Methodology to modify and adapt the standardised spectral power distributions for daylight to account for geographical, seasonal and diurnal variations for practical applications

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Short title: Method to create local, seasonal daylight spectra

In recent years, the spectral properties of solar radiation and daylight have become increasingly important in lighting design and research, and various approaches to implement these have been applied. This paper proposes to modify and adapt the CIE reconstruction method, a procedure developed in the early 1960s to define standardised spectral power distributions of daylight, for this purpose. The CIE D Illuminants resulting from the reconstruction procedure are widely used for standardisation purposes, but are based on a smaller number of measurements and do not consider geographical, seasonal and diurnal variations. In order to be able to use the CIE reconstruction method specifically in daylight planning, research and application, a technical committee of the CIE has launched a world-wide measurement campaign to collect spectral daylight measurements. The aim of the committee is to formulate a customised reconstruction method that more accurately reflects the local spectral power distributions of daylight. This paper contributes to the discourse on the improvement of daylight estimation methods and emphasises the importance of accurate daylight data in various scientific and practical contexts.

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1. Introduction

This paper presents a methodology to create representative spectral power distributions (SPDs) for daylight conditions, considering geographical, seasonal, and diurnal variations. These SPDs can be used for daylighting research, design, and application purposes. It builds upon the use of the spectral properties of solar radiation and daylight in various disciplines, as in recent years, work with this type of data has increased significantly. This includes work in the development of solar technology, lighting design to support human health, and lighting optimisation for plant growth. This paper gives a brief overview of the use of spectral measurements and models, and standardised SPDs. A larger number of associated uses of spectral characteristics of solar radiation or daylight can be found in the introduction of an overview paper of Gueymard.[1]

1.1 Use of spectral measurements and models of solar radiation and daylight

Spectral solar radiation measurements conducted at weather and observation centres by the *atmospheric science* community are, for example, being used to study atmospheric composition (*e.g.* in the Network for the Detection of Atmospheric Composition Change NDACC,[2] and its impact on our climate, ecosystems, and human health. The ground-based characterisation is typically done using a spectroradiometer,[3] a sensor or dosimeter to measure solar radiation in specific UV wavelength bands,[4] or a multidirectional spectroradiometer.[5] Within this field of expertise, radiative transfer models, such as libRadtran,[6] SBDART,[7] and MODTRAN,[8] were developed to simulate the spectrally resolved transfer of extra-terrestrial radiation through the atmosphere.

Within the area of *flora & fauna, agriculture & forestry,* spectral daylight data can be employed in such fields as agriculture & horticulture, photosynthesis, crop and forest productivity, and the terrestrial ecosystem. The spectrum of light influences photosynthetic and photomorphogenic processes in plant growth, which is of interest in predicting agricultural yield, as well as in spectral-tuning of complementary electric lighting for providing daily light integration in artificial and semi-artificial zones such as greenhouses. Thus, local daylight measurements,[9,10] or simulations with standardised SPDs of daylight as proposed by Ashdown,[11] could increase prediction accuracy as well as better design for such lighting systems. Additionally, soil characterization in the field can be improved with knowledge of the spectral composition of daylight.[12]

The field of *computer graphics*, more specifically the domain that provides outdoor lighting conditions for product visualisation, the movie and gaming industry, as well as autonomous vehicle sensor training, benefits from simulated spectral sky conditions. Various approaches are utilised in the field to generate spectral sky conditions, including image-based lighting,[13,14] sky models using approximations and lookup tables,[15] or fitted analytic sky models using atmospheric details.[16-19] These approaches provide a fast and realistic rendering of static and dynamic outdoor daylighting conditions.

In discipline-specific studies of *solar energy*, spectral characteristics of solar radiation are typically derived from solar measurements, satellite-based models, numerical weather prediction models, radiative transfer models, and reference solar spectra (total global, direct, and diffuse) to determine feasibility, financial viability, predicted performance, and to optimise system operation.[20] Spectral data is especially relevant and there is increasing demand for researching new solar technologies.[20] Recent studies show that neglecting spectral and angular details can result in significant deviations in PV power modelling.[21] Therefore, using one standardised spectrum is insufficient for determining cell efficiency.[22] Hence, to define the efficiency of innovative solar energy applications more accurately, the use of local spectral measurements[23,24] or spectral radiation models, such as SMARTS[1] is proposed.

In the *health* domain, the spectral composition of solar radiation is important for a wide variety of effects, both beneficial and deleterious and the balance of exposure therein. Vitamin D is well known to be induced in the skin by irradiation with UV-B,[25] however, there is increasing focus on the effects of longer wavelength light and overall spectral composition. UV-A in solar radiation induces nitric oxide formation in the skin, leading to a reduction in blood pressure and cardiovascular risk,[26] with non-damaging doses of daylight shown to initiate these effects in vitro.[27] Daylight photodynamic therapy relies primarily on blue light,[28] however, a full spectral weighting of biological efficacy is applied to daylight for the most accurate dosimetry.[29] In such applications, a range of measurement methods may be used, even though simpler methods are often desired where dosimeters (*e.g.* photodiode detectors) can be calibrated to a biological weighting function.

In *daylighting research, design, and application*, the spectral characteristics of daylight are simulated to serve the daylighting design process and related research. These simulations are, for example, used to estimate non-image forming (NIF) effects,[30-32] or to assess the appearance of urban environments and building spaces in architecture and lighting visualizations.[15,33,34] For this purpose, several approaches are being used to implement the spectral information in various simulation tools. First of all, spectral measurements in LARK[35] and LUMOS[36], High Dynamic Range images for image-based lighting simulations in Radiance,[33] but also spectral sky models, such as the physics-based radiative transfer model libRadtran used in ALFA,[37] or data-driven models,[38,39] and the "L to CCT" models,[40] of which the latter are used in Radiance[41] and OWL.[42]

For application purposes, the spectral characteristics of daylight are, for example, captured by spectroradiometers, sensors, (fisheye) cameras or dosimeters. These measurements can be used in the quantification of spectral daylight exposure, which is relevant for lighting applications and related research purposes,[43-46] specifically in the estimation of NIF effects,[47-49] photodynamic therapy,[28] or advanced lighting control systems.[50,51] SPDs are directly measured[30-32] or derived from chromaticity coordinates of cameras or RGB and XYZ sensors by means of a reconstruction method.[52,53]

Spectral characteristics of daylight have also found their way into *artwork*, where artists use spectral measurements and observations to study and present the colour of the sky in their art.[54-56]

1.2 Use of standardised spectral profiles of solar radiation and daylight

CIE and ISO provide standardised SPDs representing daylight known as D Illuminants.[57] These D Illuminants have a notation that reflects the correlated colour temperature (CCT) of the daylight source, *e.g.* D65 is the CIE standardised spectral power distribution of daylight with a

CCT of 6 500 K. D Illuminants at any nominal CCT between 4 000 K and 25 000 K can be calculated using a mathematical reconstruction procedure proposed by the CIE,[58] as shown in Figure 1. This procedure is most commonly used in daylight research, design and application, especially to evaluate the NIF effects of daylight.[35,59]

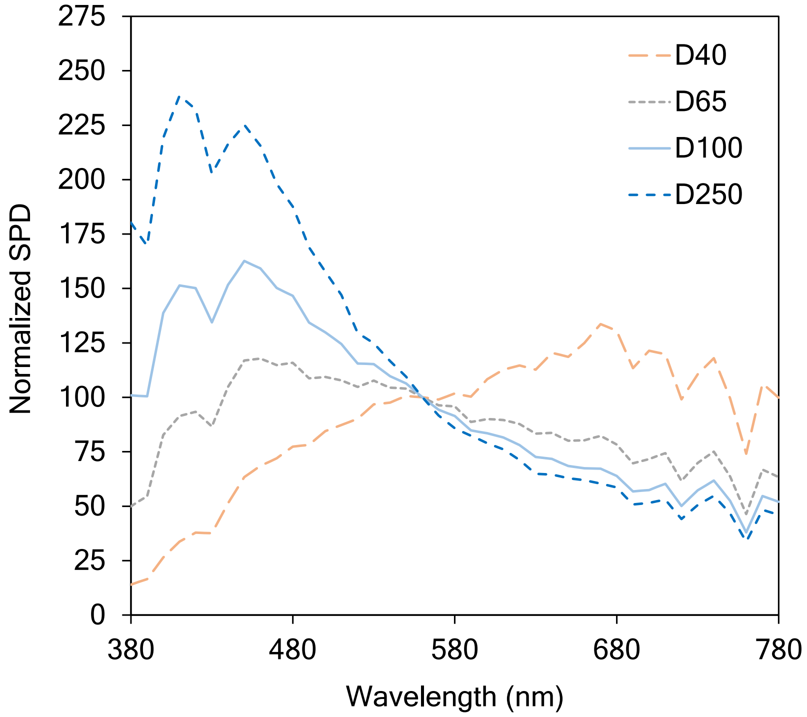


Figure 1: Spectral power distributions derived with the CIE reconstruction procedure for 4 000 K (D40), 6 500 K (D65), 10 000 K (D100) and 25 000 K (D250).

