



Ab initio calculation of carrier mobility in bulk and low-dimensional materials

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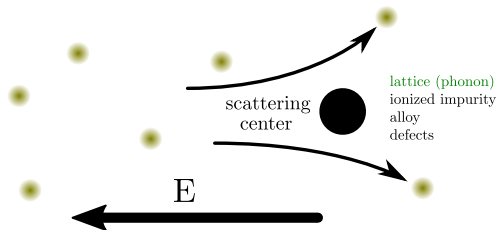
F. Giustino - The University of Texas at Austin



THEOS
THEORY AND SIMULATION
OF MATERIALS

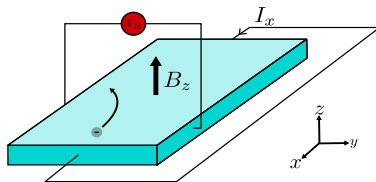


Drift



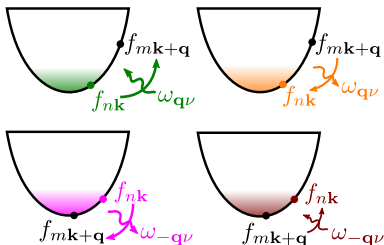
$$\text{Mobility } \mu \propto \frac{\partial}{\partial E} \int d\mathbf{k} f_{\mathbf{k}} v_{\mathbf{k}}$$

Hall



Drift Boltzmann transport equation (BTE)

$$\begin{aligned}
 -e\mathbf{E} \cdot \frac{1}{\hbar} \frac{\partial f_{n\mathbf{k}}}{\partial \mathbf{k}} &= \frac{2\pi}{\hbar} \sum_{m,\nu} \int \frac{d^3q}{\Omega_{\text{BZ}}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \\
 &\times \left[f_{n\mathbf{k}}(1 - f_{m\mathbf{k}+\mathbf{q}}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) n_{\mathbf{q}\nu} \right. \\
 &+ f_{n\mathbf{k}}(1 - f_{m\mathbf{k}+\mathbf{q}}) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) (n_{\mathbf{q}\nu} + 1) \\
 &- (1 - f_{n\mathbf{k}}) f_{m\mathbf{k}+\mathbf{q}} \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} + \hbar\omega_{\mathbf{q}\nu}) n_{\mathbf{q}\nu} \\
 &\left. - (1 - f_{n\mathbf{k}}) f_{m\mathbf{k}+\mathbf{q}} \delta(\varepsilon_{m\mathbf{k}+\mathbf{q}} - \varepsilon_{n\mathbf{k}} - \hbar\omega_{\mathbf{q}\nu}) (n_{\mathbf{q}\nu} + 1) \right]
 \end{aligned}$$



SP et al., Rep. Prog. Phys. 83, 036501 (2020)

$$\mu_{\alpha\beta}^d = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E\beta} f_{n\mathbf{k}}$$

$$\begin{aligned} \partial_{E\beta} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^\beta \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \\ &\times \left[(n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right. \\ &\left. + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E\beta} f_{m\mathbf{k}+\mathbf{q}} \end{aligned}$$

where

$$\begin{aligned} \tau_{n\mathbf{k}}^{-1} &\equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \left[(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \right. \\ &\times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \left. \right] \end{aligned}$$

