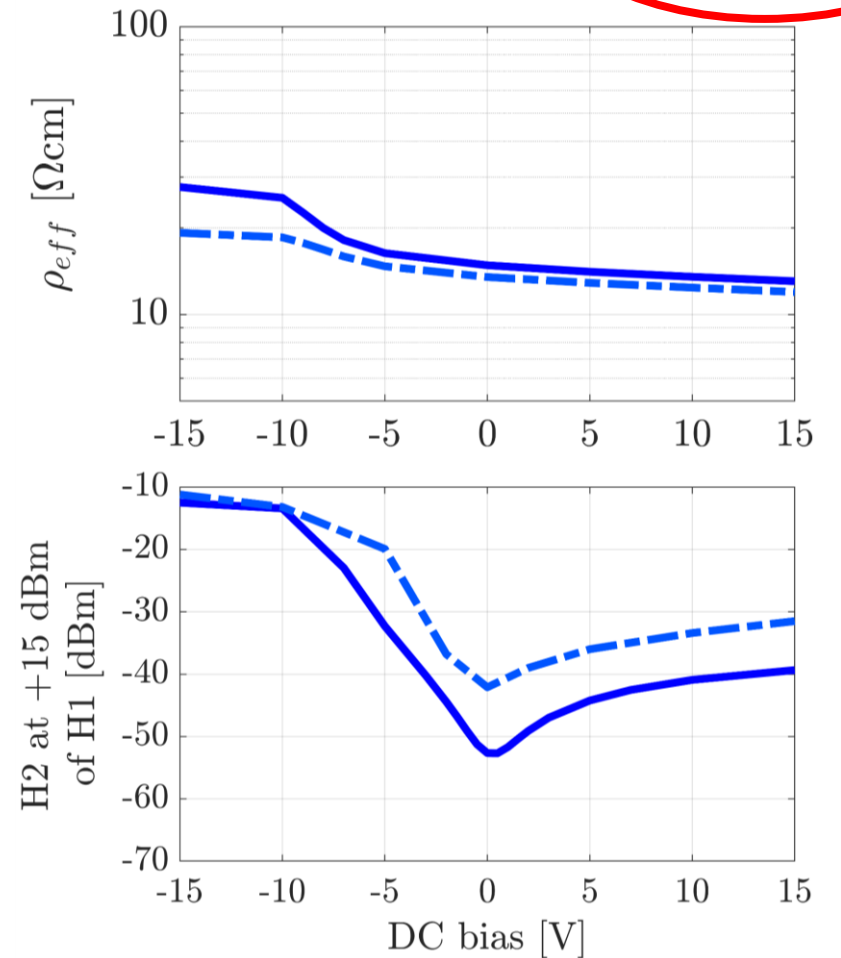
HR-SiO2 $Q_{ox} = +8 \cdot 10^{11} \text{ cm}^{-2}$

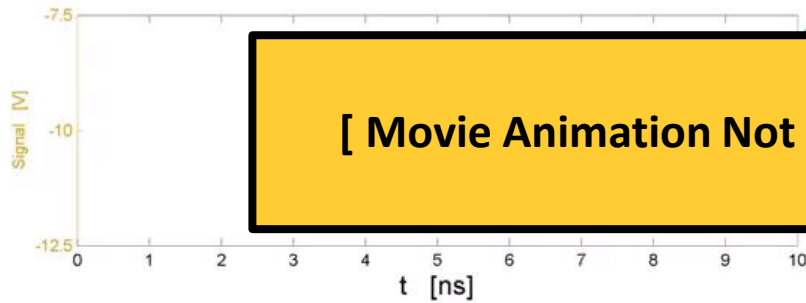


Substrate response in biased HR

Voltage Signal: $f = 900 \text{ MHz}$
 $A = 2.5 \text{ V}$
 $DC = +15 \text{ V}$

Substrate impedance variation
 gives rise to **-35 dBm** of H2
 distortion





Time = 0.031 ns

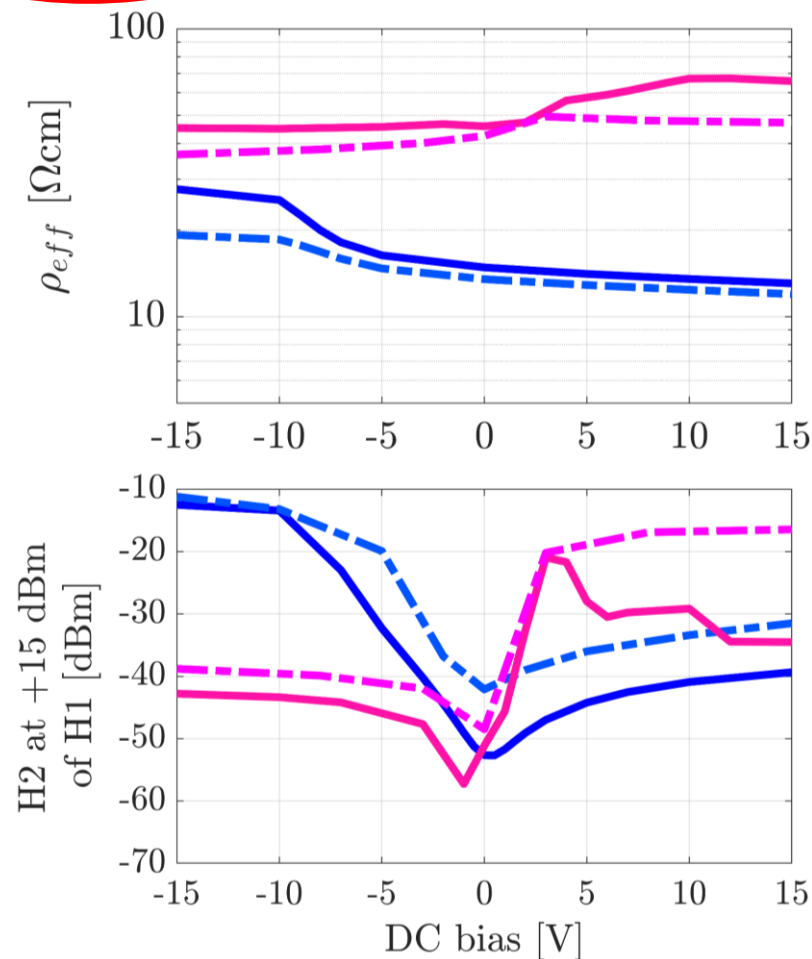
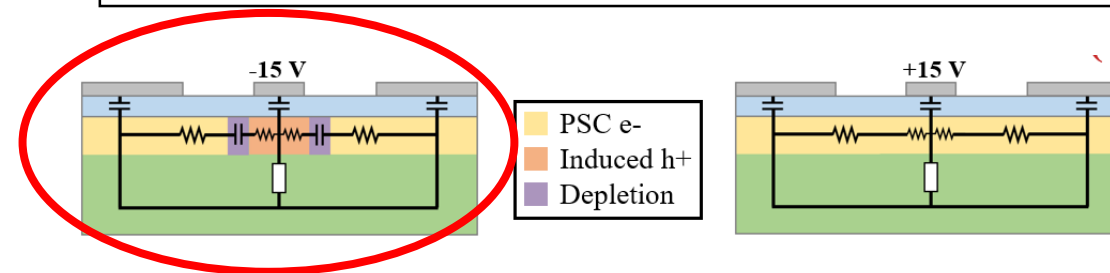
Substrate response in biased HR

Voltage Signal: $f = 900 \text{ MHz}$
 $A = 2.5 \text{ V}$
 $DC = -15 \text{ V}$

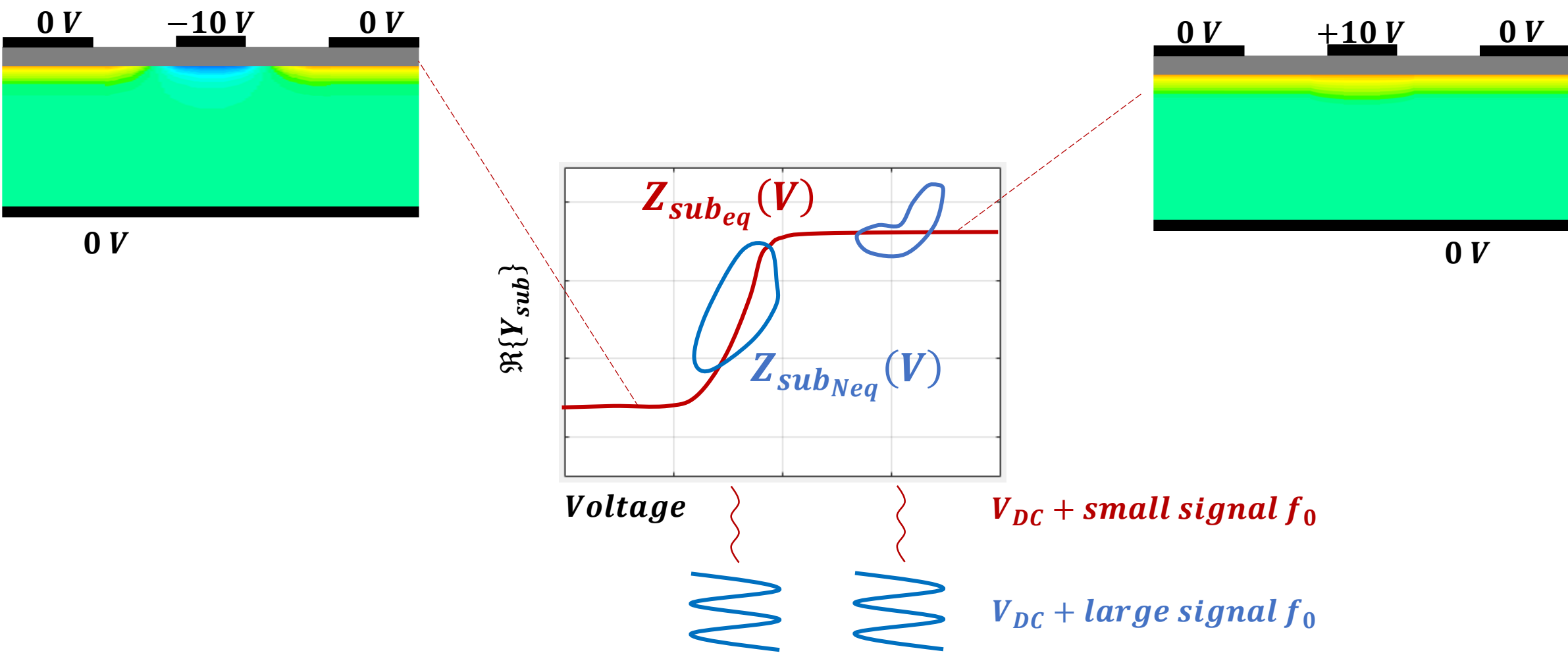
Larger impedance variation
 gives rise to **-12 dBm** of H2
 distortion

— Measurements
 - - - Simulation

HR-SiO₂ $Q_{ox} = +8 \cdot 10^{11} \text{ cm}^{-2}$
 HR-Al₂O₃ $Q_{ox} = -5 \cdot 10^{11} \text{ cm}^{-2}$



Substrate impedance vs. voltage



The substrate is always **out of equilibrium** in response to a large-signal $V_{RF}(t)$

The equilibrium $Z_{sub_{eq}}(V)$ curve's information is irrelevant !