The *electric lighting industry* uses the D Illuminants as reference light sources in the determination of the Colour Rendering Index (CRI) and the Colour Fidelity Index (CFI) for all electric light sources with a CCT above 5 000 K.[58] Furthermore, D Illuminants are used to define the Television Lighting Consistency Index (TLCI), a metric to describe the colour rendering qualities of light sources in the *television and broadcasting industry*.[60]

D Illuminants are also used in the field of *museum and artwork* to evaluate damage to artwork through photochemical reaction.[61] In addition, they can support the evaluation of colour *appearance of objects and materials* under daylight.[62-64] Other colour metrics to evaluate the colour quality of materials like colour differences, colour constancy and metamerism used in the graphic arts and printing, paint, coatings, pulp, paper or textile industry, also often employ the standardised D Illuminant.[65]

1.3 Aim of this paper

As far as the lighting field is concerned, only a small proportion of professionals in daylighting research, design, and application use the spectral properties of daylight in their analyses, simulations or product developments.

D Illuminants are primarily used for assessing the quality of objects and light sources under standardised conditions. However, the method used to generate D Illuminants, known as the

CIE reconstruction method, is useful in deriving representative SPDs applicable to practical uses in lighting design and research. Hence the aim of this paper is threefold: first, to trace the evolution of the CIE reconstruction method; second, to emphasise the necessity for a localised reconstruction procedure; and third, to elaborate on the methodology of data collection and analysis established to develop a localised reconstruction procedure that considers geographical, seasonal, and diurnal variations.

2. CIE reconstruction method

2.1 Historical development

While interest in the spectral characteristics of solar radiation and daylight has increased, this interest has historical precedence. As highlighted by Pissulla *et al*,[67] the first radiance measurements were published by the scientist C. Dorno in 1911. In 1931, CIE recommended daylight illuminants specified as source B and C for noon sunlight (4800 K) and average daylight (6500 K) respectively, which were positioned just below the Planckian Locus, the locus representing chromaticities of blackbody radiators at different temperatures, which is typically used as reference when addressing the colour of white light.

In the optics and lighting industry, spectral properties of daylight were an important research topic in the 1960s, and several researchers measured spectral characteristics of daylight.[68-71] As described in the Introduction of Judd *et al.*[72(p1032)] it was found that both illuminant B and C disregarded the greenish shift of the daylight chromaticities from the Planckian Locus, and were perceived as too pink to represent natural daylight. The current representation of the D Illuminants as standardised SPDs representing daylight was initiated by the CIE in 1963.

In a joint activity of the technical colorimetry committees of Canada and the US,[72] 622 measurement data from Condit and Grum, Henderson and Hodgkiss, and Budde, taken in Ottawa (Canada), Rochester (USA), and Enfield (UK) respectively,[72] were used to establish a daylight locus and a reconstruction procedure. This daylight locus represents the chromaticities of daylight and lays parallel to, and just above, the Planckian Locus. The established reconstruction procedure can be used to reconstruct the standardised SPDs of daylight (D Illuminants) with one principal vector S_0 and two eigenvectors S_1 and S_2 and their corresponding scalar multiples M_1 and M_2 for chromaticity coordinates x_{D} and y_{D} on the daylight locus. This procedure relies on the correlation between the chromaticity coordinates or CCT of a given daylighting condition and its SPD. Hence, chromaticity coordinates on the daylight locus and thus a specific CCT correspond to a single SPD (Figure 2). In the initial release of CIE 15.2 in 1971, the CIE adopted this approach developed by Judd *et al.*[72] with minor adjustments incorporated (for more details, refer to the review by Diakite-Kortlever *et al.*[31] and Figure 3). This approach facilitates the generation of SPDs for D Illuminants from 300 nm to 830 nm, representing spectral daylight distributions accurately enough for colorimetric purposes.[58] For practical use, the reconstruction procedure is integrated, for instance, within the Excel Daylight Series Calculator from the Munsell Color Science Laboratory.[73] This calculator references Wyszecki and Stiles for its implementation.[74]

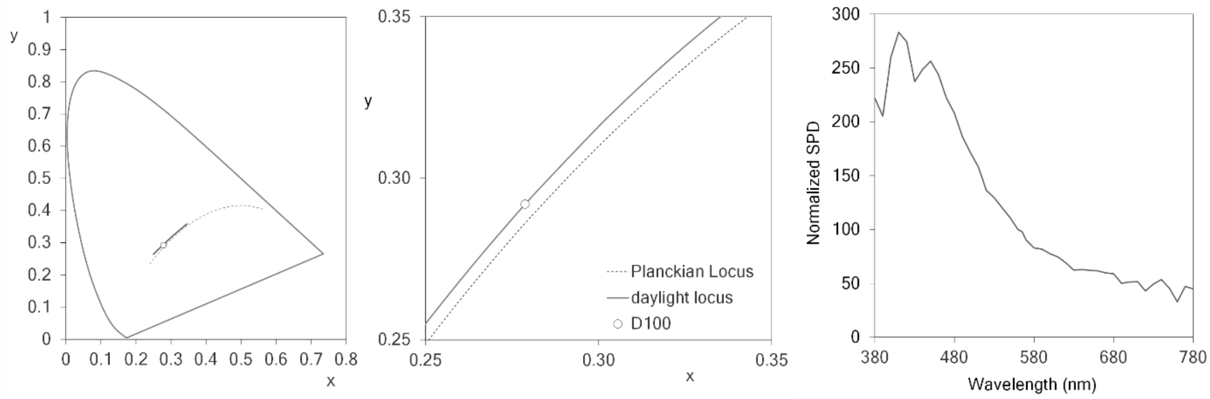


Figure 2: Daylight characteristics for D100 (D Illuminant for 10 000 K). Left: chromaticity coordinates x_D,y_D on the daylight locus for D100; middle: the cut-out of the left image showing chromaticity coordinates, daylight locus and Planckian Locus; right: reconstructed spectral power distribution for D100.