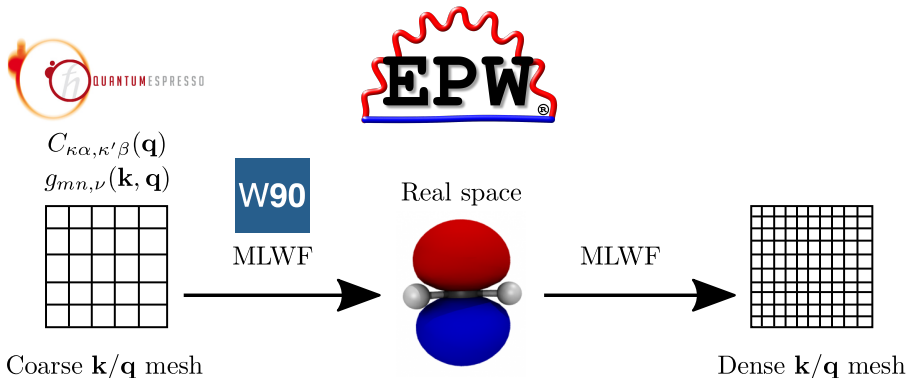
$$\mu_{\alpha\beta\gamma}^H = \frac{-1}{V_{uc}n_c} \sum_n \int \frac{d^3k}{\Omega_{BZ}} v_{n\mathbf{k}}^\alpha \partial_{E_\beta} \partial_{B_\gamma} f_{n\mathbf{k}}$$

$$\begin{aligned} \left[1 - \frac{e}{\hbar} \tau_{n\mathbf{k}} (\mathbf{v}_{n\mathbf{k}} \times \mathbf{B}) \cdot \frac{\partial}{\partial \mathbf{k}} \right] \partial_{E_\beta} f_{n\mathbf{k}} &= e v_{n\mathbf{k}}^\beta \frac{\partial f_{n\mathbf{k}}^0}{\partial \varepsilon_{n\mathbf{k}}} \tau_{n\mathbf{k}} + \frac{2\tau_{n\mathbf{k}}}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \\ &\times \left[(n_{\mathbf{q}\nu} + 1 - f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \right. \\ &\left. + (n_{\mathbf{q}\nu} + f_{n\mathbf{k}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) \right] \partial_{E_\beta} f_{m\mathbf{k}+\mathbf{q}} \end{aligned}$$

where

$$\begin{aligned} \tau_{n\mathbf{k}}^{-1} &\equiv \frac{2\pi}{\hbar} \sum_{m\nu} \int \frac{d^3q}{\Omega_{BZ}} |g_{mn\nu}(\mathbf{k}, \mathbf{q})|^2 \left[(n_{\mathbf{q}\nu} + 1 - f_{m\mathbf{k}+\mathbf{q}}^0) \right. \\ &\times \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} - \hbar\omega_{\mathbf{q}\nu}) + (n_{\mathbf{q}\nu} + f_{m\mathbf{k}+\mathbf{q}}^0) \delta(\varepsilon_{n\mathbf{k}} - \varepsilon_{m\mathbf{k}+\mathbf{q}} + \hbar\omega_{\mathbf{q}\nu}) \left. \right] \end{aligned}$$

EPW relies on MLWF to interpolate electron-phonon matrix elements.



SP *et al.*, Comput. Phys. Commun. **209**, 116 (2016)

$$g_{mn\nu}(\mathbf{k}, \mathbf{q}) = g_{mn\nu}^S(\mathbf{k}, \mathbf{q}) + g_{mn\nu}^{\mathcal{L}}(\mathbf{k}, \mathbf{q})$$

$$g_{mn\nu}^{\mathcal{L}}(\mathbf{k}, \mathbf{q}) = g_{mn\nu}^{\mathcal{L}, \text{eD}}(\mathbf{k}, \mathbf{q}) + g_{mn\nu}^{\mathcal{L}, \text{eQ}}(\mathbf{k}, \mathbf{q}) + \dots$$

$$g_{mn\nu}^{\mathcal{L}, \text{eD}}(\mathbf{k}, \mathbf{q}) = i \frac{4\pi}{V_{\text{uc}}} \frac{e^2}{4\pi\epsilon^0} \sum_{\kappa} \left[\frac{\hbar}{2N_{p'} M_{\kappa} \omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G} \neq -\mathbf{q}} e^{-i(\mathbf{G}+\mathbf{q}) \cdot \boldsymbol{\tau}_{\kappa}}$$