*Even more obvious for
Trap-Rich (next slides)*

Non-Equilibrium Dynamics

-Dynamics of traps

Don't let all previous slides mislead you into thinking that:

TR substrates are very linear, because ionized traps provide the compensation charge so that free carriers do not have to.

⇒ This statement is false !

To compensate for a change in applied charge $+\Delta Q$, there are four compensation mechanisms:

$n \nearrow$ Drift to/from other parts of
 $p \searrow$ the substrate

$N_{tA}^- \nearrow$ Requires capturing an electron

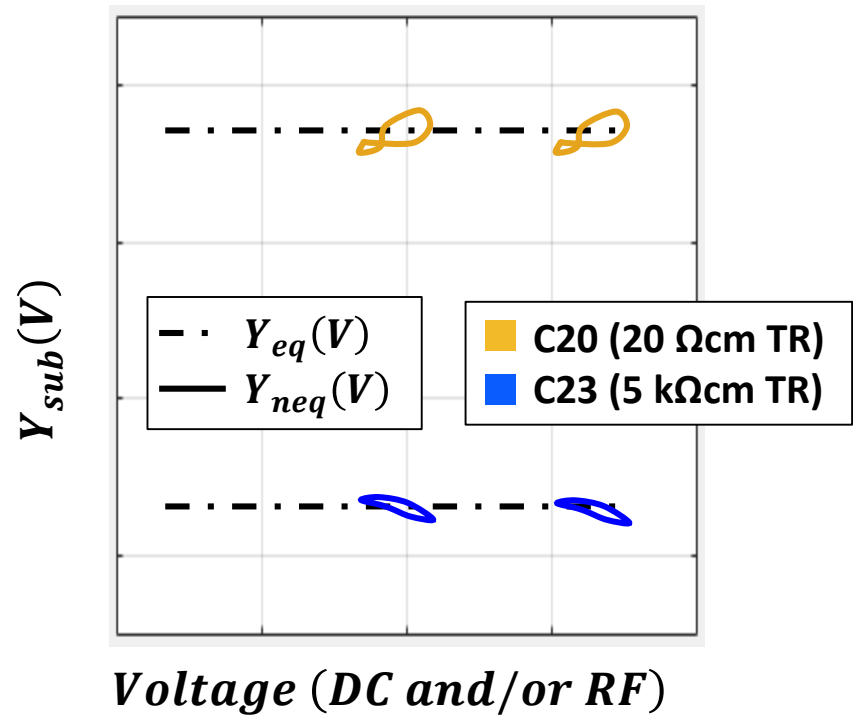
$N_{tD}^+ \searrow$ Requires capturing an electron
(equivalent to emitting a hole)

n and p must vary first.
 τ_{tn} and τ_{tp} are long, because n and p are low (TR)

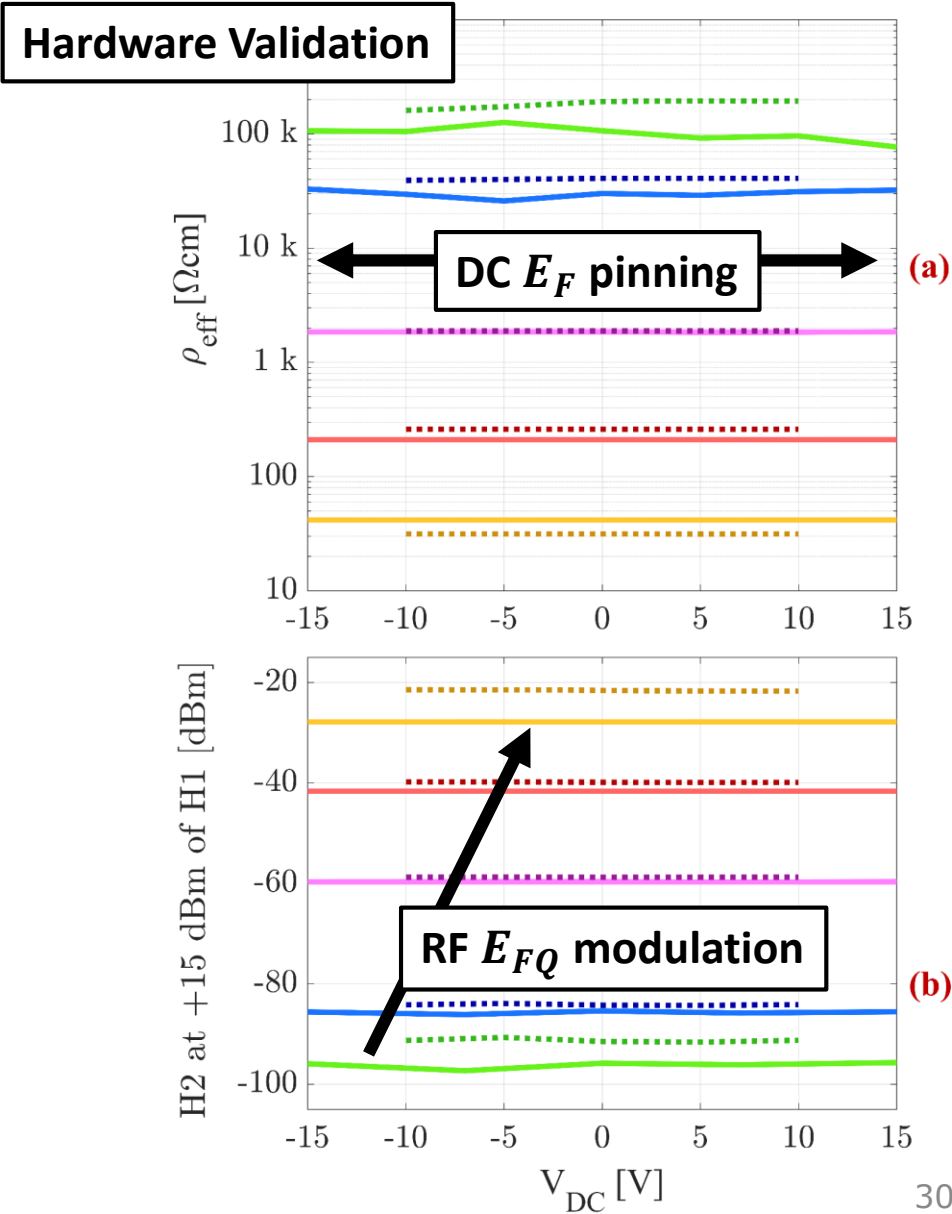
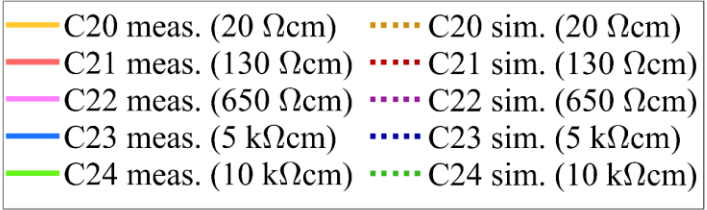
Non-Equilibrium Dynamics

-Dynamics of traps

- - Flat $Y_{eq}(V)$ curves: DC Fermi-level pinning
- Non-flat $Y_{neq}(V)$ curves: RF Fermi-level variations
Modulation of the available carriers



Instantaneous $Y_{neq}(V)$ curves do not follow the equilibrium $Y_{eq}(V)$ curves.



Further Model-Hardware Correlation

→ Model of in this presentation validated over many parameters:

