



## Editorial

## Advances in artificial neural networks, machine learning and computational intelligence



*Selected papers from the 30th European Symposium on Artificial Neural Networks, Computational Intelligence and Machine Learning (ESANN 2022)*

This special issue of Neurocomputing presents 16 original articles (one of them being a tutorial selected from a special session) that are extended versions of selected papers from the 30th European Symposium on Artificial Neural Networks, Computational Intelligence and Machine Learning (ESANN 2022), a major event for researchers in the field of artificial neural networks and related topics. This single-track conference is held annually in Bruges, Belgium, a UNESCO World Heritage Site and one of the most beautiful medieval city centres in Europe. It is jointly organized by UCLouvain (Louvain-la-Neuve) and KULeuven (Leuven), and it is steered by Prof. Michel Verleysen from UCLouvain. In addition to regular sessions, the conference regularly welcomes special sessions organized by renowned scientists in their respective fields. These sessions focus on specific methodological aspects (e.g., continual learning, anomaly and change point detection, deep semantic segmentation models in computer vision, deep learning for graphs) and applications (e.g., machine learning and information-theoretic methods for molecular biology and medicine).

The contributions in this special issue show that ESANN covers a broad range of topics in neural computing and neuroscience, from theoretical aspects to state-of-the-art applications. The 30th ESANN was held in October 2022 in a hybrid format (due to the COVID-19 pandemic), with 106 in-person participants and 18 remote participants from 19 countries. Around 100 oral and poster contributions were presented. Based on the reviewers' and special session organisers' recommendations, as well as on the quality of the oral presentations at the conference, a number of authors were invited to submit an extended version of their conference paper for this special issue of Neurocomputing. All extended manuscripts went through an additional review process by at least two independent experts, and the 16 articles presented in this volume were accepted for publication:

- Luca Oneto, Sandro Ridella and Davide Anguita  
*Do we really need a new theory to understand over-parametrization?*  
This century saw an unprecedented increase of public and private investments in Artificial Intelligence (AI) and especially in (Deep) Machine Learning (ML). This led to breakthroughs in their practical ability to solve complex real-world problems impacting research and society at large. Instead, our ability to understand the fundamental mechanism behind these breakthroughs has slowed down because of their increased complexity, while in the past breakthroughs often emerged from foundational re-

search. This questioned researchers about the necessity for a new theoretical framework able to help researchers catch up on this lag. One of the still not well-understood mechanisms is the so-called over-parametrization, namely the ability of certain models to increase their generalization performance (reduce test error) when the number of parameters is above the interpolating threshold (zero training error). In this paper, the authors show that this phenomenon can be better understood using known theories (surveying them in the process) and provide empirical evidence for both shallow and deep learning algorithms.

- Guillermo Castillo-García, Laura Morán-Fernández, Veronica Bolón-Canedo

*Feature selection for domain adaptation using complexity measures and swarm intelligence*

The authors propose to apply the Sticky Binary Particle Swarm Optimization algorithm to perform feature selection for domain adaptation, a specific type of transfer learning in which the source and the target domain have a common feature space, a common task, but different distributions. Differently to other works in literature, the authors adopt complexity metrics instead of error functions, obtaining a fast approach yielding a sparse feature representation.

- Alessio Micheli, Domenico Tortorella

*Addressing heterophily in node classification with graph echo state networks*

Graph Neural Networks have been extensively adopted for node classification tasks. While the most adopted models tend to work well on graphs with a high ratio of intra-class edges, in the opposite case the smoothed representations based on close neighbors computed by convolutional graph neural models are no longer effective. Several architectural variations in message-passing models to reduce excessive smoothing or rewiring the input graph to improve longer-range message passing have been proposed in the literature. In this paper, the authors address the challenges of heterophilic graphs with Graph Echo State Network (GESN), a reservoir computing model for graphs, where node embeddings are recursively computed by an untrained message-passing function.

- Katrin Sophie Bohnsack, Julius Voigt, Marika Kaden, Florian Heinke, Thomas Villmann

*Multi-proximity based embedding scheme for learning vector quantization-based classification of biochemical structured data*

The authors propose a scalable data embedding technique for structured data that allows for the direct application of standard vector-based machine learning models without the need for explicit feature extraction. The proposed approach relies on multiple notions of data proximity, making it suitable for handling mixed data types or incorporating domain knowledge. Experimental results on graph and sequence datasets from the biochemical domain show that the method can efficiently be combined with interpretable machine learning approaches like relevance-based learning vector quantization for sophisticated classification learning.