$$\times \frac{(\mathbf{G} + \mathbf{q}) \cdot \mathbf{Z}_{\kappa}^* \cdot \mathbf{e}_{\kappa\mathbf{q}\nu}}{(\mathbf{G} + \mathbf{q}) \cdot \boldsymbol{\epsilon}^{\infty} \cdot (\mathbf{G} + \mathbf{q})} \langle \Psi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}} | \Psi_{n\mathbf{k}} \rangle$$

$$g_{mn\nu}^{\mathcal{L}, \text{eQ}}(\mathbf{k}, \mathbf{q}) = \frac{4\pi}{V_{\text{uc}}} \frac{e^2}{4\pi\epsilon^0} \sum_{\kappa} \left[\frac{\hbar}{2N_{p'} M_{\kappa} \omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G} \neq -\mathbf{q}}$$

$$\times \frac{(\mathbf{G} + \mathbf{q}) \cdot (\mathbf{G} + \mathbf{q}) \cdot \mathbf{e}_{\kappa\mathbf{q}\nu} \cdot \tilde{\mathbf{Q}}_{mn\kappa}(\mathbf{k}, \mathbf{G} + \mathbf{q})}{(\mathbf{G} + \mathbf{q}) \cdot \boldsymbol{\epsilon}^{\infty} \cdot (\mathbf{G} + \mathbf{q})} e^{-i(\mathbf{G}+\mathbf{q}) \cdot \boldsymbol{\tau}_{\kappa}},$$

C. Verdi *et al.*, Phys. Rev. Lett. **115**, 176401 (2015)
 G. Brunin *et al.*, Phys. Rev. Lett. **125**, 136601 (2020)
 V.A. Jhalani *et al.*, Phys. Rev. Lett. **125**, 136602 (2020)

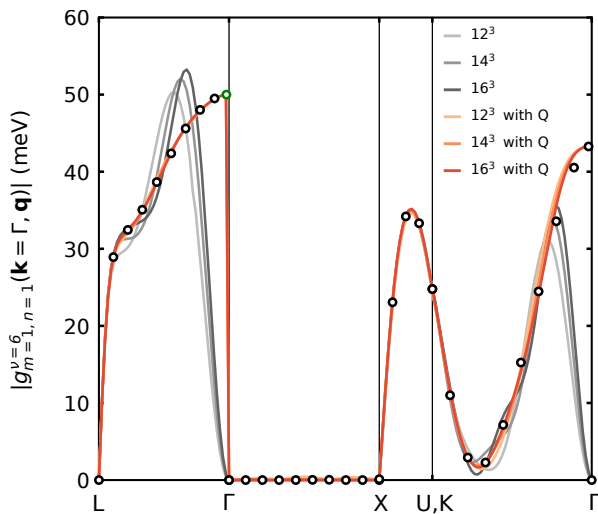
$$D_{\kappa\alpha,\kappa'\beta}(\mathbf{q}) = D_{\kappa\alpha,\kappa'\beta}^{\mathcal{S}}(\mathbf{q}) + D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L}}(\mathbf{q})$$

$$D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L}}(\mathbf{q}) = \frac{4\pi e^2}{V_{\text{uc}}} \left[\sum_{\mathbf{G} \neq -\mathbf{q}} D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L},\text{DD}+\text{DQ}+\text{QQ}}(\mathbf{G} + \mathbf{q}) \right.$$