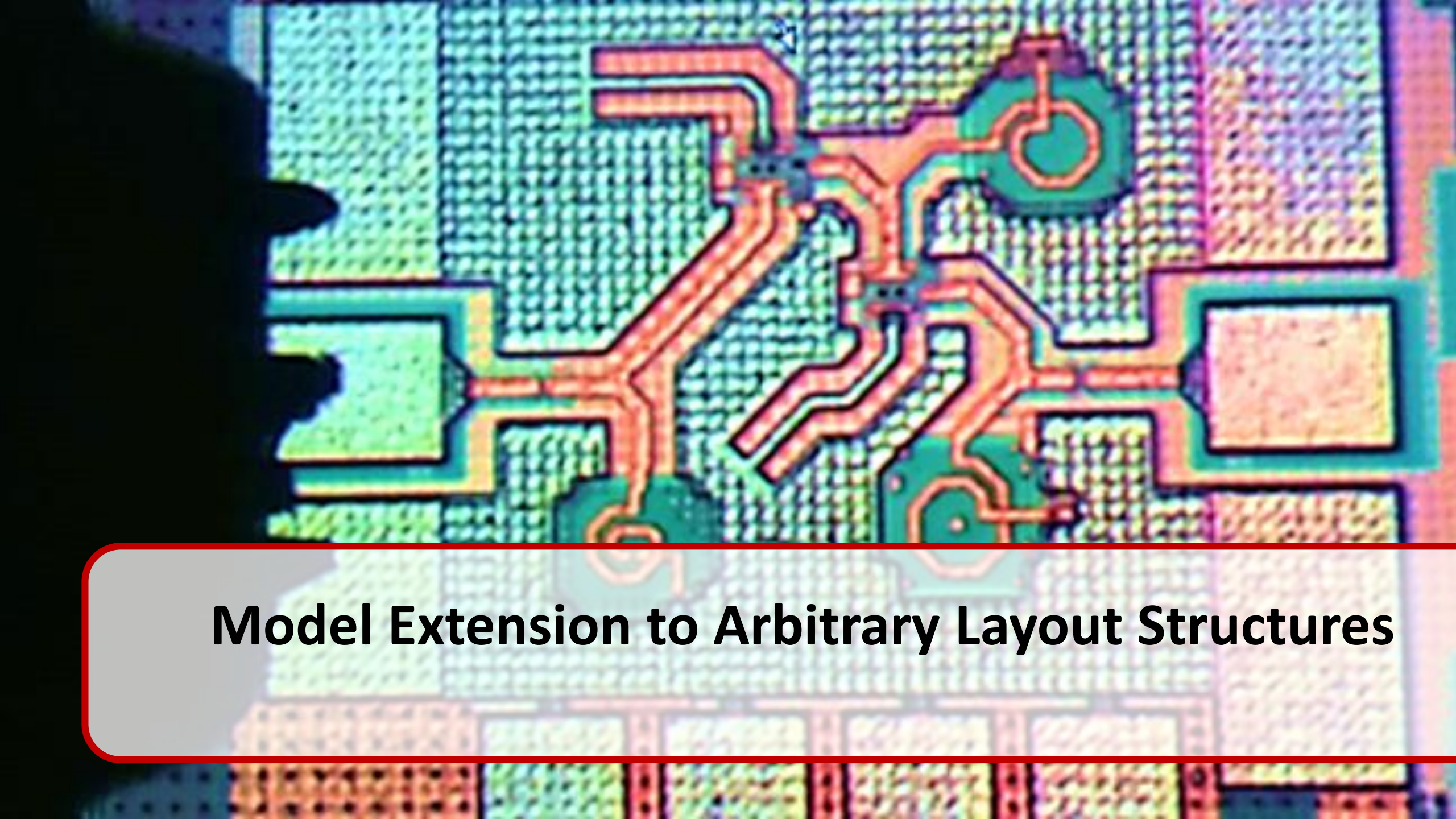
- | | |
|---|---------------------|
| • Amplitude | • Dimensions of CPW |
| • DC bias | • Frequency |
| • Fixed interface charge | • Temperature |
| • Interface traps and
<i>trap-rich</i> passivation | |

Full References:

- *Modeling of Semiconductor Substrates for RF Applications: Part I - Static and Dynamic Physics of Carriers and Traps*, doi: 10.1109/TED.2021.3096777.
- *Modeling of Semiconductor Substrates for RF Applications: Part II - Parameter Impact on Harmonic Distortion*, doi: 10.1109/TED.2021.3096781.

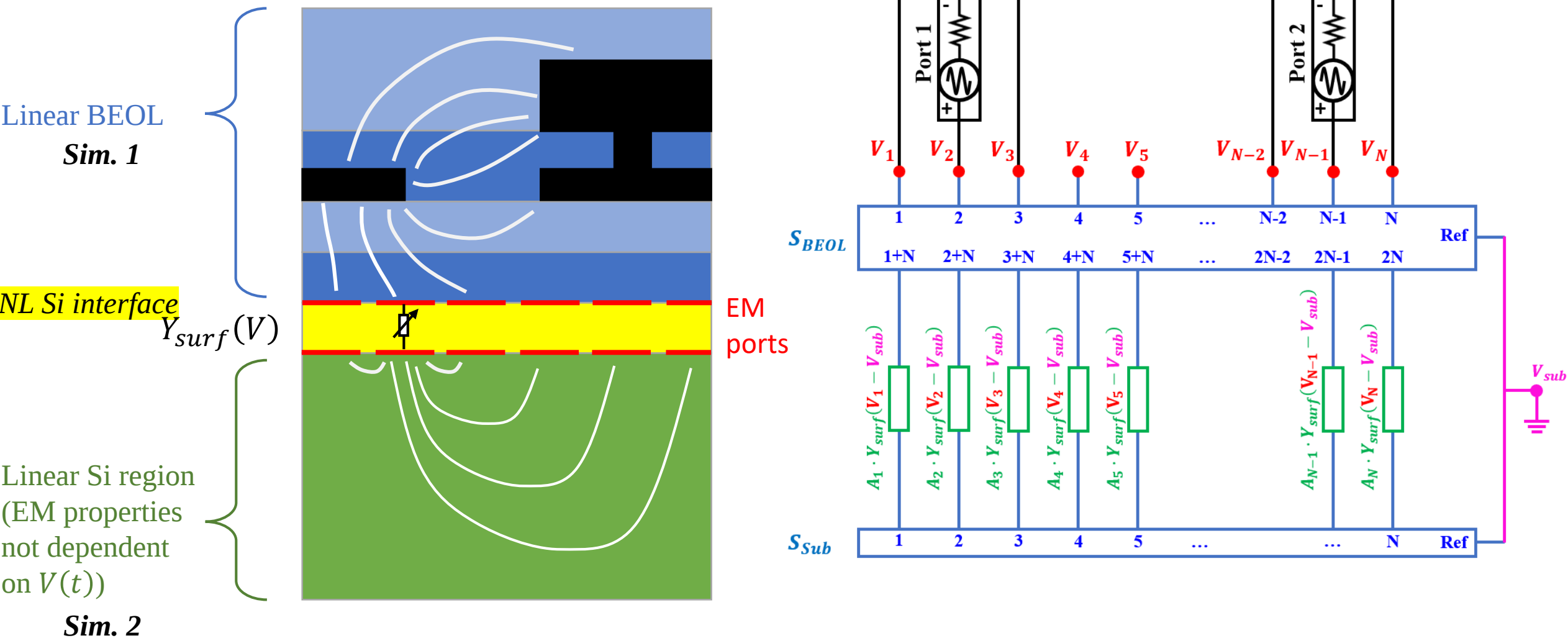
→ Model applied solely to CPW lines !

→ Could it be extended to arbitrary passive RF layouts ?



Model Extension to Arbitrary Layout Structures

Arbitrary layout simulation - A proposed approach

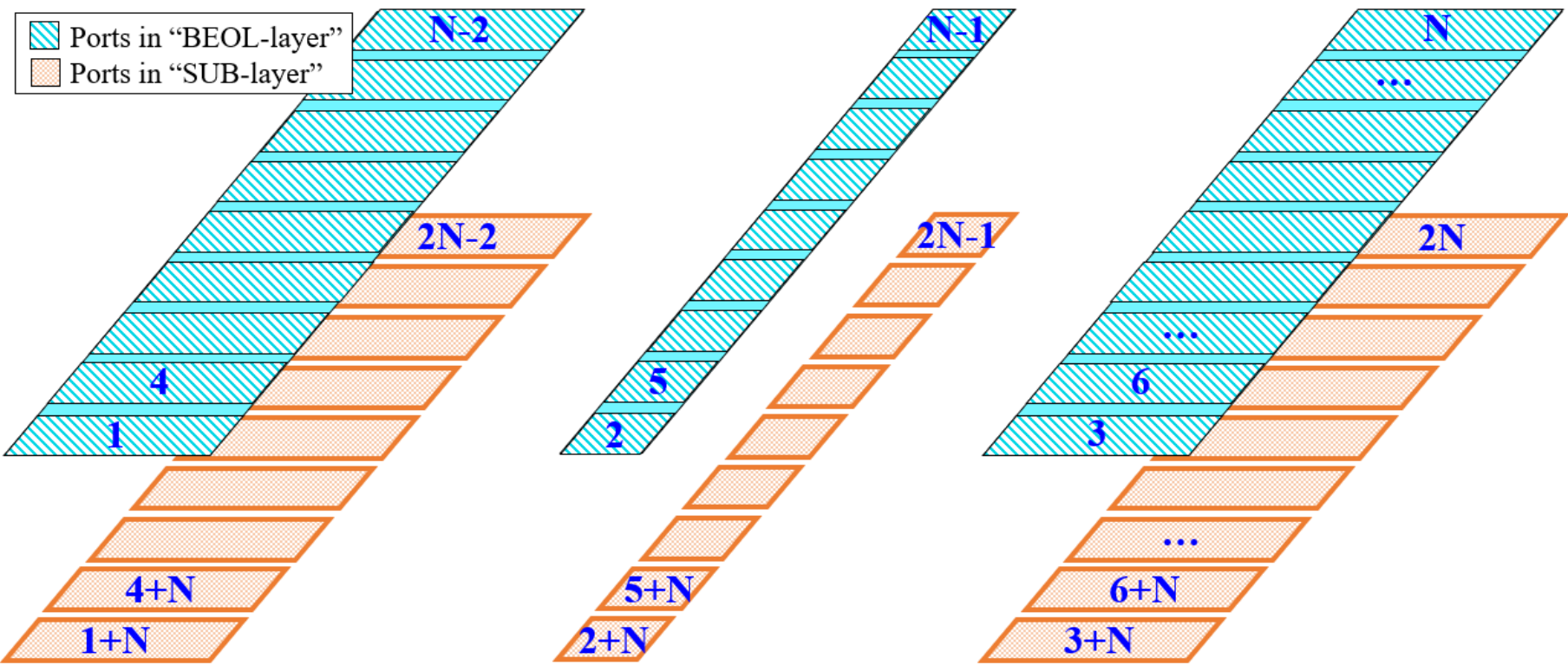


Large-signal simulation methodology combining:

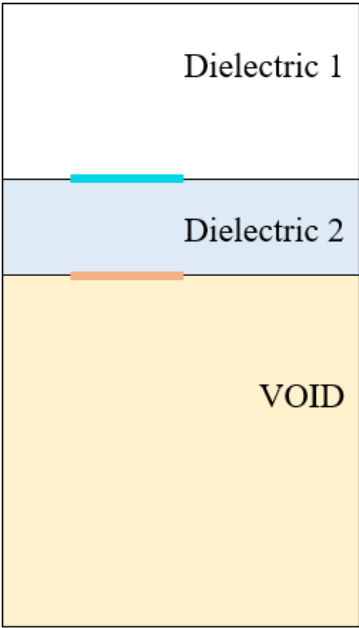
- a 2N-port EM simulation file S_{BEOL}
- an N-port EM simulation file S_{SUB}
- N non-linear dipole admittances $Y_{surf}(V)$

Sim. 1: Linear BEOL

BEOL EM Simulation



(a)



Material Stack A

(b)

BEOL EM Simulation.