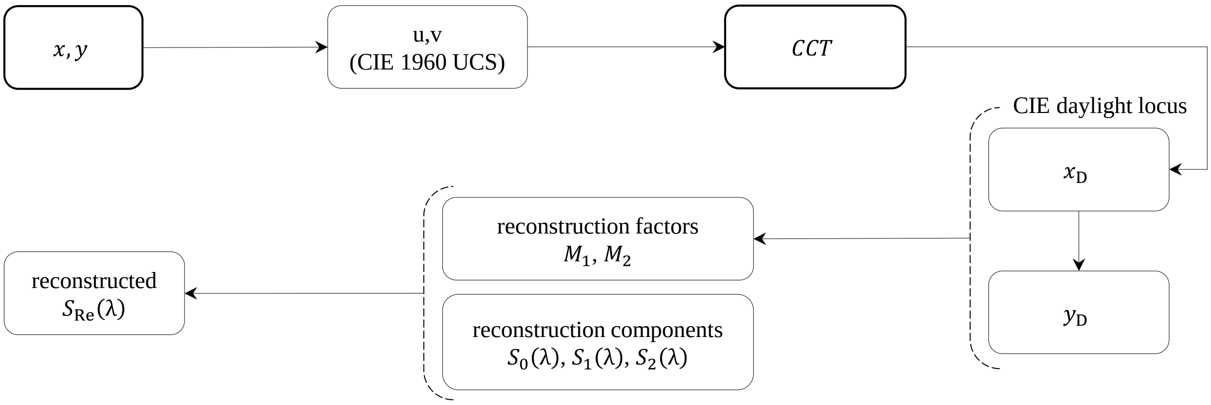


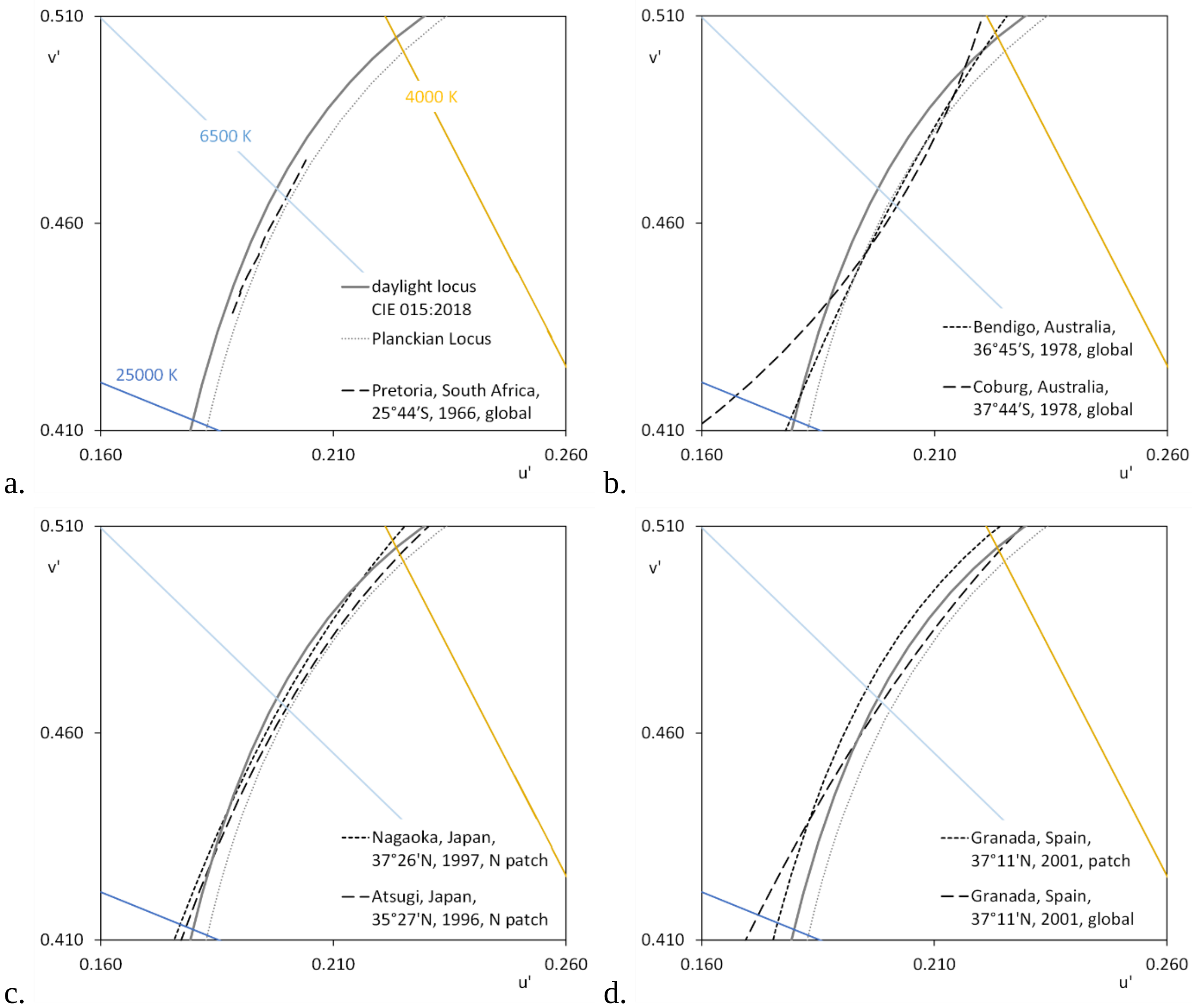
Figure 3: Approach to reconstruct spectral power distributions ($S_{Re}(\lambda)$) from chromaticity coordinates (x,y) or correlated colour temperature (CCT) (adopted from [31], modified).

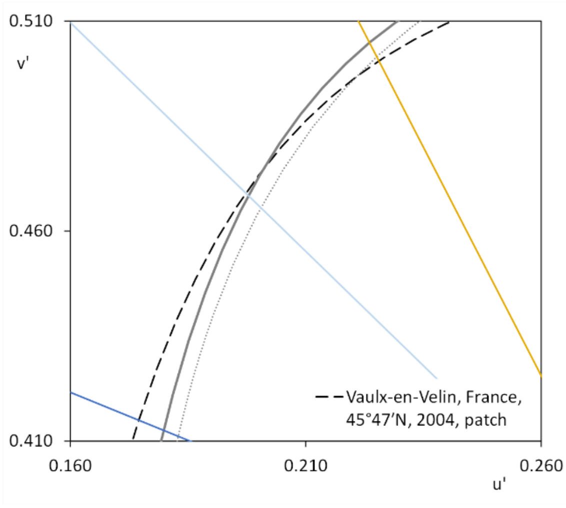
The CIE reconstruction method is very practical for applications that need SPDs for a CCT bandwidth of daylighting conditions (4 000 K – 25 000 K, as defined in [58]), to simulate, predict and estimate the effect of these conditions. In addition, it offers the possibility to reconstruct a representative daylight SPD from chromaticity coordinates and CCT. Therefore, the CIE approach, although not primarily developed for that purpose, can provide spectral characteristics of daylight from RGB or XYZ sensors, for lighting control solutions and dosimetry,[52,75,76] for spectral simulations in LARK,[77] or representations in CCT for lighting design purposes.[59]

2.2 Local deviations from D Illuminants

In order to use the CIE reconstruction procedure to derive representative local SPDs of daylight, further development might be required. The 622 measurements taken to establish the CIE reconstruction procedure were conducted in Canada, the US and the UK.[72] These data sets have some geographical and climatic similarities, with latitudes between 43° and 52°, continental (Ottawa and Rochester) and maritime (Enfield) climates. To predict NIF effects or colour appearance of building spaces and objects for different locations and seasons for example, the SPDs of daylight derived with the current CIE reconstruction procedure might not be representative. This is already addressed in ISO/CIE Standard 11664-2 "Colorimetry – Part 2: CIE Standard Illuminants",[57] and stated on page 13 in the CIE Technical Report "Colorimetry" as follows: "*Seasonal and geographical variations in the spectral power distribution of daylight occur, particularly in the ultraviolet spectral region, but this recommendation should be used pending the availability of further information on these variations*".[58]

Local differences were already found after the publication of Judd *et al.*[72] and the adoption of the procedure by the CIE. Kok, for example, states that, after comparing the SPD of different locations, "*there has been some feeling that the daylight spectral distribution values as accepted by the CIE are too low in the ultraviolet and blue regions of the spectrum*"[78]. Winch *et al.* conclude that "*The evident difference between chromatic conditions in South Africa, and those in the northern hemisphere, raised doubts as to whether the tentative CIE proposals, based on measurements in the northern hemisphere, would apply to conditions in South Africa*".[79] This is represented in the comparison to the CIE daylight locus: the South African daylight locus is plotted closer to the Planckian Locus (Figure 4a). Several researchers derived local daylight loci, as shown in Figure 4, that seem to deviate from the CIE daylight locus.[31,80-85] Most of these daylight loci lay on the green side of the Planckian Locus,[86] some having a lower slope than the Planckian Locus, thus drifting away from the Planckian Locus at higher CCTs and falling towards the purples for lower CCTs.[68,69,87,88]





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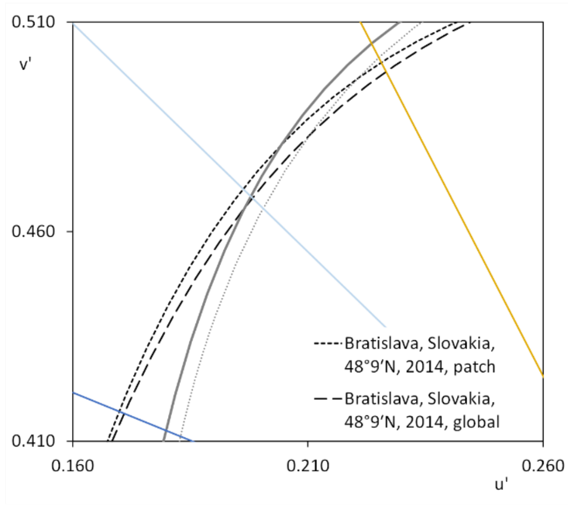