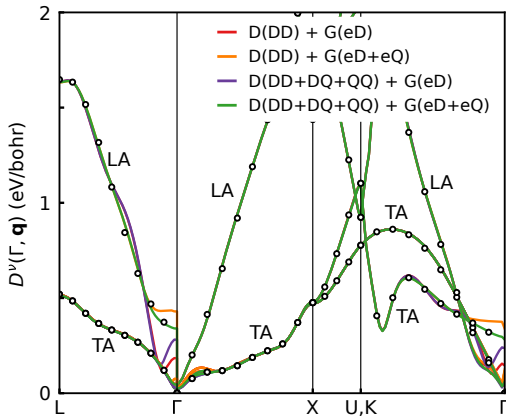
$$\left. - \delta_{\kappa\kappa'} \sum_{\kappa''} \sum_{\mathbf{G} \neq 0} D_{\kappa\alpha,\kappa''\beta}^{\mathcal{L},\text{DD}+\text{DQ}+\text{QQ}}(\mathbf{G}) \right]$$

$$D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L},\text{DD}+\text{DQ}+\text{QQ}}(\mathbf{q}) = \frac{e^{i\mathbf{q} \cdot (\boldsymbol{\tau}_{\kappa} - \boldsymbol{\tau}_{\kappa'})} e^{\frac{-\mathbf{q} \cdot \boldsymbol{\varepsilon}^{\infty} \cdot \mathbf{q}}{4\Lambda^2}}}{\mathbf{q} \cdot \boldsymbol{\varepsilon}^{\infty} \cdot \mathbf{q}} \left[\mathbf{q} \cdot \mathbf{Z}_{\kappa\alpha}^* \cdot \mathbf{q} \cdot \mathbf{Z}_{\kappa'\beta}^* \right. \\ \left. + \frac{1}{4} \mathbf{q} \cdot \mathbf{q} \cdot \mathbf{Q}_{\kappa\alpha} \cdot \mathbf{q} \cdot \mathbf{q} \cdot \mathbf{Q}_{\kappa'\beta} + \frac{i}{2} \mathbf{q} \cdot \mathbf{Z}_{\kappa\alpha}^* \cdot \mathbf{q} \cdot \mathbf{q} \cdot \mathbf{Q}_{\kappa'\beta} \right. \\ \left. - \frac{i}{2} \mathbf{q} \cdot \mathbf{q} \cdot \mathbf{Q}_{\kappa\alpha} \cdot \mathbf{q} \cdot \mathbf{Z}_{\kappa'\beta}^* \right].$$

X. Gonze *et al.*, Phys. Rev. B **55**, 10355 (1997)
M. Royo *et al.*, Phys. Rev. Lett. **125**, 217602 (2020)

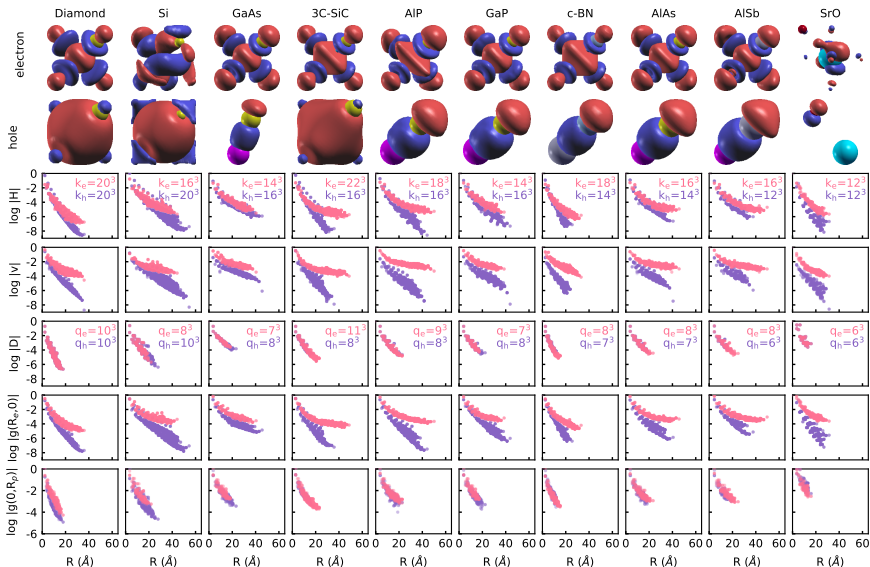


$$D^\nu(\Gamma, \mathbf{q}) = \frac{1}{\hbar N_w} \left[2\rho V_{uc} \hbar \omega_{\mathbf{q}\nu} \sum_{nm} |g_{mn\nu}(\Gamma, \mathbf{q})|^2 \right]^{1/2}$$