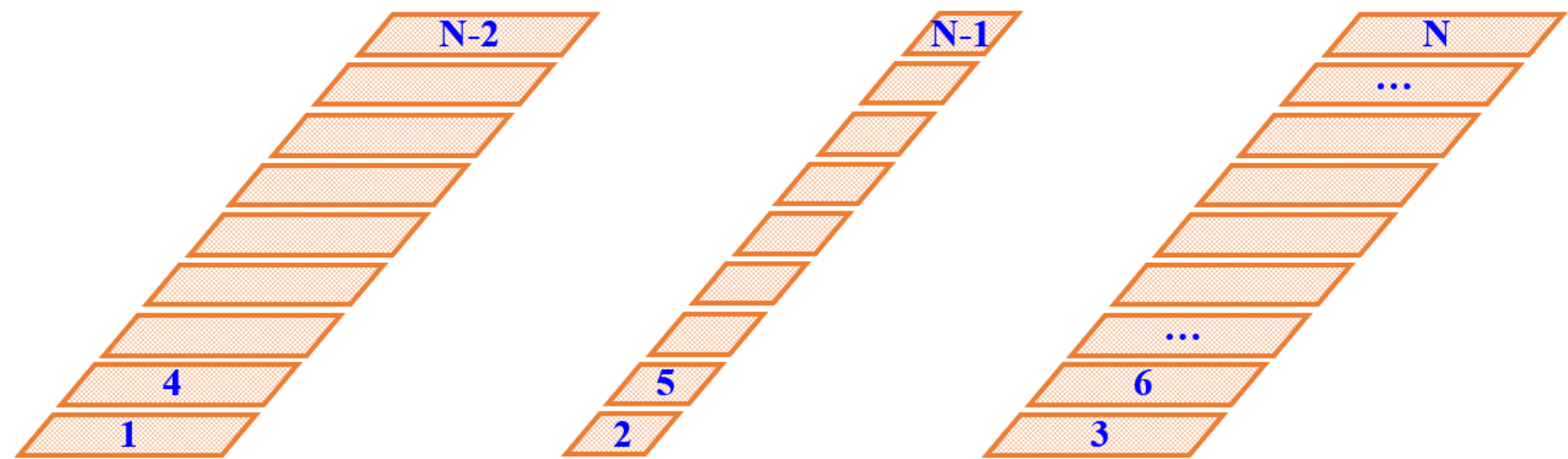
(a) Layout representation and 2N port definitions.

(b) Material stack used for the BEOL EM layout simulation.

Sim. 2: Linear Si Substrate Regions

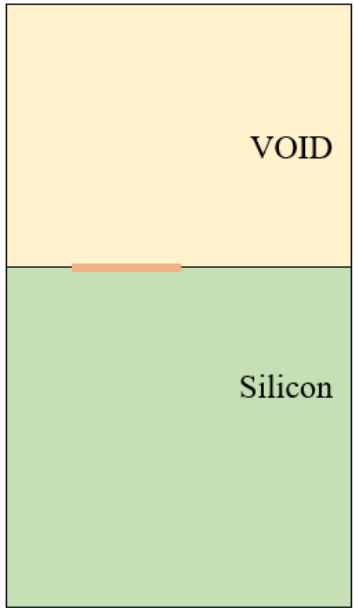
Substrate EM Simulation

Ports in "SUB-layer"



(a)

SUB-layer



Material Stack B

(b)

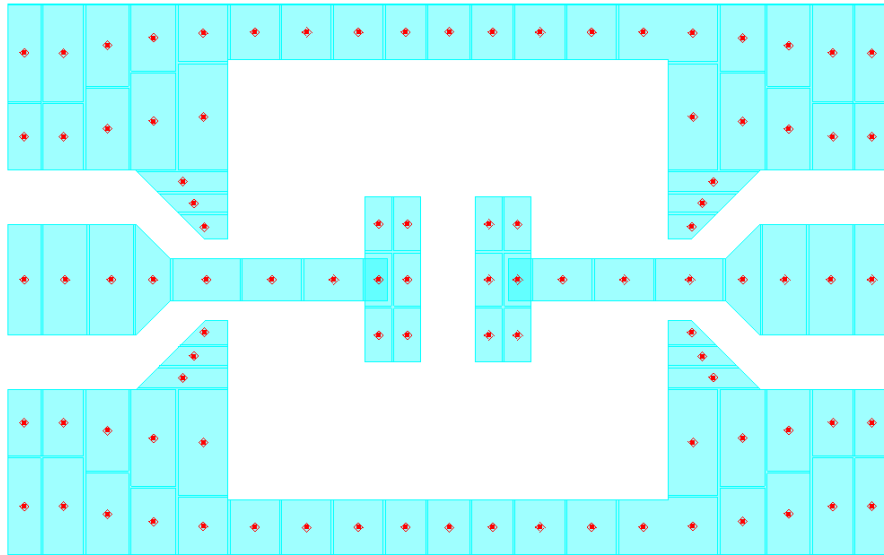
Substrate EM Simulation.

(a) Layout representation and N port definitions.

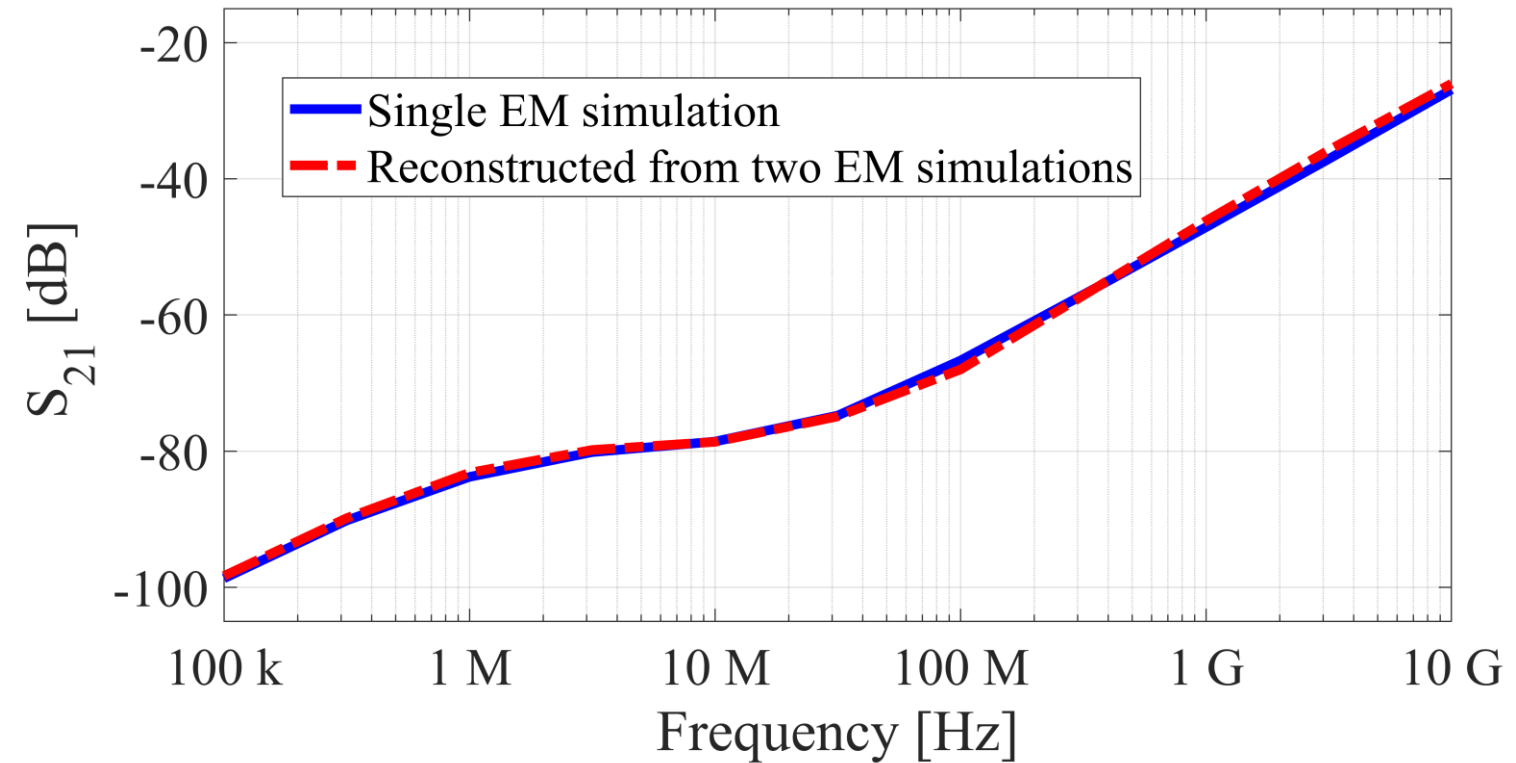
(b) Material stack used for the Substrate EM layout simulation.

Initial validation of the approach

Under small-signal



Two-port crosstalk layout and representation of the EM ports ($N = 96$).



Simulated S_{21} of the two-port crosstalk structure of using 2 approaches:

1. A single EM simulation and six pins to excite the two GSG ports of the structure.
2. Combining two separate EM simulations employing respectively N ports and $2N$ ports, that are then reconnected together to model the entire layout and materials.

Harmonic Balance

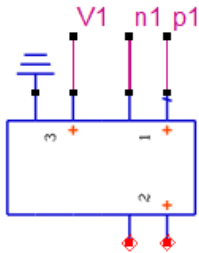
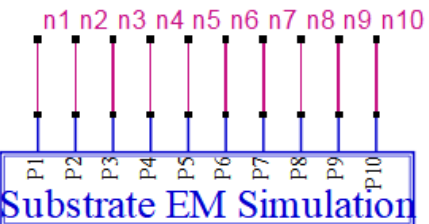
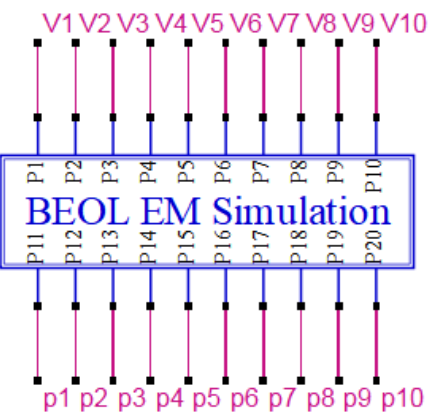
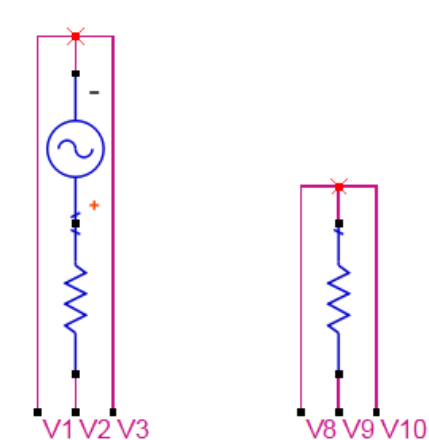
Large-signal simulation approach using ADS harmonic balance combining:

- Two EM S-parameter files (BEOL EM Simulation and Substrate EM Simulation)
- N non-linear surface admittance elements $Y_{surf}(V)$.