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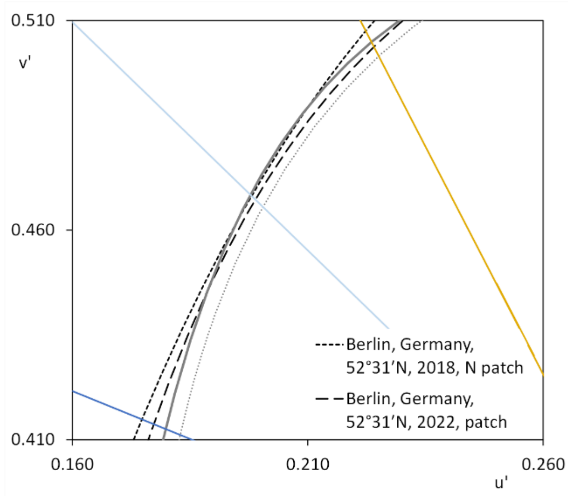

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Figure 4: Local daylight loci in a cut-out of the CIE 1976 uniform chromaticity scale diagram for a) South Africa,[79] b) Australia,[80] c) Japan,[81,82] d) Spain,[89,90] e) France,[83] f) Slovakia,[84] g) Germany.[31,85] The daylight locus (__), the Planckian Locus (…), as well as iso-temperature lines, referenced in (a), are included for 4 000 K and 25 000 K (which correspond to the boundaries outlined in the CIE reconstruction procedure, and represent the typical range of application of daylight loci), and for 6500 K (reference).

Even though a number of researchers confirmed larger differences in the ultraviolet range when compared to reconstructed SPDs according to the CIE reconstruction procedure,[79,80] these differences alone could not have led to a discrepancy in daylight loci, as the daylight locus represents the visible range of the SPD. This range can be from 360 nm to 830 nm; in practical applications it is often restricted from 380 nm to 780 nm. Thus, local differences within the visible range also might occur, which are relevant for, for example, lighting design, research, and application purposes.

The literature indicates that the local differences are likely the result of variations in atmospheric conditions, which are strongly linked to seasonal conditions, with a high impact of the percentage of water vapour in the atmosphere[91] and the temperature, thus air pressure.[92] Aerosols, like dust, pollution, and industrial particles are said to have little impact.[78,87,88,92,93] This is in contradiction to more recent research that indicates that aerosols are one of the main parameters influencing the variety of spectral distribution of daylight,[18,38] specifically at lower solar altitudes. Spitschan *et al.*[94] found that there is a difference between urban and rural daylighting characteristics during nautical and astronomical twilight (solar altitude < -6°), nonetheless pointing out that the urban conditions are being influenced by the presence of electric lighting (light pollution). Ozone concentration will also affect the spectral characteristics of daylight in dusk, dawn and twilight conditions.[18,95,96]

Past research already indicates that in addition to the atmospheric characteristics, ground and canopy reflections might play a role as well,[97,98] although this was not confirmed by the analysis of measurements made in Australia by Dixon.[80] Still, nowadays, ground albedo is one of the main parameters considered in the estimation of spectral solar radiation, and has already been implemented in existing models.[18,99]

2.3 Impact of atmospheric characteristics

The fact that atmospheric conditions could be responsible for temporal and geographical differences in the spectral properties of daylight was already addressed in the documentation of the reconstruction procedure in the 1960s. In the CIE reconstruction procedure, the primary eigenvector, S_1, is linked to variations in the yellow-blue direction. Judd *et al.* observed: "*This variation from yellow to blue generally corresponds to the presence or absence of clouds in the sky, and in the inclusion or exclusion of direct sunlight*".[72] Moreover, the second eigenvector, S_2, implies a green-pink variation, potentially resulting from variations of water in the form of vapour and haze.[72] Several researchers confirmed the yellow-blue and green-pink variations in their reviews of the CIE reconstruction procedure.[80-82,84,87] However, these findings were not substantiated by Hernández-Andrés *et al.*[90] and Diakite-Kortlever *et al.*[31]

To date, the reconstruction procedure has not accounted for atmospheric influences on daylight's spectral characteristics. However, various approaches from different disciplines consider atmospheric properties in determining daylight spectra. For example, the influence of the atmospheric turbidity on the spectral distribution of solar irradiance components was investigated by Kaskaoutis *et al.*[100] They found a wavelength dependence relation between the diffuse-to-global and diffuse-to-direct-beam irradiance ratios with exponential fit, which can be modified as a function of the solar zenith angle and atmospheric turbidity conditions. According to their results, the slope of the curves strongly depended on the processes attenuating irradiance and aerosol optical characteristics in the short wavelengths. Relations were proposed, which allowed the estimation of the spectral distribution of diffuse irradiance as a function of the measured broadband global and diffuse solar irradiances. Differences between rural and urban environments were also found.

2.4 Recourse to other disciplines

The CIE reconstruction procedure was adopted and has not been changed over the last 50 years. In the meantime, other disciplines developed approaches that can be used to derive spectral solar data, as mentioned in the introduction:

- physics based radiative transfer models, such as libRadtran,[6,99]
- rigorous models, *e.g.* MODTRAN, [8] and simplified spectral radiation models, *e.g.* SPCTRL2, SMARTS,[1,101]

- reference solar spectra (total global, direct and diffuse) by the CIE,[102] derived by means of SMARTS,[1]
- analytical fitted sky models, developed with brute force calculation[16-18] or machine learning,[39,103] and
- models using approximations with look-up tables.[15]

The literature states that the models provide good to very good spectral characterisation of daylight under varying conditions, typically requiring detailed information about atmospheric conditions, turbidity or ground albedo. While these models address local differences in spectral characteristics of daylight, limited accessibility to atmospheric data can be a hurdle for professionals working in the field of lighting design, research and application. Despite this challenge, since extensive validation of these models has been conducted, our methodology to verify and modify the CIE reconstruction procedure can rely on utilising pre-defined atmospheric profiles used in some of these models.

The atmospheric profiles used in libRadtran[99] will be considered here because of their use in the daylighting design simulation tool ALFA, to ensure an aligned approach within the lighting community. The predefined atmospheric profiles in libRadtran, which are taken from Anderson *et al.*[104] contain information about pressure, temperature, and concentrations of ozone, oxygen, water vapour, CO_2 and N_2O. The 1976 U.S. Standard profile is supplemented with region-specific profiles of the U.S. Air Force Geophysics Laboratory (AFGL), differentiating in tropic, mid-latitude, and sub-arctic regions.[104] The U.S. Standard profile is being used by Wilkie *et al.*[18] ALFA implemented the standard mid-latitude summer profile, as reported in Balakrishnan.[34]

Predefined aerosol mixtures in libRadtran are taken from OPAC (Optical Properties of Aerosols and Clouds),[105] in which the properties of a mix of aerosols within atmospheric layers as well as combined in the aerosol optical depth over several atmospheric layers are categorised as follows: continental (clean, average, and polluted), maritime (clean, polluted, and tropical), urban, desert and Antarctic. These OPAC aerosol profiles are also used by Wilkie *et al.*[18] whereas aerosol information can also be derived from, for example, databases,[20,106] such as the ones from Copernicus[107] and NASA.[108]

To include ground albedo, spectral measurements or openly accessible databases can be considered.[1,20,108] In this process, predefined details with respect to ground albedo as used in libRadtran, mainly defined by the International Geosphere Biosphere Programme (IGBP)[109] will be considered.

3. Method for data collection and analysis to review and adjust the CIE reconstruction procedure

Several researchers have proposed locally adapted procedures, and a summary is provided in Diakite-Kortlever *et al.*[31]To establish a comprehensive framework, Technical Committee TC 3-60 (*Spectral daylight characteristics*) of Division 3 (*Interior environment and lighting design*) of the CIE was established. The aim of this committee is to review geographical, seasonal, and diurnal variations in daylight's SPD and propose an adjusted CIE reconstruction procedure for deriving representative local spectral distributions of daylight suited for practical applications. This section describes the approach of CIE TC 3-60 and its potential to incorporate future spectral daylight measurements beyond the committee's effort.