SP et al., Phys. Rev. Research 3, 043022 (2021)

10 simple bulk semiconductors



$$r_{\alpha\beta\gamma}^H \equiv \frac{\sigma_{\alpha\beta\gamma}^H (\sigma_{\beta\alpha})^{-1}}{|B_\gamma|} = \frac{\mu_{\alpha\beta\gamma}^H (\mu_{\beta\alpha})^{-1}}{|B_\gamma|}$$

Isotropic approximation:

$$r^{\text{iso}} = \frac{\langle \tau^2 \rangle}{\langle \tau \rangle^2}$$

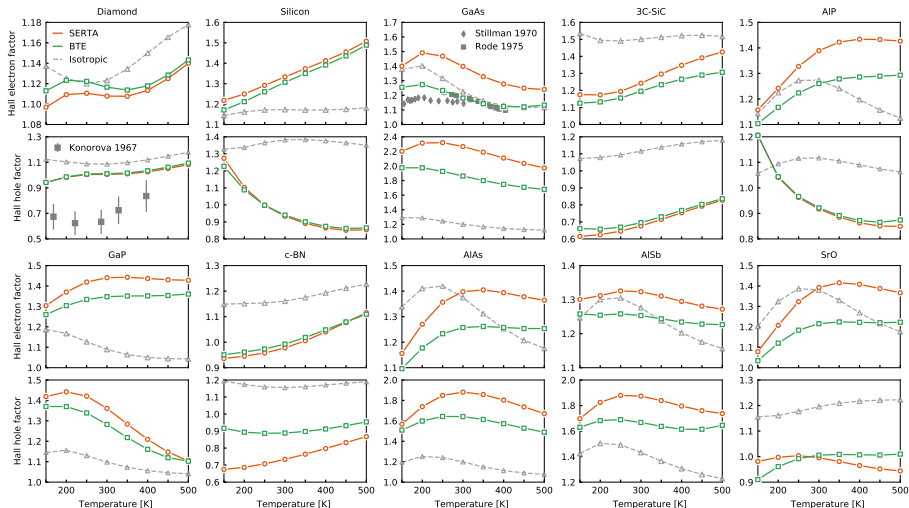
$$\langle \tau^n \rangle \equiv \frac{\int_0^\infty \tau^n (x k_B T) x^{3/2} e^{-x} dx}{\int_0^\infty x^{3/2} e^{-x} dx}.$$

Here, $x = \varepsilon / (k_B T)$ and

$$\tau(\varepsilon) = \sum_n \int \frac{d^3 k}{\Omega_{\text{BZ}}} \delta(\varepsilon - \varepsilon_{n\mathbf{k}}) \tau_{n\mathbf{k}}$$

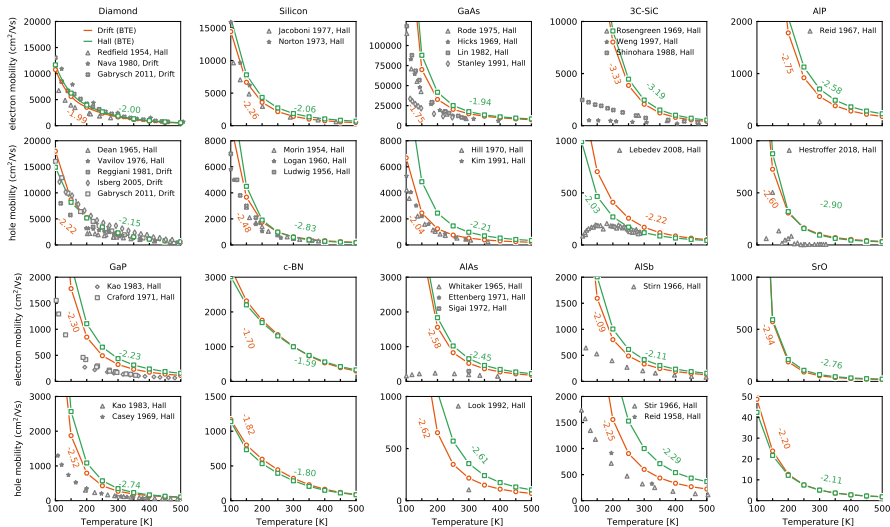
F. Macheda *et al.*, Nano Letters 20, 8861 (2020)