 HARMONIC BALANCE

Var
Eqn

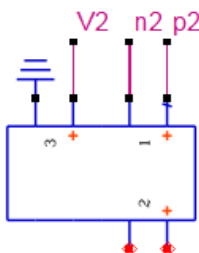
VAR
Ysurf_norm
Gsurf_norm(V)=Go0 + Go1*V + Go2*V^2 + Go3*V^3 + Go4*V^4
Csurf_norm(V)=Co0 + (Co1)*V + Co2*V^2 + Co3*V^3 + Co4*V^4



Var
Eqn

VAR
Ysurf_1
I[1,0]=(Csurf_norm(_v3)*f_0*(v2)+(v1)*Gsurf_norm(_v3))*A1
F[2,0]= -_v2
F[2,1]=_v1/f_0
C[1]=
Cport[1]=

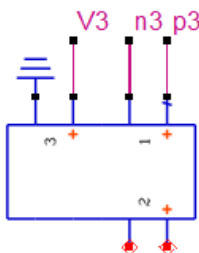
Var
Eqn
VAR
Area_1
A1=50e-6*40e-6



Var
Eqn

VAR
Ysurf_2
I[1,0]=(Csurf_norm(_v3)*f_0*(v2)+(v1)*Gsurf_norm(_v3))*A2
F[2,0]= -_v2
F[2,1]=_v1/f_0
C[1]=
Cport[1]=

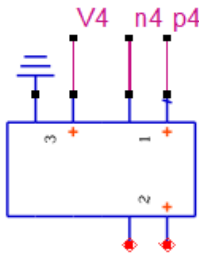
Var
Eqn
VAR
Area_2
A2=80e-6*40e-6



Var
Eqn

VAR
Ysurf_3
I[1,0]=(Csurf_norm(_v3)*f_0*(v2)+(v1)*Gsurf_norm(_v3))*A3
F[2,0]= -_v2
F[2,1]=_v1/f_0
C[1]=
Cport[1]=

Var
Eqn
VAR
Area_3
A3=50e-6*40e-6

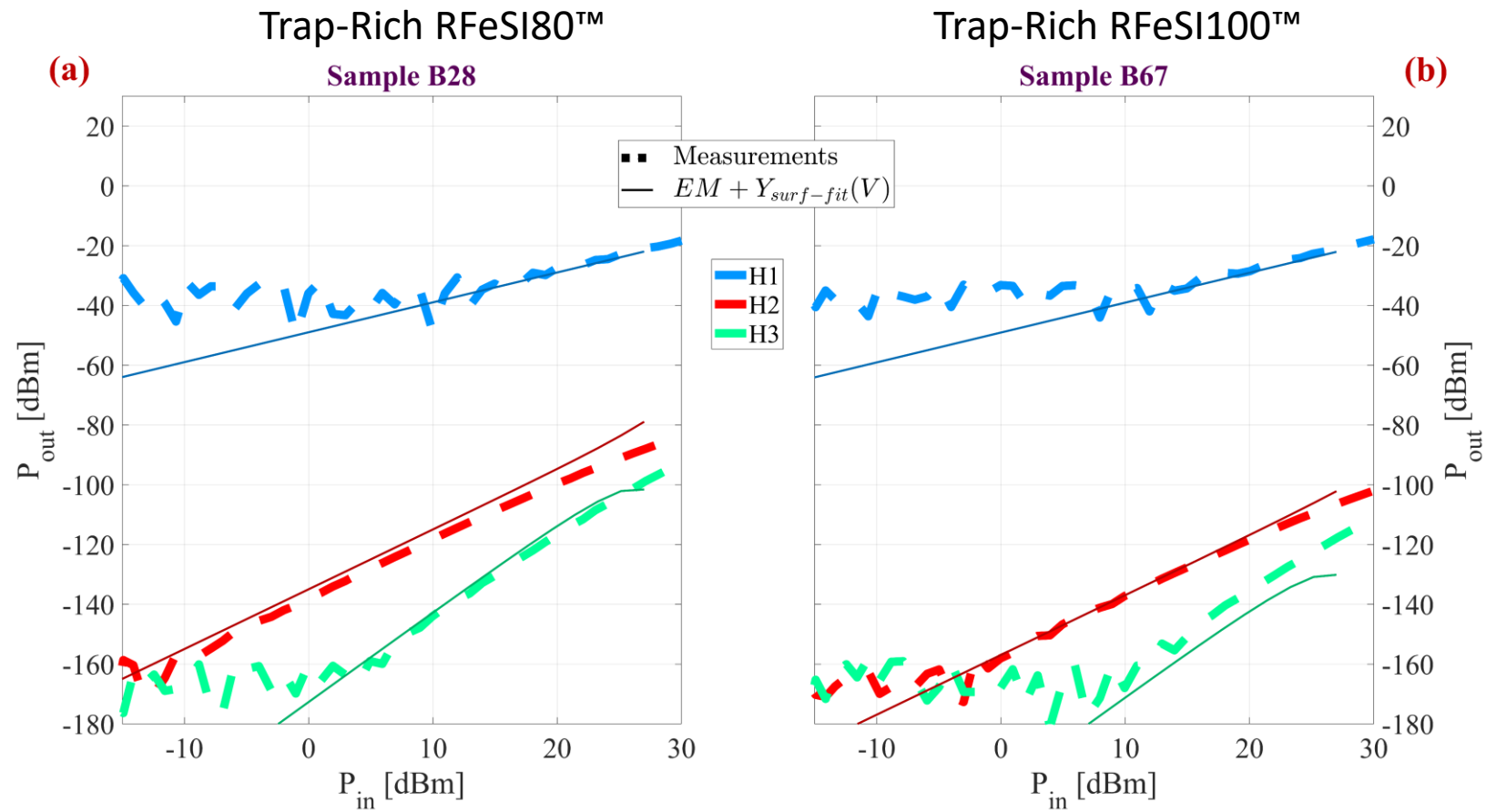
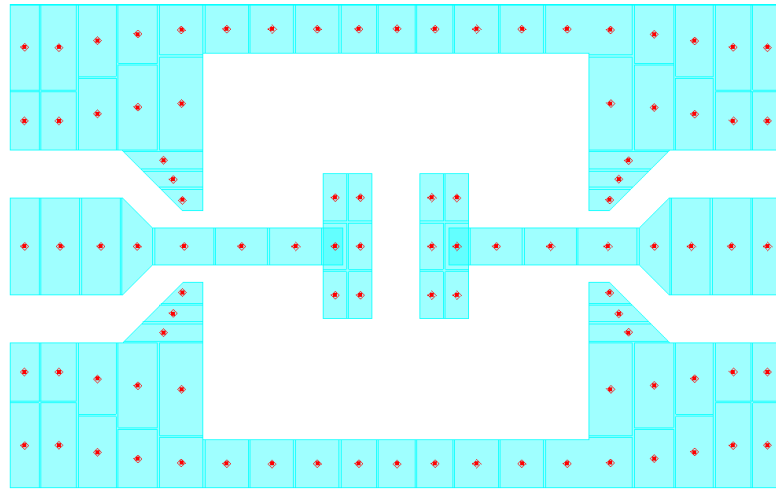


Var
Eqn

VAR
Ysurf_4
I[1,0]=(Csurf_norm(_v3)*f_0*(v2)+(v1)*Gsurf_norm(_v3))*A4
F[2,0]= -_v2
F[2,1]=_v1/f_0
C[1]=
Cport[1]=