3.1 Collection of local spectral data

The importance of comprehensive, long-term and worldwide measurements to develop theoretically accurate models for spectral characteristics of daylight has been established by several researchers.[31,77,110]

Hence within the TC 3-60, a worldwide campaign to collect and standardise measurements of spectral characteristics of daylight has been launched. In order to obtain detailed background information on the collection of the measurement data, the TC members describe their measurement site using a template.[110] The majority of TC members work with spectroradiometers, described by the CIE in detail in terms of device characteristics and calibration and use.[112,113] The members also participate in a Round Robin study, an inter-laboratory study of spectral measurement devices that are compared with a reference spectroradiometer (JETI specbos 1211-2). The data sets collected with the reference spectroradiometer at various locations as part of the Round Robin procedure are used to evaluate the individual measuring device deviations. The Round Robin procedure will be described in another paper.

The committee collects spatially resolved (patch) and global (hemispherical) measurements from various locations, both from TC members and publicly available data.[111] Patch measurements include measurements of the spectral radiance of parts of the sky.[5,45,114-116] They offer a wider CCT range and usually do not include direct sunlight. Depending on the position of the patches, the measurements can be more strongly influenced by the composition of the atmosphere. The global horizontal spectral irradiance measurements usually include direct sunlight, and due to their horizontal orientation, they are less influenced by the composition of the atmosphere, especially at higher solar altitudes. In a later phase of the TC's work, it will be investigated whether patch and global measurements provide congruent SPDs.

As the available data sets vary in number per location, data cleaning and selection are performed to create as homogeneous a set of data as possible.[111] As mentioned before, measurements for lower solar altitudes and of lower patch almucantars need separate consideration. As atmospheric influences are in particular to be expected during dusk, dawn and twilight, the measurements are divided into those with a solar altitude below or above 15°, as proposed by Hošek and Wilkie[17] and Knoop *et al.*[110] and considered separately. For data sets of patch measurements, those related to the lower almucantars are analysed separately for the same reason, following the approach in Diakite-Kortlever.[31]

Also, this work is related to spectral characteristics of daylight for humans (lighting design, research and application, through simulations and measurements), thus with a focus on the visible range of radiation (380 nm – 780 nm, excluding the UV and IR regions).

3.2 Initial characterisation of localised spectral data

In order to consider geographical, climatic and atmospheric differences in spectral measurements, characterisation of the locations, as addressed in Section 2.3 will be done using freely available and easily accessible information. Where possible, a finer characterisation has been included for data analysis, although the aim remains to return to the profiles proposed in libRadtran (a coarser characterisation) for planning purposes. Characterisation includes:

- In line with the approach proposed by Lefèvre *et al.*[117] the locations are assigned an AFGL atmospheric profile[104] as used in libRadtran; tropical (15N), mid-latitude (45N) and sub-arctic (60N), [118] based on the location's latitude. For the purpose of the revision of the CIE reconstruction procedure, CIE TC 3-60 will work the following categorisation: tropical (≤ 23°), mid-latitude (between 23° and 60°) and sub-arctic (≥ 60°). Further refinement of the climate is done using the Köppen-Geiger 1 km resolution climate classification maps of Beck *et al.*[119]
- Seasonality is also addressed according to Lefèvre et al,[117] data from November to April is assigned the (boreal) winter or the (austral) summer profile.
- Default aerosol mixtures **(**continental clean, average and polluted; maritime clean, polluted; tropical; urban; desert and Antarctic, as defined in Hess *et al.*[105] are provided in libRadtran.[118(p77)] These default mixtures are assigned after characterisation according to Li *et al.*[120] The SCANs aerosol type classification by Mylonaki *et al.* [121] is also included in Table 1, even though its classification resolution (continental polluted, clean continental, marine and dust) might not be fine enough.
- In addition, the previously discussed measurement site template gives information about the monitoring sites being located in an urban or rural area, which would allow a characterisation with libRadtran profiles by Shettle,[122] and it provides an estimate of the turbidity degree (clean, average, polluted).

All details for the participating CIE TC 3-60 members and additional data sets can be found in Table 1. This categorisation allows, for example, an analysis of the impact of latitude by comparing Berlin, Vaulx-en-Velin and Roskilde, having the same climate (Cfb) and aerosol characteristics (continental clean, weakly polluted). The impact of the season can be studied with data from sites with full year measurements available, like Beijing, Berlin, Eugene and Vaulx-en-Velin.

3.3 Data preparation and analysis

3.3.1 Methodology

A systematic analysis of the measurement data from the locations listed in Table 1 is planned. This analysis will provide information on geographical, seasonal, and diurnal variations and enable local adjustments to be made in the reconstruction procedure, and will be covered in more detail in a follow-up publication once the Round Robin has been completed and the data analysis can be finalised.

To illustrate how the reconstruction procedure is being revised, this publication briefly discusses the data preparation and the planned analysis.

All spectral daylight measurements, spectral global horizontal irradiances and spectral patch radiances, are organised in a predefined data frame structure, as described in Balakrishnan *et al.*[111] to allow consistent analysis of the various spectral data sets.

In line with the work of Judd *et al.*[72] Hernández-Andrés *et al.*[89,90] and Diakite-Kortlever *et al.*[31] the local daylight SPDs will be used in a principal component analysis (PCA) to establish a revised CIE reconstruction procedure, in which geographical, climatic, and seasonal characteristics will be considered as parameters. As the work of Hernández-Andrés *et al.*[123] indicates that the spectral characteristics of daylight worldwide have some basic similarities. Therefore, a reconstruction procedure with variables to account for site characteristics will be developed.

In the evaluation of the quality of the revised reconstruction procedure, measured SPDs are compared with SPDs derived with the:

a. current CIE reconstruction procedure,
b. revised CIE reconstruction procedure for application, considering geographical, seasonal, and diurnal differences, as well as
c. libRadtran, using a standard atmospheric profile.

For practical and perceptual purposes, the analysis will refer to categories reflecting the CCT both in Kelvin and in Mired respectively.

The accuracy of the approaches to establish SPDs will be reflected in a goodness-of-fit coefficient (GFC),[124] in line with the approach used by Hernández-Andrés *et al.*[90,125] and Diakite-Kortlever *et al.*[31]

To assess the relevance of possible differences for practical applications, the analysis will also include the assessment of:

- perceptibility of colour differences in the daylight as such, reflected in $\Delta u'v'$,
- colour differences for a range of typical building materials in an urban setting, reflected in ΔE^*_{ab}, and
- differences in lighting for NIF responses, reflected in melanopic efficacy of luminous radiation (MELR) $K_{\mathrm{mel,v}}$, as used in Diakite-Kortlever *et al.*[31]

3.3.2 Exemplary representation and partial evaluation

This paper includes an exemplary representation of three locations to further substantiate the relevance of this work, and to give an impression of the use of this methodology described in this section.

The measurements used were carried out in Eindhoven, Lausanne and Vaulx-en-Velin.[126-128] Detailed information regarding these measurements can be found in Table 2. For comparison purposes, the table also contains information on the Enfield, Rochester and Ottawa sites used for the CIE reconstruction method.[72] Care was taken to ensure that certain geographical, climatic, and atmospheric characteristics matched in selecting the sites for the exemplary representation. The latitude of all locations was within the range of locations used for the CIE reconstruction method. Eindhoven shares similar attributes with Vaulx-en-Velin in terms of climate and atmospheric / aerosol properties. Still, it has a different latitude, while Lausanne mirrors the latitude of Vaulx-en-Velin but exhibits different turbidity conditions. Due to the variability in the available datasets, seasonal alignment was not possible in this study. For the location with full-year measurements (Vaulx-en-Velin), a small number of representative days was selected (4 seasons, clear and overcast skies – in total 6 full days of measurements). Horizontal spectral irradiance measurements were conducted on all sites; the Lausanne site measures skylight only, the other sites measure daylight, combining both sky- and sunlight. This data is publicly available through the data package SKYSPECTRA.[111]

The chromaticity coordinates of measurements are plotted in Figure 5. These are used to derive reconstructed SPDs with the CIE procedure, which allows a comparison between measured and reconstructed SPDs. Reconstructed SPDs are normalised at 560 nm by definition, and the measured SPDs are normalised at 560 nm for the purpose of comparison. Exemplary SPDs (reconstructed and measured) are presented in Figure 6, for each location for a comparable CCT (6 000 K – 6 100 K), and per location for a higher CCT (the minimum CCT of the highest 10% range for that location, see Table 2). The larger CCT range in Lausanne is due to the measurement of skylight only.