Hall factor

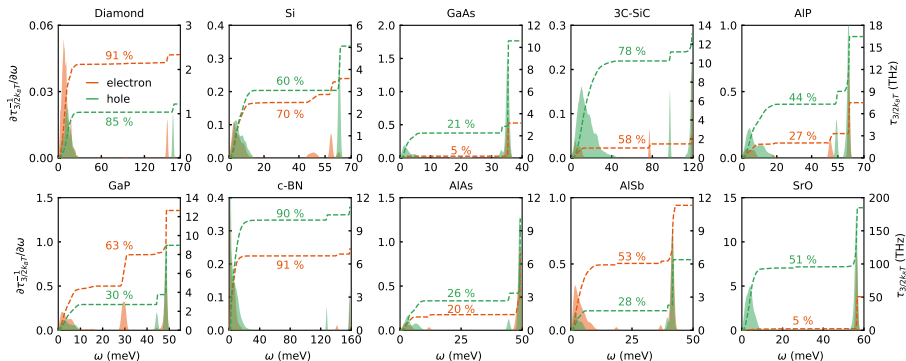


SP *et al.*, Phys. Rev. Research **3**, 043022 (2021)

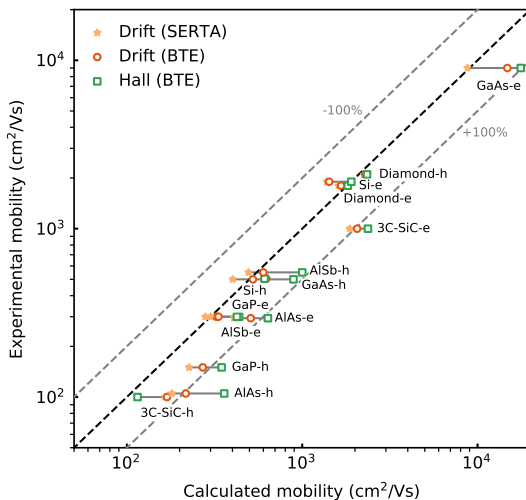
Temperature dependence mobility



SP *et al.*, Phys. Rev. Research **3**, 043022 (2021)



Optical scattering in GaAs-e, SrO-e, AlAs-e, GaAs-h, AlAs-h, AlP-e, AlSb-h, GaP-h, AlP-h.



SP *et al.*, Phys. Rev. Research **3**, 043022 (2021)