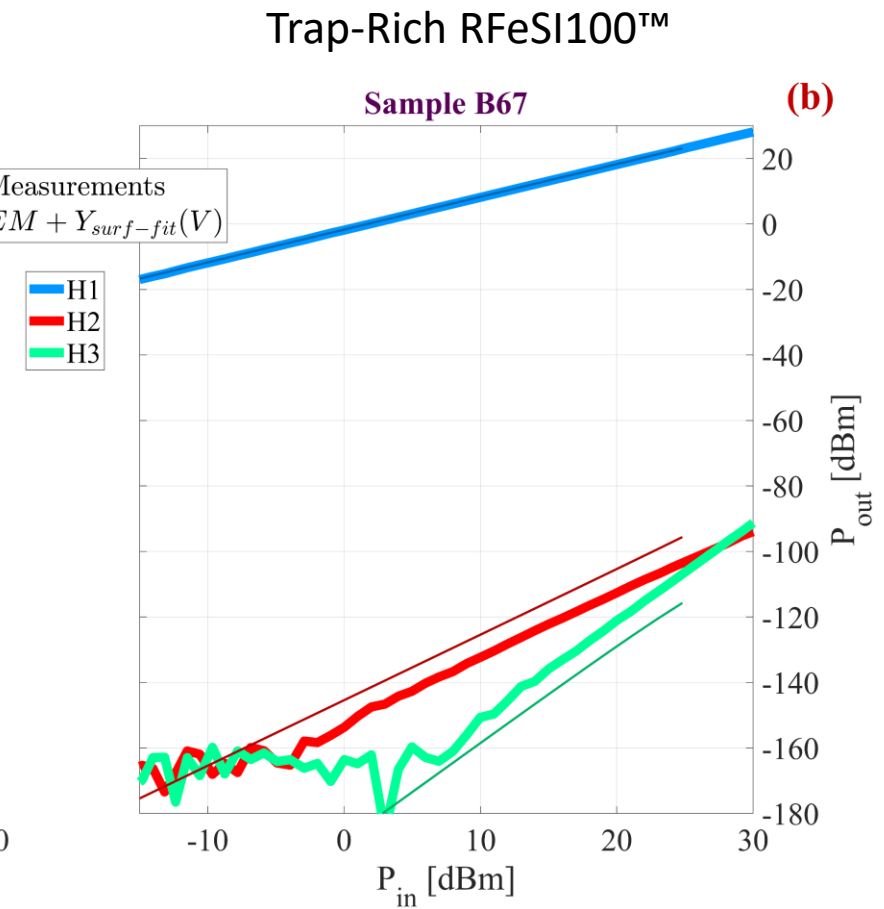
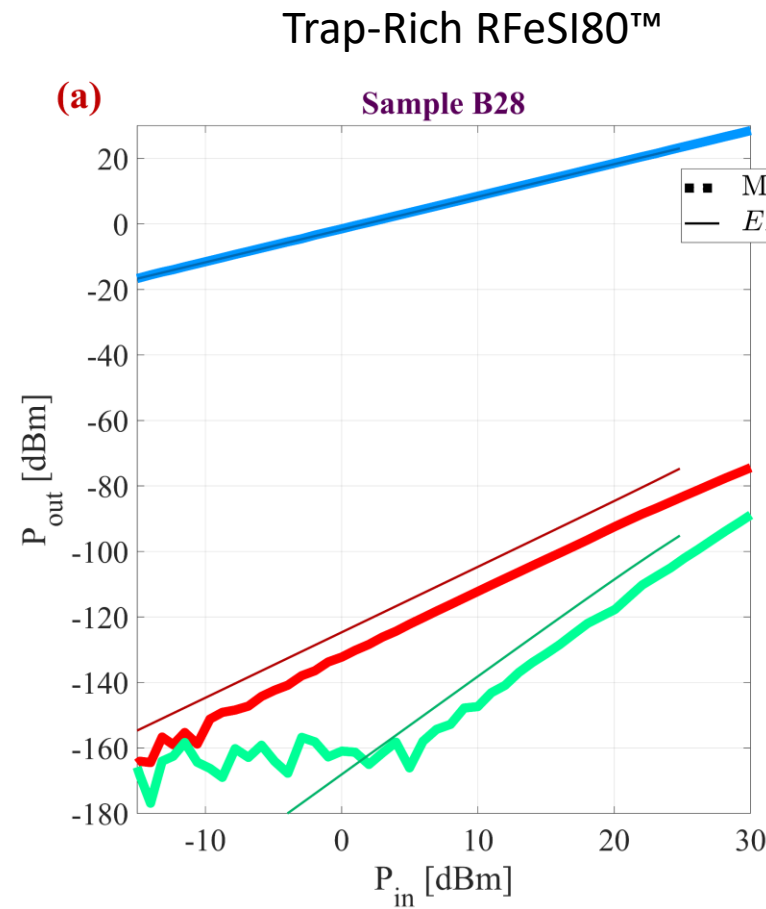
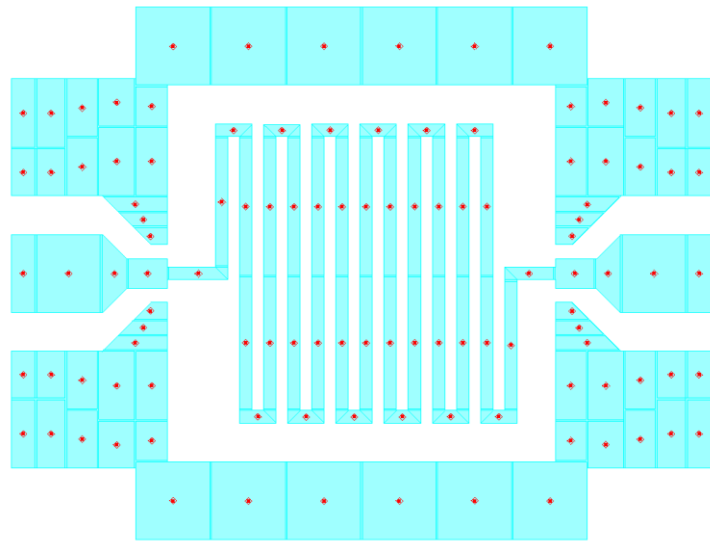
Var
Eqn
VAR
Area_4
A4=30e-6*40e-6

Model on arbitrary layout: 2-port crosstalk structure



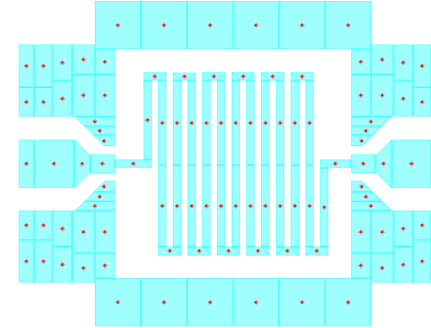
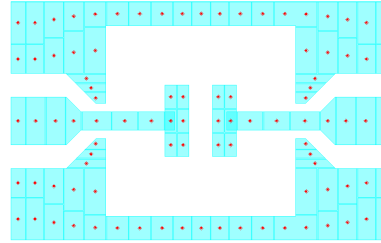
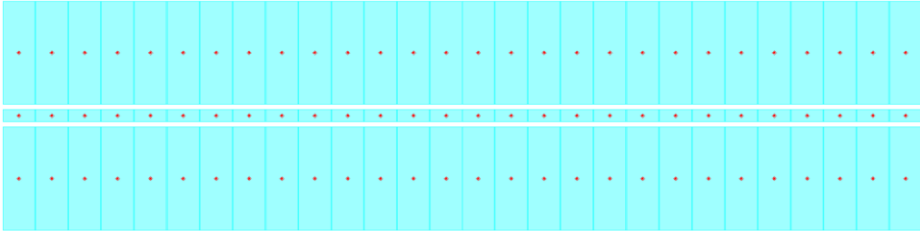
Measured and simulated power of the output components of the **crosstalk structure** on samples **B28** and **B67** for a **900 MHz** input signal of power P_{in} . Low noise floor (-170 dBm) measurements courtesy of *Incize*.

Model on arbitrary layout: *2-port Meander Line*



Measured and simulated power of the output components of the **inductive meander line** on samples **B28** and **B67** for a **900 MHz** input signal of power P_{in} . Low noise floor (-170 dBm) measurements courtesy of *Incize*.