- Madalina Olteanu, Fabrice Rossi, Florian Yger  
*Meta-survey on outlier and anomaly detection*

In the field of outlier and anomaly detection, numerous reviews, surveys, and textbooks have sought to summarize the existing literature, encompassing a wide range of methods from both the statistical and data mining communities. The authors present the first systematic meta-survey of general surveys and reviews in the field. Employing a classical systematic survey approach, the study collects nearly 500 papers using two specialized scientific search engines. From this comprehensive collection, a subset of 56 papers that claim to be general surveys on outlier detection is selected using a snowball search technique to enhance field coverage. A meticulous quality assessment phase further refines the selection to a subset of 25 high-quality general surveys. Using this curated collection, the paper investigates the evolution of the outlier detection field over a 20-year period, revealing emerging themes and methods.

- Maximilian Münch, Manuel Röder, Simon Heilig, Christoph Raab, Frank-Michael Schleif  
*Static and adaptive subspace information fusion for indefinite heterogeneous proximity data*

The paper faces the problem of machine learning applications where the available data is heterogeneous. Authors focus on kernel methods, proposing two approaches for such heterogeneous data analysis: static and adaptive subspace kernel fusion. The first approach is a kernel-based method extracting the essential parts of the subspace of each input modality and creating one single fused representation of the data. The second approach utilizes an adaptation step by integrating the weighting of spectral properties into the fusion process in order to improve the data's representation with respect to a given classification task. The results show an advantage in fusing the underlying subspace information of heterogeneous data for achieving superior performance in machine learning tasks.

- Quinten Van Baelen, Peter Karsmakers  
*Constraint guided gradient descent: Training with inequality constraints with applications in regression and semantic segmentation*

Typically, the training of deep neural network exploits solely data in the form of input-output pairs ignoring available domain knowledge. Authors propose in this paper the Constraint Guided Gradient Descent (CGGD) framework, that enables the injection of domain knowledge into the training procedure. The domain knowledge is assumed to be described as a conjunction of inequality constraints which appears to be a natural choice for several applications. Compared to other neuro-symbolic approaches, the proposed method converges to a point that makes an arbitrarily small error with respect to any inequality constraint on the training data and does not require to first transform the constraints into some ad-hoc term that is added to the learning objective. Authors empirically show that the proposed CGGD makes training less dependent on the initialization of the network, improves the constraint satisfiability on all data, improves the generalization of the model to unseen data, and relaxes the need for annotated data.

- Luis A.Q. Villon, Zachary Susskind, Alan T.L. Bacellar, Igor D.S. Miranda, Leandro S. de Araújo, Priscila M.V. Lima, Mauricio Breternitz Jr., Lizy K. John, Felipe M.G. França, Diego L.C. Dutra  
*A conditional branch predictor based on weightless neural networks*  
Conditional branch prediction allows the speculative fetching and execution of instructions before knowing the direction of conditional statements. Machine learning techniques are a promising approach to building branch predictors. However, those traditional solutions demand large input sizes, which impose a considerable overhead. Moreover, they should be efficiently implemented in hardware. Authors propose a conditional branch predictor based on the WiSARD (Wilkie, Stoneham, and Aleksander's Recognition Device) weightless neural network model, a kind of neural network. The WiSARD-based predictor implements one-shot online training designed to address branch prediction as a binary classification problem. This work aims to explore the potential gain in accuracy and estimated hardware costs of using such a model for branch prediction.

- Valerio De Caro, Claudio Gallicchio, Davide Bacciu  
*Continual adaptation of federated reservoirs in pervasive environments*  
When performing learning tasks in pervasive environments, the main challenge arises from the need of combining federated and continual settings. The former comes from the massive distribution of devices with privacy-regulated data. The latter is required by the low resources of the participating devices, which may retain data for short periods of time. In this paper, the authors propose a setup for learning with Echo State Networks (ESNs) in pervasive environments. The proposal focuses on the use of Intrinsic Plasticity (IP), a gradient-based method for adapting the reservoir's non-linearity. First, the objective function of IP is extended to include the uncertainty arising from the distribution of the data over space and time. Then, authors propose Federated Intrinsic Plasticity (FedIP), which is intended for client-server federated topologies with stationary data, and adapts the learning scheme provided by Federated Averaging (FedAvg) to include the learning rule of IP. Finally, this algorithm is extended to equip clients with CL strategies for dealing with continuous data streams. Authors evaluate the proposed algorithms on an incremental setup built upon real-world datasets from human monitoring, showing that both of them improve the representation capabilities and the performance of the ESN, while being robust to catastrophic forgetting.