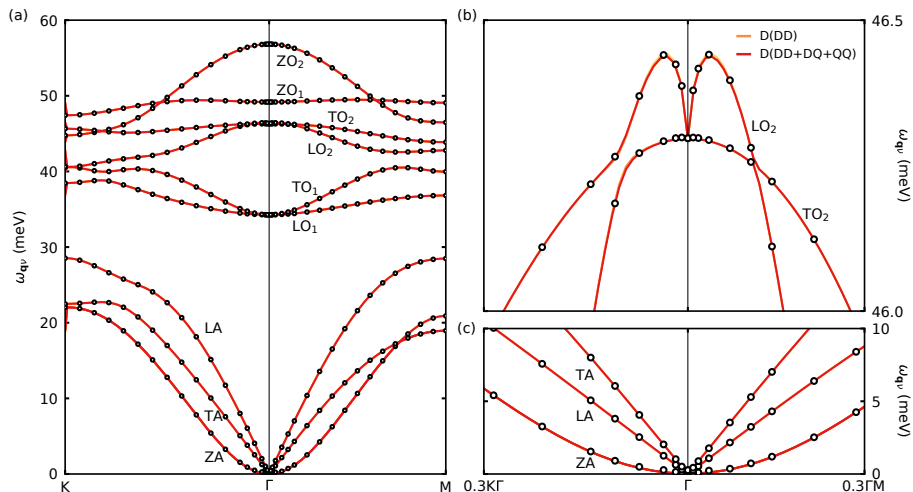
$$D_{\kappa\alpha,\kappa'\beta}^{2D}(\mathbf{q}) = D_{\kappa\alpha,\kappa'\beta}^{\mathcal{S},2D}(\mathbf{q}) + D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L},2D}(\mathbf{q})$$

$$D_{\kappa\alpha,\kappa'\beta}^{\mathcal{L},2D}(\mathbf{G} + \mathbf{q}) = \frac{2\pi e^2 f(G+q)}{\frac{\Omega}{c}|G+q|} \left[\frac{Z_{\kappa\alpha}^{\parallel,*}(\mathbf{G} + \mathbf{q}) Z_{\kappa'\beta}^{\parallel}(\mathbf{G} + \mathbf{q})}{1 + \frac{2\pi f(G+q)}{|G+q|} \alpha^{\parallel}(\mathbf{G} + \mathbf{q})} - \frac{Z_{\kappa\alpha}^{\perp,*}(\mathbf{G} + \mathbf{q}) Z_{\kappa'\beta}^{\perp}(\mathbf{G} + \mathbf{q})}{1 - 2\pi|G+q|f(G+q)\alpha^{\perp}} \right] e^{-i(\mathbf{G} + \mathbf{q}) \cdot (\tau_{\kappa'\beta} - \tau_{\kappa\alpha}) \mathbf{a}}$$

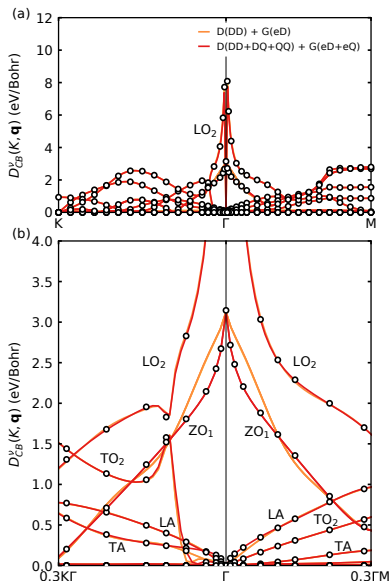
$$Z_{\kappa\alpha}^{\parallel}(\mathbf{G} + \mathbf{q}) = \sum_{\beta} (G_{\beta} + q_{\beta}) Z_{\kappa\alpha}^{\beta} - \frac{i}{2} \sum_{\gamma} (G_{\beta} + q_{\beta})(G_{\gamma} + q_{\gamma}) Q_{\kappa\alpha}^{\beta\gamma} - \frac{i}{2} |G+q|^2 Q_{\kappa\alpha}^{zz}$$

$$Z_{\kappa\alpha}^{\perp}(\mathbf{G} + \mathbf{q}) = |G+q| Z_{\kappa\alpha}^z - i \sum_{\beta} |G+q| (G_{\beta} + q_{\beta}) Q_{\kappa\alpha}^{z\beta},$$