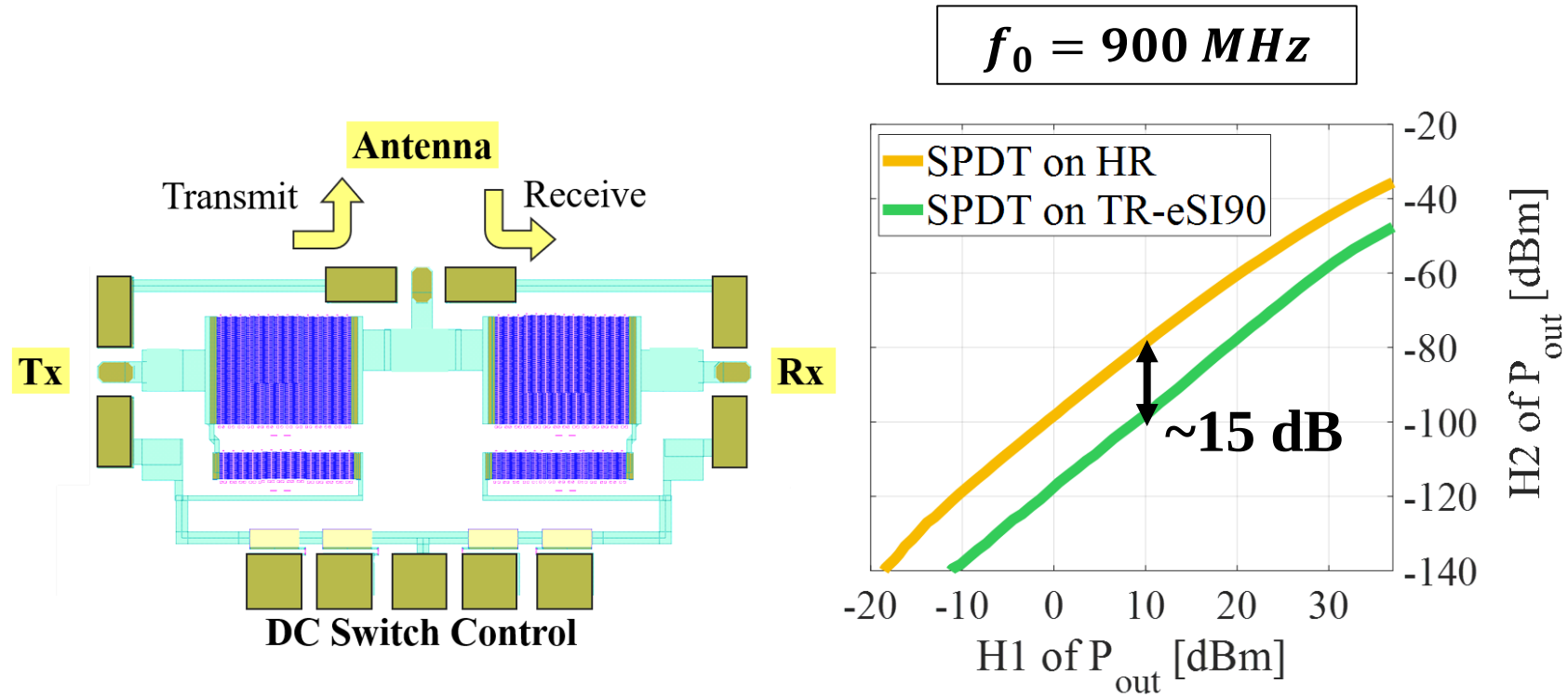
Model to hardware correlation



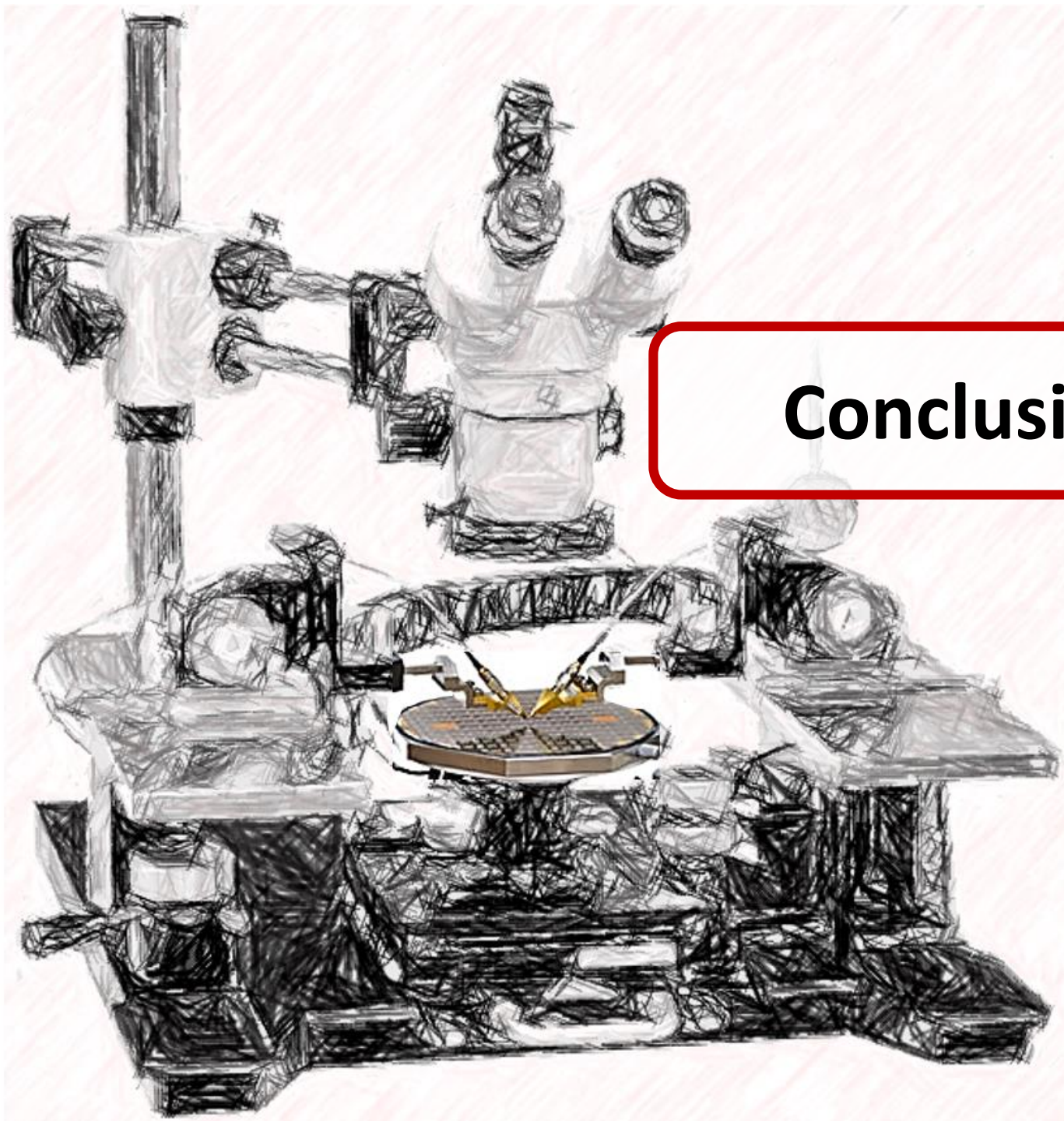
		CPW		Crosstalk		Meander	
		Meas.	Model	Meas.	Model	Meas.	Model
B28	$H1$	+14.6	+14.6	-33.2	-34.0	+13.5	+13.3
	$H2$	-82	-84	-109	-106	-102	-95
	$H3$	-110	-113	-130	-128	-131	-123
B67	$H1$	+14.6	+14.6	-33.3	-34.0	+13.2	+13.3
	$H2$	-102	-104	-128	-127	-122	-116
	$H3$	-138	-141	-150	-155	-135	-142

Table Recap of the model to hardware correlation for the three considered layouts using the $EM + Y_{surf-fit}(V)$ approach. All data is expressed in dBm and is taken a +15 dBm of fundamental input power at 900 MHz.

Example of substrate impact:



Next steps: Simulate such substrate impact



Conclusion and Take-Aways

Conclusion

- **Stringent linearity** specification for advanced telecommunications
 - Overall RFIC linearity affected by the substrate
- **Large-signal modelling is a challenge**
 - Account for static and dynamic behaviours of carriers and traps
 - Under non-equilibrium transient RF signal excitations

Dynamic effects and trap/carrier inertia (time constants) were highlighted

The concept of instantaneous substrate impedance out of equilibrium was introduced, accounting for such effects

- **Modelling of arbitrary RF layouts**
 - Combining TCAD transient instantaneous surface impedance descriptions with full EM simulations of linear material regions
 - Promising initial results

Take-Aways

Need for specially optimized/engineered RF substrate solutions

The physical phenomena associated to *Parasitic Surface Conduction* and to trap-rich passivation was reviewed

Large-signal CPW model (2D cross-section coupled with T-line theory) developed in TCAD with good results

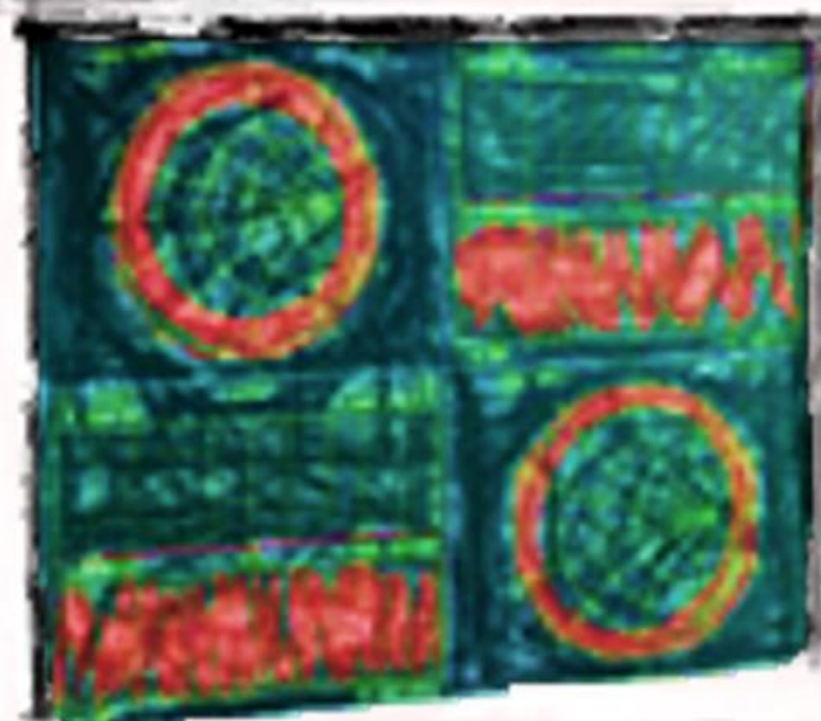
Work starting with an EDA company at the end of 2021

Interest of such Modelling:

1. Support the development of future/novel RF substrate solutions
2. Evaluate the linearity impact of the substrate in an RF module during IC design