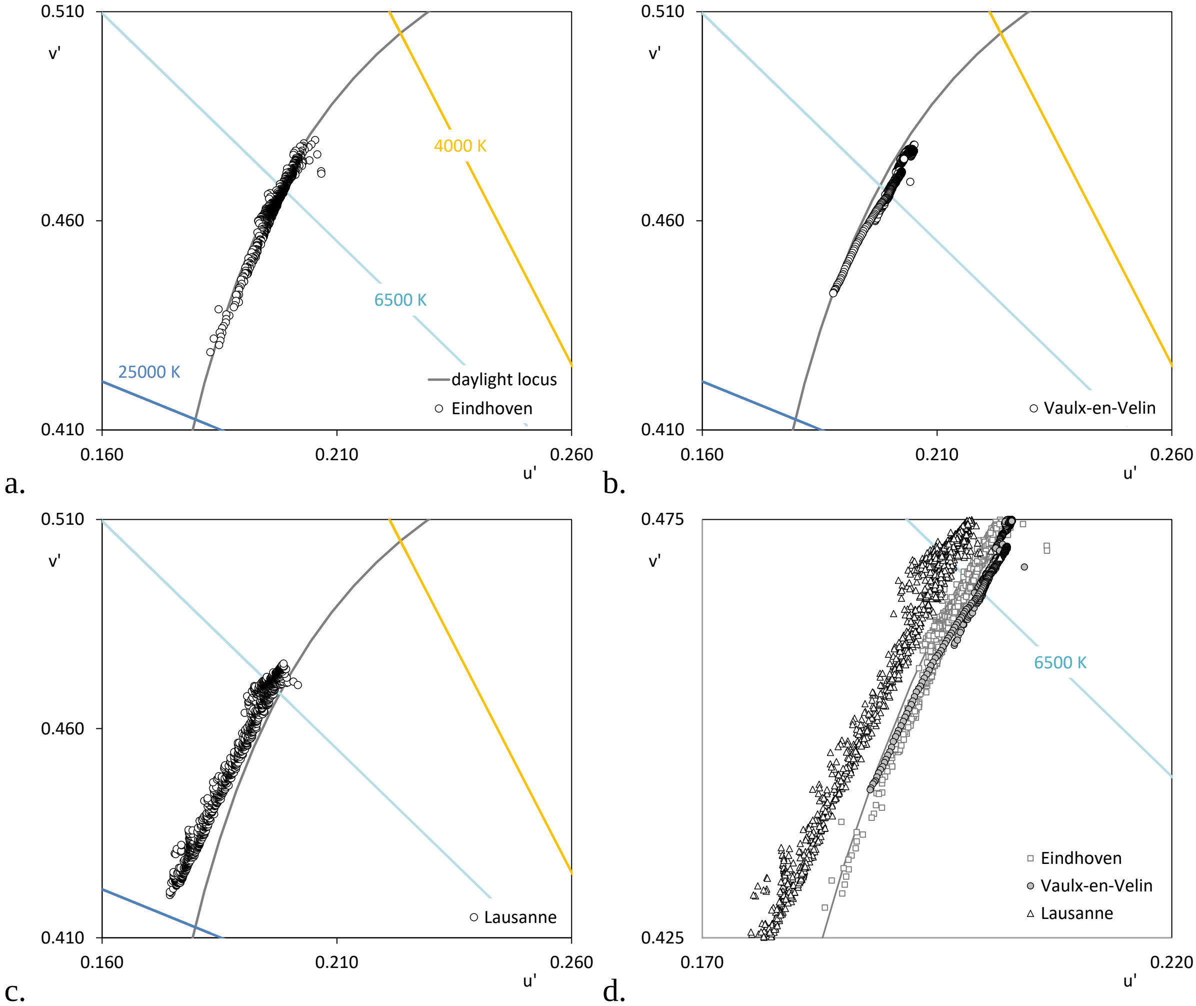
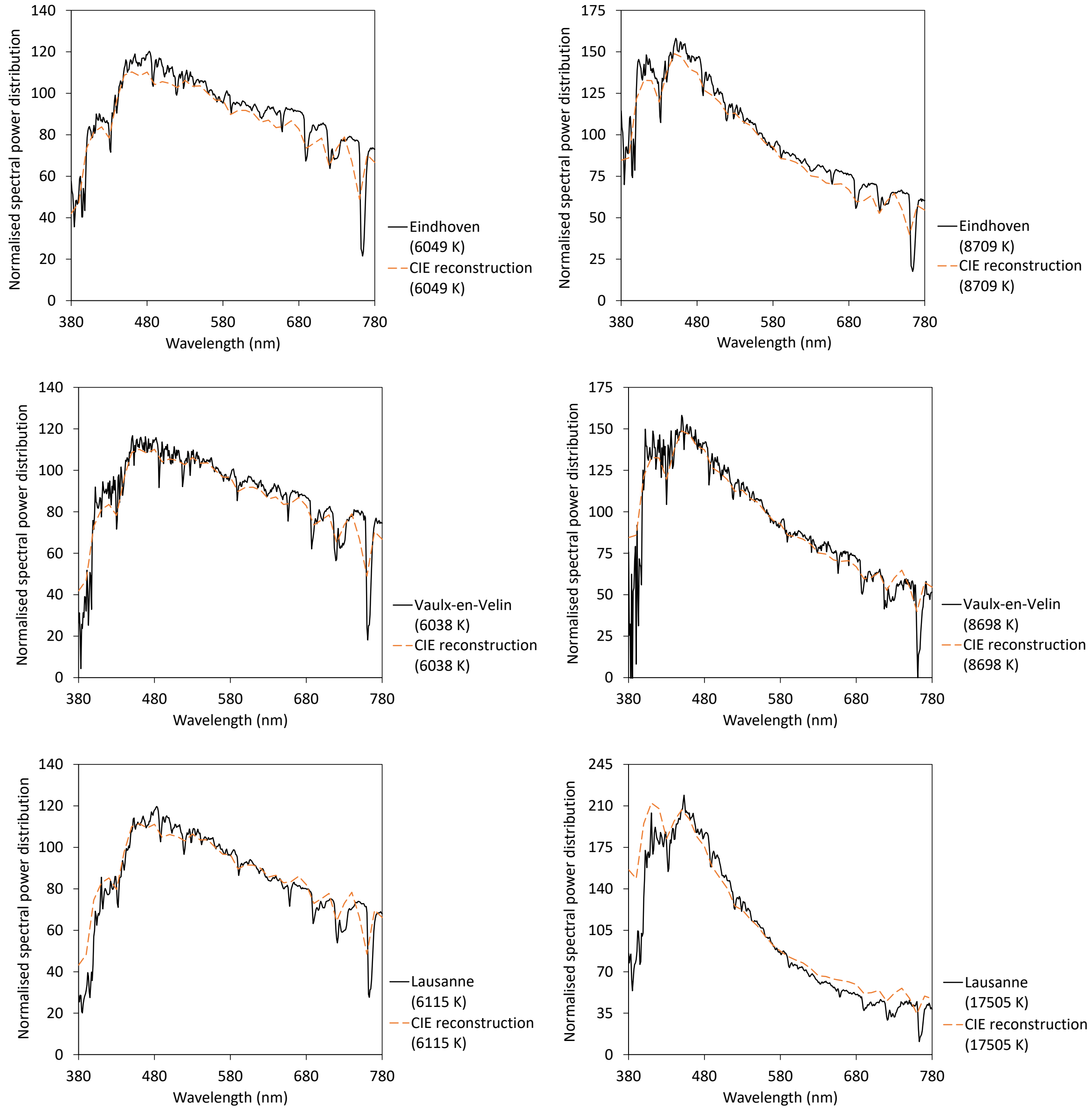


Figure 5: Chromaticity coordinates of a selection of measurements (solar altitude > 15°) for a) Eindhoven, b) Vaulx-en-Velin and c) Lausanne, and all combined (d) in cut-outs of the CIE 1976 uniform chromaticity scale diagram. The daylight locus (__) and iso-temperature lines, referenced in (a), are included for 4 000 K and 25 000

K (which correspond to the boundaries outlined in the CIE reconstruction procedure, and represent the typical range of application of daylight loci) and for 6500 K (reference).



a.

b.

c.