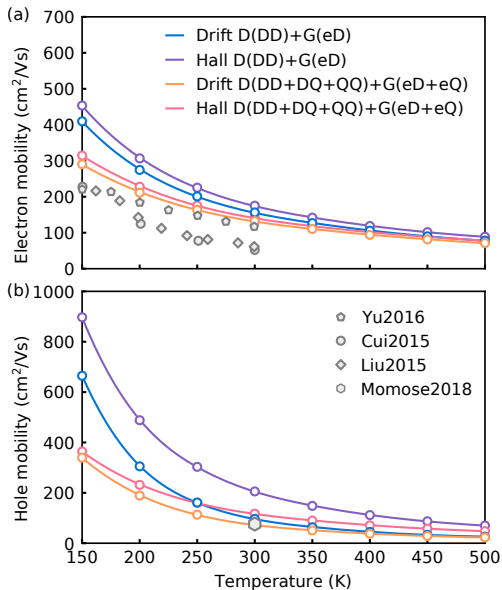
Phonon frequency of MoS₂ monolayer



Deformation potential of MoS₂ monolayer



Mobility of MoS₂ monolayer



- Efficient implementation of drift and Hall mobility in 3D and 2D materials
- Dynamical quadrupoles are important for accurate interpolation
- Phonon-limited mobility overestimates experimental mobility

Open / Discussion

- 1D materials
- Higher level of theory - spectral functions ... - systematic benchmark needed
- Effect of anharmonicity on carrier mobility
- Transport with electron localization

Electron-Phonon School 2022 - Austin (US) UCLouvain

ICTP School 2018



Virtual School 2021



Electron-Phonon School 2022
13-19 June 2022, Austin, TX





<https://epw-code.org>



<https://forum.epw-code.org>



<https://gitlab.com/QEF/q-e>



SP, F. Macheda, E.R. Margine, N. Marzari, N. Bonini, F. Giustino, Phys. Rev. Research **3**, 043022 (2021)

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SP, E.R. Margine, F. Giustino, Phys. Rev. B **97**, 121201 (2018)

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$$g_{mn\nu}^{\mathcal{L},\text{eQ}}(\mathbf{k}, \mathbf{q}) = \frac{4\pi}{V_{\text{uc}}} \frac{e^2}{4\pi\epsilon^0} \sum_{\kappa} \left[\frac{\hbar}{2N_{p'} M_{\kappa} \omega_{\mathbf{q}\nu}} \right]^{\frac{1}{2}} \sum_{\mathbf{G} \neq -\mathbf{q}} \times \frac{(\mathbf{G} + \mathbf{q}) \cdot (\mathbf{G} + \mathbf{q}) \cdot \mathbf{e}_{\kappa\mathbf{q}\nu} \cdot \tilde{\mathbf{Q}}_{mn\kappa}(\mathbf{k}, \mathbf{G} + \mathbf{q})}{(\mathbf{G} + \mathbf{q}) \cdot \epsilon^{\infty} \cdot (\mathbf{G} + \mathbf{q})} e^{-i(\mathbf{G} + \mathbf{q}) \cdot \boldsymbol{\tau}_{\kappa}},$$

where $\tilde{\mathbf{Q}}_{mn\kappa}(\mathbf{k}, \mathbf{G} + \mathbf{q})$ is a third-rank effective quadrupole tensor defined as:

$$\begin{aligned} \tilde{Q}_{mn\kappa\alpha\beta\gamma}(\mathbf{k}, \mathbf{G} + \mathbf{q}) = & \frac{1}{2} Q_{\kappa\alpha\beta\gamma} \langle \Psi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}} | \Psi_{n\mathbf{k}} \rangle \\ & - e Z_{\kappa\alpha,\beta}^* \langle \Psi_{m\mathbf{k}+\mathbf{q}} | e^{i(\mathbf{q}+\mathbf{G}) \cdot \mathbf{r}} V^{\text{Hxc}, \mathcal{E}_{\gamma}}(\mathbf{r}) | \Psi_{n\mathbf{k}} \rangle, \end{aligned}$$

and $Q_{\kappa\alpha\beta\gamma}$ is the dynamic quadrupole tensor.