**Thank you for
your attention**

Contact: martin.rack@uclouvain.be



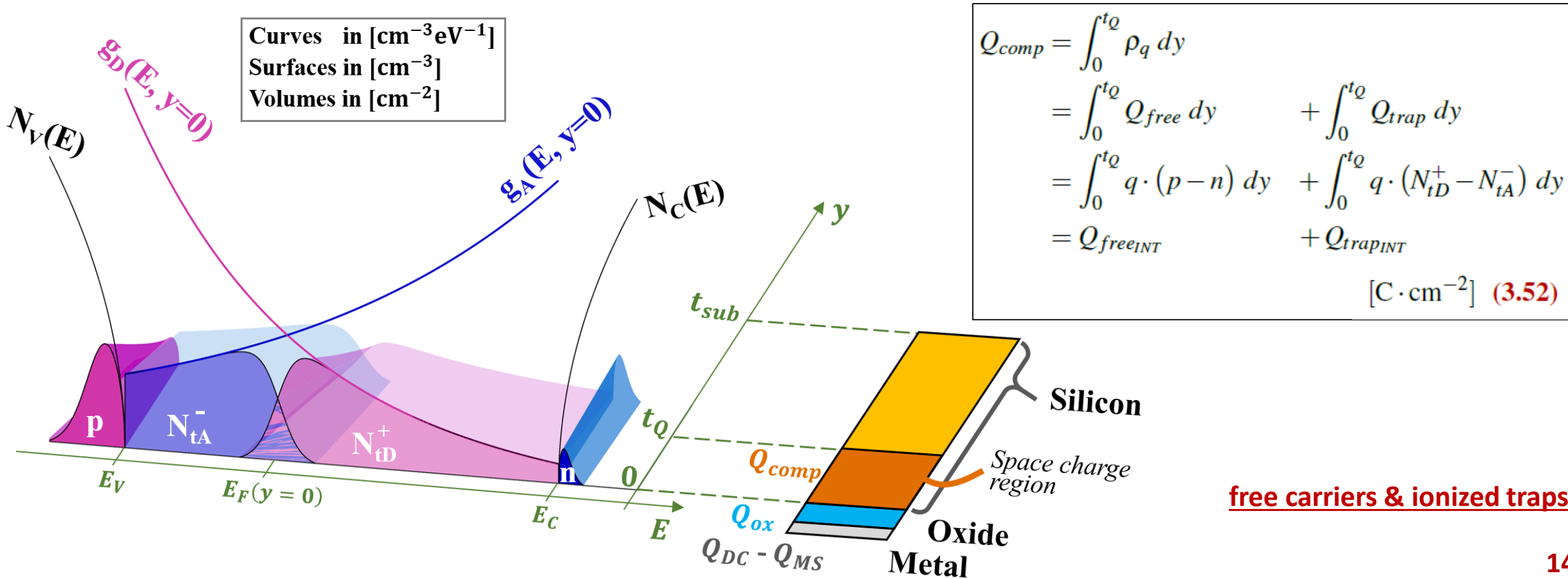
Backup Slides

Quantitative Charge Balance

$$Q_{Applied} = Q_{DC} + Q_{ox} - Q_{MS} \quad [C \cdot cm^{-2}] \quad (3.49)$$

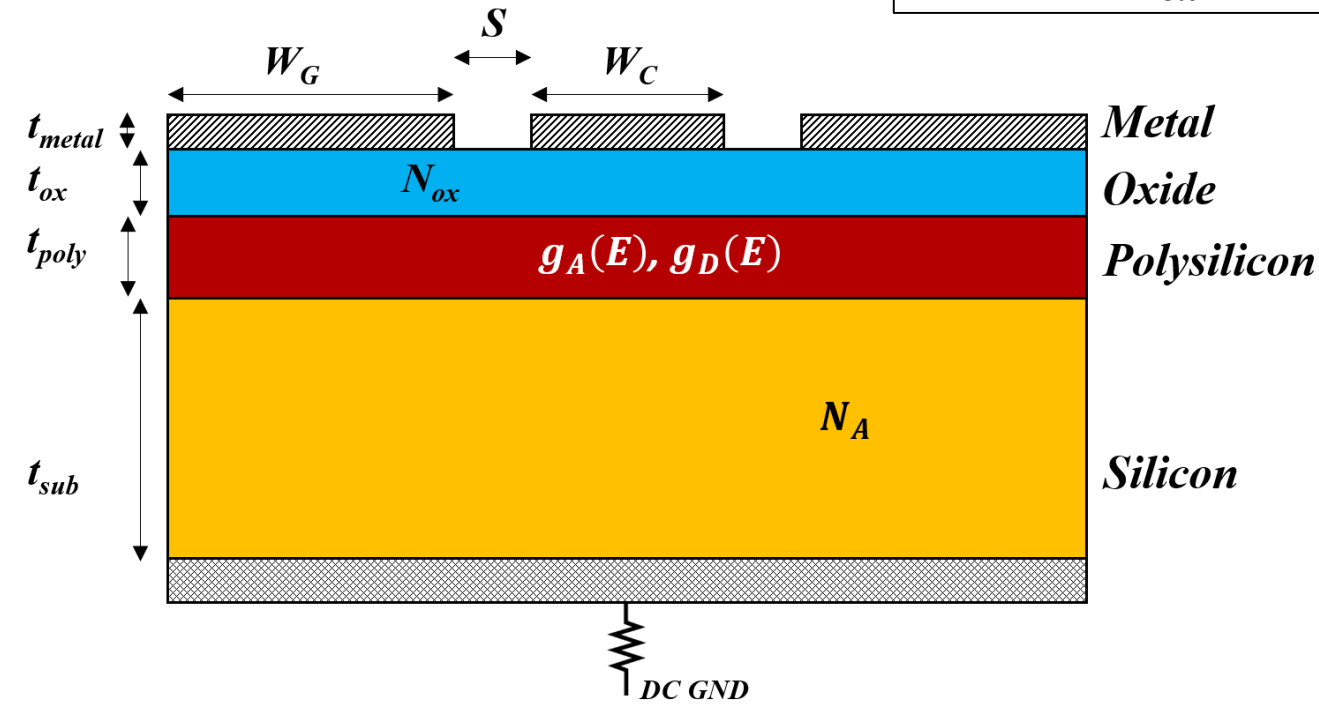
$$= V_{DC}C_{ox} + Q_{ox} - V_{MS}C_{ox} \quad [C \cdot cm^{-2}] \quad (3.50)$$

$$Q_{comp} = -Q_{Applied} \quad \text{at equilibrium} \quad [C \cdot cm^{-2}] \quad (3.51)$$



Traps for RF performance

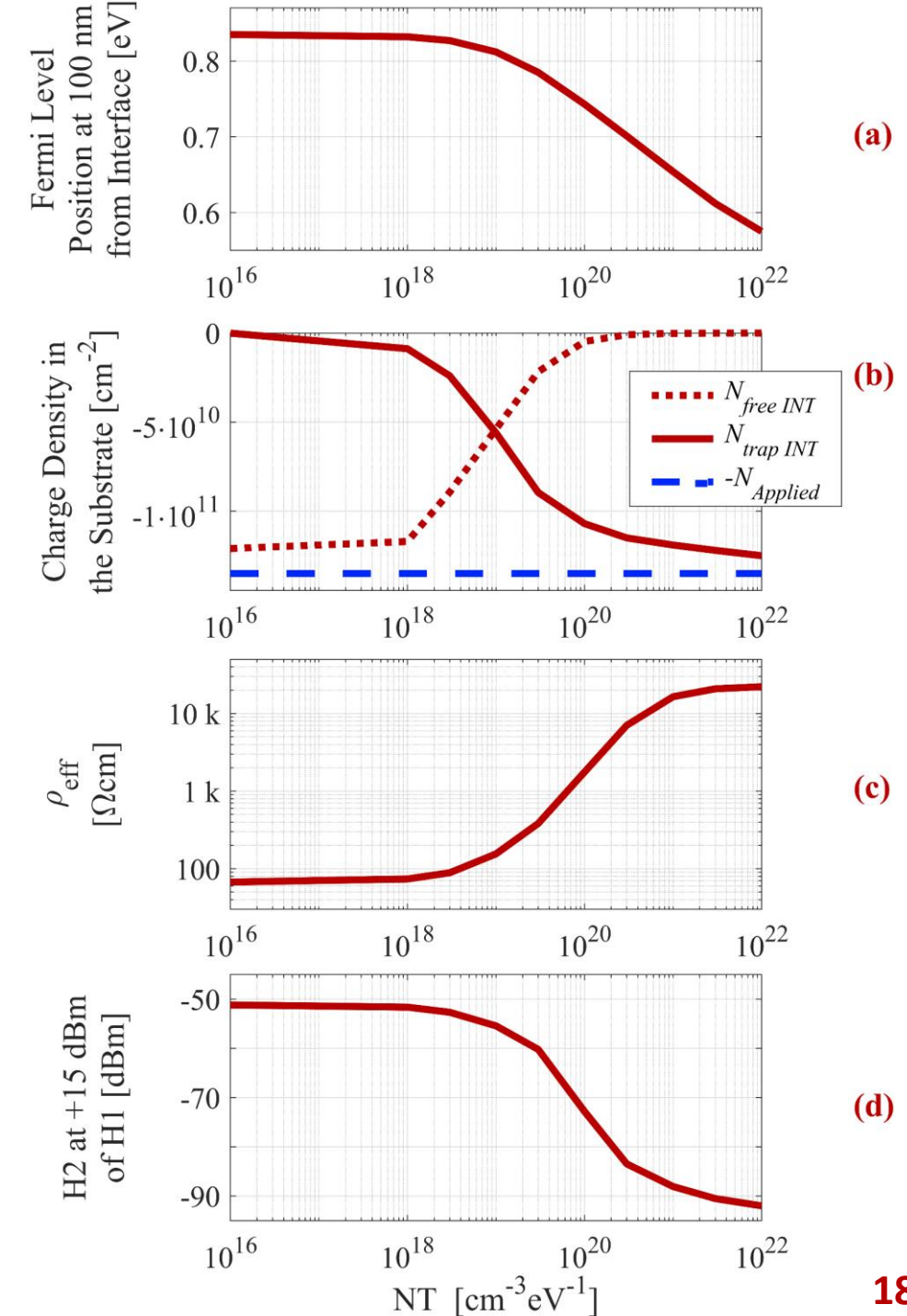
$$\text{HR bulk \& } N_{ox} = 10^{11} \text{ cm}^{-2}$$



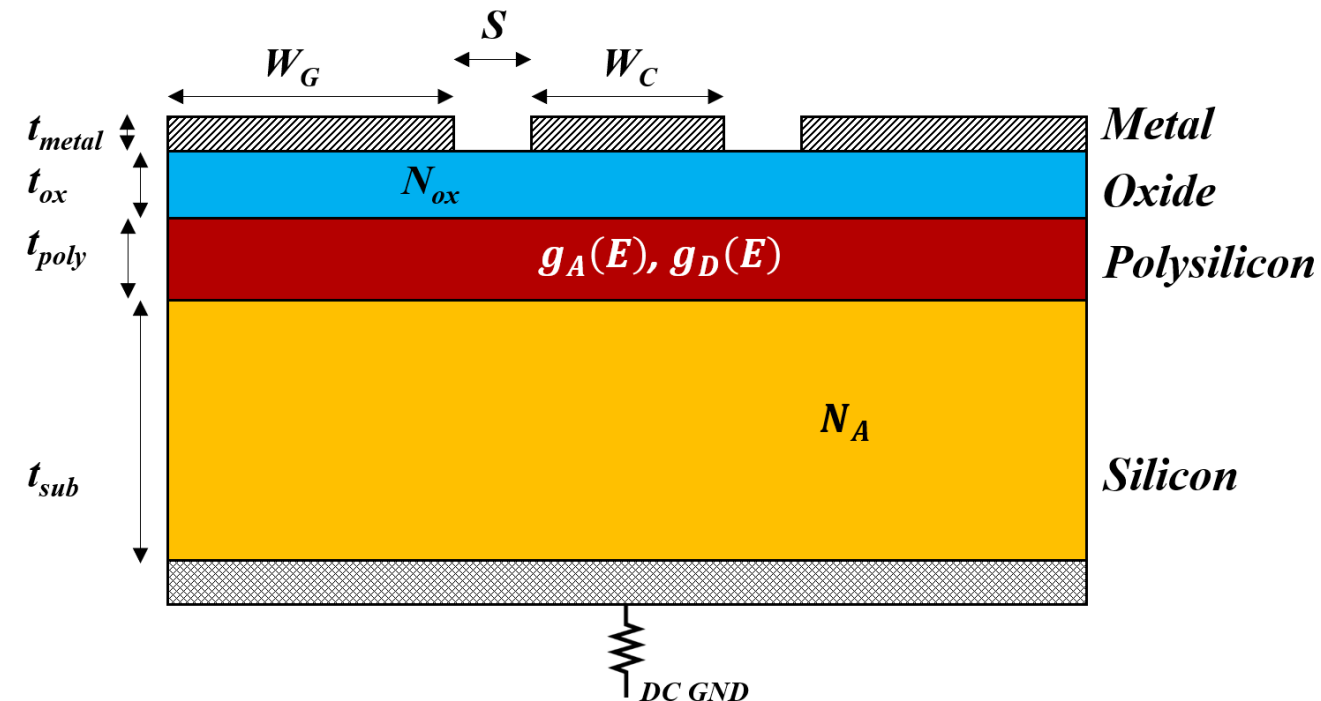
Trap densities $g_A(E)$ and $g_D(E)$ are proportional to parameter NT

As NT increases:

- Interface **Fermi level** moves deep.
- **Ionized traps** provide the majority of the compensation charge.
- Substrate impedance ρ_{eff} **increases**.
- Substrate becomes **more linear**.



Traps for RF performance – bias dependency



As NT increases:

- Interface Fermi level becomes **tightly pinned**.
- Small variation in E_F results in large variations in N_{trap} , and little in N_{free} .
- Substrate impedance $\rho_{eff}(N_{free})$ **becomes bias independent**.
- Substrate linearity also.