Figure 6: Exemplary spectral power distributions with a lower (left) and higher (right) correlated colour temperature (CCT) respectively, for a) Eindhoven, b) Vaulx-en-Velin and c) Lausanne, including the reconstructed spectral power distributions.

The differences between the measured and reconstructed SPDs shown in Figure 6 are visible in the short-wavelength and long-wavelength ranges, particularly for Eindhoven and Lausanne. For illustration purposes only, $\Delta u'v'$, ΔE^*_{ab} and $\Delta K_{\mathrm{mel,v}}$ (in %) were determined according to the approach shown in Figure 7. GFC for the reconstruction procedure was not addressed at this stage, as only exemplary data sets were chosen. Exemplarily, ΔE^*_{ab} was defined for two different materials, brick and fine-poured concrete, with the reflected SPDs, $S_{\mathrm{Me,r}}(\lambda)$ and $S_{\mathrm{Re,r}}(\lambda)$, derived with the spectral reflectance characteristics as shown in Figure 8.

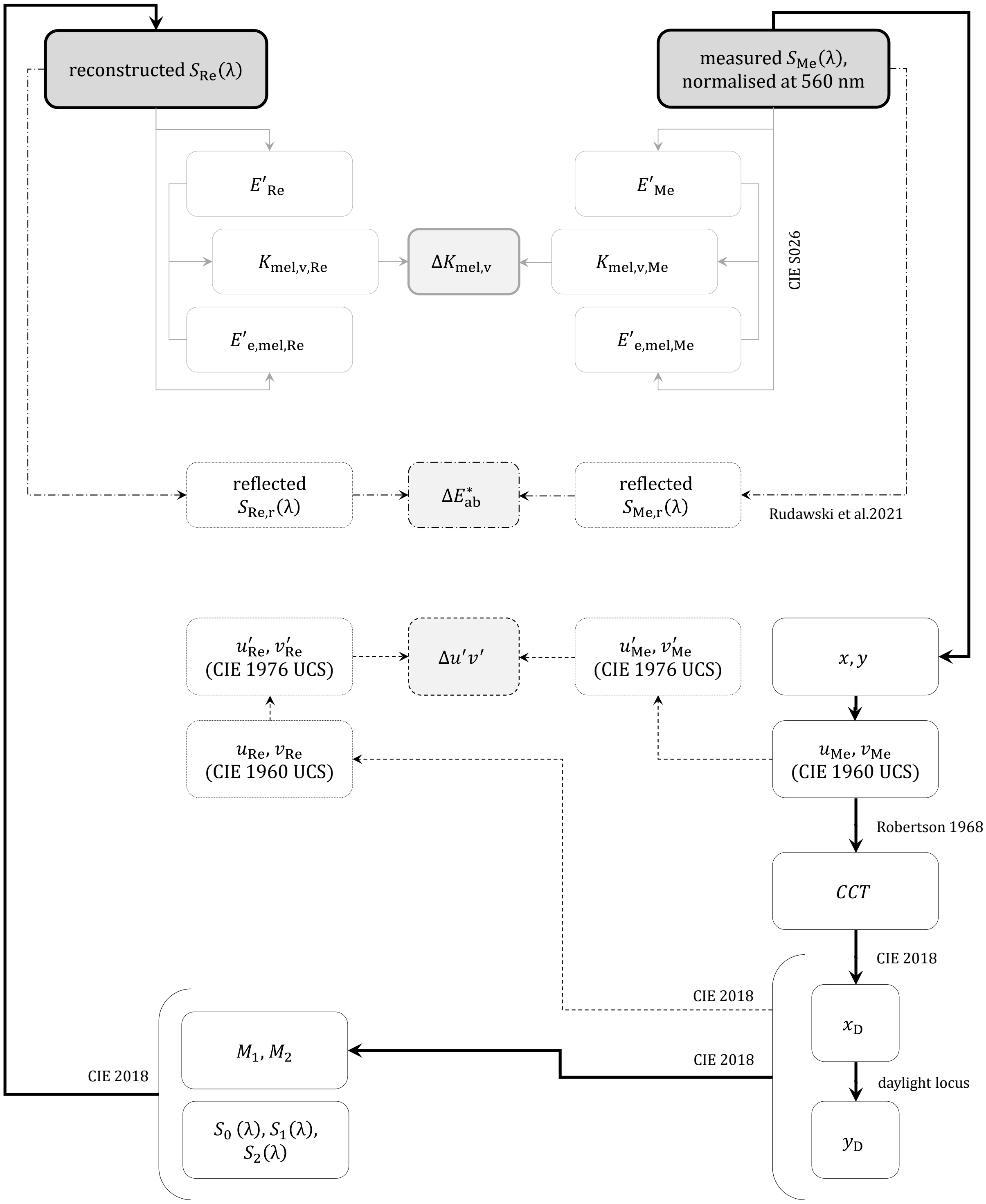


Figure 7: Diagram of the approach to determine $\Delta u'v'$(---- lines), ΔE^*_{ab} (·-·-·- lines) and $\Delta K_{mel,v}$(____ lines). Irradiance, E'_e, and illuminance E' values are based on normalised spectral power distributions.

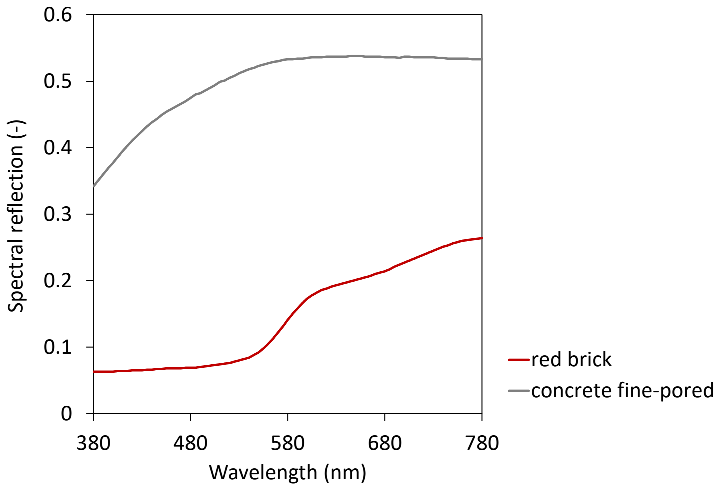


Figure 8: Spectral reflection for red brick and fine-poured concrete.[129]

The results of this exemplary analysis are given in Table 3.

Assumed colour perception criteria for LEDs can be adapted to daylight, a $\Delta u'v' > 0.0022$ (representing two-step MacAdam ellipse) is perceptually different.[130-132] Table 3 includes the percentage of the measurements of a data set that exceeds this value. It shows that there would be very few perceptual differences between reconstructed and measured daylight for Eindhoven and Vaulx-en-Velin, for the chosen set of measurements. For the majority of the Lausanne measurements, there is a perceptual difference between measured and reconstructed daylight. Nonetheless, Table 3 also shows that the reconstructed SPDs in Figure 6 do not lead to a different colour perception of brick and concrete compared to the materials under the measured SPDs. The maximum deviation of $K_{\text{mel,v}}$ in % is given for the SPDs shown in Figure 6, for representation purposes only.

Due to the measurement configuration and the selection of data, the "high" CCT considered for Lausanne is higher than that of Eindhoven and Vaulx-en- Velin (Table 2). To compensate for this, a reconstructed SPD for Lausanne with a CCT of 8700 K was also considered, resulting in a $\Delta K_{\text{mel,v}}$ of 1.3 %.

It is noteworthy that the analysis is based on horizontal measurements only, in Lausanne measurement of skylight only, in Eindhoven and Vaulx-en-Velin measurements including sunlight. All do not reflect orientation-dependent differences that can be determined with patch measurements, as presented in the work of Diakite-Kortlever and Knoop.[59]

It should be noted that no conclusions about the validity of the CIE reconstruction method can be drawn from the material presented here. The exemplary SPDs come from locations that lie within the range of the latitude-range of the locations used for the CIE reconstruction method (see Table 2). Geographical, seasonal, and diurnal differences were not addressed in this exemplary analysis.

Future analyses will follow this methodology, considering local, latitudinal, or seasonal reconstruction methods in addition to the CIE reconstruction. Quality will be represented in both the application metrics used above and in the GFC.

4. Summary and Outlook

This paper describes the methodology of data collection and analysis to review and modify the CIE reconstruction procedure to create representative local SPD of daylight for lighting research, planning and application purposes. The work focusses on the visible range of radiation and excludes the UV and IR range. In general, most disciplines that consider UV or IR radiation use models that provide an adequate spectral characterisation of daylight for their application. Although we believe that the lighting field will benefit most from a revised reconstruction method, it could also be useful in other disciplines such as "flora & fauna, agriculture and forestry" and "computer graphics", where a simplified measurement and representation of the visible range of local daylight conditions could be useful. At the same time, the unrevised CIE reconstruction procedure for CIE D Illuminants will be retained in applications that need standardised SPDs for quality and comparison purposes.

To apply the presented methodology and proceed with the data analysis, differences between patch and global horizontal measurements will first be assessed and a Round Robin among participants will be conducted, which will be published at a later stage. The subsequent systematic analysis of the measurement data will allow geographical, seasonal, and diurnal adjustments in the reconstruction procedure, if necessary, or confirm the applicability of the unrevised CIE reconstruction method. The work of CIE TC 3-60 will be summarised in a CIE Technical Report.

A locally adapted CIE reconstruction procedure can be used to:

- set up representative local daylight SPDs for realistic simulations, estimations and predictions,
- provide a simplified measurement and representation of the SPD of daylight, and
- in turn enable an extension of the global measurement campaign, as it will incorporate RGB and XYZ sensors to collect information on the spectral characteristics of daylight.

With this, the lighting research community can further consider:

- the necessity of spatial consideration of spectral characteristics of daylight (*e.g.* LARK based simulations with horizontal spectral irradiance and uniform colorimetric distribution of sky dome versus spatially and spectrally resolved sky models, used in ALFA and OWL),
- the validation of spectral sky models,[40,111]
- the accuracy of spectral sky models in predicting spectral irradiance in urban settings and indoors,[126,133-135]
- the impact of spectral reflectance indoors and outdoors.[77,133,136,137]

In the future, this work is intended to support inclusion of spectral characteristics of daylight in standardisation for daylighting design, considering local characterisation.

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List of figures

Table 1: Categorisation of measurement sites of participants in CIE TC 3-60 and external data sources.

Location (country, latitude)	Köppen–Geiger climate classification system*	allotted AFGL atmospheric profiles	lower troposphere – (category number)[120]	proposed aerosol mixtures,[105] based on Li *et al.*[120]	SCANs aerosol mixture classification	urban / rural, turbidity
Beijing (CHN, 40°)	Dwa	mid-latitude	4 / 7	continental average – polluted	continental - polluted	urban, average
Berlin (DEU, 53°)	Cfb	mid-latitude	2	continental clean	continental - polluted	urban, average
Bialystok (POL, 53°)	Dfb	mid-latitude	2	continental clean	continental - polluted	urban, average
Chilton (UK, 52°)	Cfb	mid-latitude	2	continental clean	continental - polluted	rural, average
Chongqing (CHN, 30°)	Cfa	mid-latitude	7	continental polluted	continental - polluted	no info
Dublin (IRL, 53°)	Cfb	mid-latitude	6 / 8	maritime polluted	continental - polluted	urban, average
Eindhoven (NLD, 51°)	Cfb	mid-latitude	2	continental clean	continental - polluted	urban, average
Eugene (USA, 44°)	Csb	mid-latitude	2	continental clean	continental - polluted	rural
Granada (ESP, 37°)	Csa	mid-latitude	2	continental clean	continental - polluted	urban, average
Lausanne (CHE, 47°)	Cfb	mid-latitude	2	continental clean	continental - polluted	urban, clean
Naples (ITA, 41°)	Csa	mid-latitude	4	continental average	continental - polluted	urban, average
Roskilde (DNK, 56°)	Cfb	mid-latitude	2	continental clean	continental - clean	rural, average
São Paulo (BRA, -24°)	Cfa	mid-latitude	1 / 2	continental clean - average	continental - polluted	rural
Seattle (USA, 50°)	Csb	mid-latitude	2 / 0	continental clean	continental - polluted	urban
Singapore (SGP, 1°)	Af	tropical	1	maritime average	marine	urban, average
Tokyo (JPN, 36°)	Cfa	mid-latitude	4	continental average	marine	urban
Vaulx-en-Velin (FRA, 46°)	Cfb	mid-latitude	2	continental clean	continental - polluted	urban, average

where: Af - tropical rainforest, Cfa - temperate, no dry season, hot summer, Cfb - temperate, no dry season, warm summer, Csa - temperate, dry summer, hot summer, Csb - temperate, dry summer, warm summer, Dfb - continental, no dry seasons, warm summer, Dwa - continental, dry winter, hot summer, 0 – background, 1 - aged – biomass burning / biogenic, 2 - weakly polluted continental, 4 - moderately polluted continental, 6 - polluted marine, 7 - enhanced polluted Asian, 8 - remote marine

*Locations used in Judd et al.[72]: all mid-latitude (boreal); Enfield (latitude: 52°): maritime, Cfb - temperate, no dry season, warm summer); Rochester (latitude: 43°) and Ottawa (latitude: 45°): Dfb - continental, no dry seasons, warm summer – no information of aerosol characteristics for that time frame available.

Table 2: Characteristics of locations providing spectral daylight measurements for exemplary analysis.

Location Latitude, longitude	Climate characteristics: Köppen, AFGL; aerosol category [120]	Local characteristic, turbidity	Time period (selection of days in:)	Time frame and interval	# of data sets	Approximate CCT range (80% of the data)	Mired
Eindhoven [126] 51°27'N, 5°29'E	Cfb; mid-latitude; weakly polluted continental	urban, average	January – March 2020	every 6 min between 8 am and 6 pm over several days	2055	5 900 K - 8 700 K	115 – 170
Vaulx-en-Velin [127] 45°47'N, 4°55'E	Cfb; mid-latitude; weakly polluted continental	urban, average	March, June, September, December 2016	every 1 min, timestamps with solar altitude ≥ 15°	2609	5 600 K – 8 700 K	115 – 179
Lausanne [126] 46°32'N, 6°34'E	Cfb; mid-latitude; weakly polluted continental	urban, clean	August and September 2020	every 6 min between 8 am and 6 pm over several days	1290	6 100 K – 17 700 K	56 – 164
Enfield [70,72] 51°39'N, -0°5'E	Cfb: mid-latitude (boreal)	some light and some heavy industry, otherwise residential with some parks	April 1961 – March 1962	between 9 am and 5 pm, more or less at random	274	4 600 K – 40 000 K	25 – 217
Ottawa [72] 45°25'N, -75°41'E	Dfb; mid-latitude (boreal)	not published	not published	not published	99	not published	--
Rochester[71,72] 43°9'N, -77°37'E	Dfb; mid-latitude (boreal)	urban	June – July 1962	timestamps with solar altitudes 8°, 10°, 15°, 20° 30°, 40° and 70° on five selected days	249	3 530 K – 9 660 K	104 – 283

Table 3: Results with respect to the perceived difference and NIF effects between the measured and reconstructed values of daylight.

Location	% of measurements with $\Delta u'v'$ > 0.002	ΔE^*_{ab}- brick		ΔE^*_{ab} - concrete		% of difference in $K_{\mathrm{mel,v}}$ for SPDs in Figure 6 for	
		low CCT	high CCT	low CCT	high CCT	low CCT	high CCT
Eindhoven	0.54 %	0.18	0.17	0.07	0.04	1.4 %	0.9 %
Vaulx-en-Velin	0.36 %	0.19	0.14	0.07	0.01	0.6 %	0.2 %
Lausanne	99.7 %	0.24	0.67	0.16	0.26	0.8 %	1.8 %