- Arun Pandey, Hannes De Meulemeester, Bart De Moor, Johan A.K. Suykens

*Multi-view kernel PCA for time series forecasting*

In this paper, the authors propose a kernel principal component analysis model for multi-variate time series forecasting, where the training and prediction schemes are derived from the multi-view formulation of Restricted Kernel Machines. The training problem is simply an eigenvalue decomposition of the summation of two kernel matrices corresponding to the views of the input and output data. When a linear kernel is used for the output view, it is shown that the forecasting equation takes the form of kernel ridge regression. When that kernel is non-linear, a pre-image problem has to be solved to forecast a point in the input space. The model is compared with closely related models on several standard time series datasets.

- Fabian Hinder, Valerie Vaquet, Johannes Brinkrolf, Barbara Hammer

*Model-based explanations of concept drift*

Concept drift refers to the phenomenon that the distribution generating the observed data changes over time. If drift is present, machine learning models can become inaccurate and need adjustment. While there do exist methods to detect concept drift or to adjust models in the presence of observed drift, the question of explaining drift, i.e., describing the potentially complex and high

dimensional change of distributions in a human-understandable fashion, has hardly been considered so far. This problem is of importance since it enables an inspection of the most prominent characteristics of how and where drift manifests. Hence, it allows human understanding of the change and it increases acceptance of life-long learning models. In this paper, the authors present a novel technology characterizing concept drift in terms of the characteristic change of spatial features based on various explanation techniques. To do so, they propose a methodology to reduce the explanation of concept drift to an explanation of models that are trained in a suitable way to extract relevant information from the drift. This way, a large variety of explanation schemes is available, and a suitable method can be selected for the problem at hand. Authors outline the potential of this approach and demonstrate its usefulness in several examples.

- André Artelt, Roel Visser, Barbara Hammer  
*"I do not know! but why?" — Local model-agnostic example-based explanations of reject*

Machine learning based decision making systems in safety critical areas place high demands on the accuracy and generalization ability of the underlying model. A common strategy to deal with uncertainties and possible mistakes is offered by learning with reject option, i.e. a model can refrain from prediction in ambiguous cases and leave the decision to a human expert. Yet, as for the models themselves, human decision-making is hampered by the fact that reject options are often implemented as black-box rules: Experts cannot readily understand the reasons for rejection. The authors propose a model-agnostic framework that enriches classification with reject option by explanation mechanisms. They combine conformal prediction as a popular mathematically based technology of certainty estimation with local surrogates derived for the region of interest. In this way, they can provide local explanations in terms of example-based explanation methods, including counterfactual, semi-factual, and factual methods. The performance of the proposed technology is assessed through a series of benchmarks using 6 different data sets; the associated code is open source.

- Dingge Liang, Marco Corneli, Charles Bouveyron, Pierre Latouche  
*The graph embedded topic model*

Most of existing graph neural networks (GNNs) developed for the prevalent text-rich networks typically treat texts as node attributes. This kind of approach unavoidably results in the loss of important semantic structures and restricts the representational power of GNNs. In this work, authors introduce a document similarity-based graph convolutional network (DS-GCN) encoder to combine graph topologies and document semantics for text-rich network representation. Then, a graph decoder, based on the latent position model, is used to reconstruct the graph while preserving the network topology. The document matrix is rebuilt by a document decoder, based on the embedded topic model, which considers both topic and word embeddings. By including a cluster membership variable for each node in the network, authors develop an end-to-end clustering technique relying on a new deep probabilistic model called the graph embedded topic model (GETM). Numerical experiments on three simulated scenarios emphasize the ability of GETM in fusing the graph topology structure and the document embeddings, and highlight its node clustering performance. Moreover, an application on the Cora-enrich citation network is conducted to demonstrate the effectiveness and interest of GETM in practice.

- Paolo Andreini, Simone Bonechi, Giovanna Maria Dimitri  
*Enhancing glomeruli segmentation through cross-species pre-training*  
 The importance of kidney biopsy, a medical procedure in which a small tissue sample is extracted from the kidney for examination, is increasing due to the rising incidence of kidney disorders. This procedure helps diagnosing several kidney diseases which are

cause of kidney function changes, as well as guiding treatment decisions, and evaluating the suitability of potential donor kidneys for transplantation. In this work, a deep learning system for the automatic segmentation of glomeruli in biopsy kidney images is presented. A novel cross-species transfer learning approach, in which a semantic segmentation network is trained on mouse kidney tissue images and then fine-tuned on human data, is proposed to boost the segmentation performance. The experiments conducted using two deep semantic segmentation networks, MobileNet and SegNeXt, demonstrated the effectiveness of the cross-species pre-training approach leading to an increased generalization ability of both models.

- Danilo Franco, Vincenzo Stefano D'Amato, Luca Pasa, Nicolò Navarin, Luca Oneto

*Fair graph representation learning: Empowering NIFTY via Biased Edge Dropout and Fair Attribute Preprocessing*

The increasing complexity and amount of data available in modern applications strongly demand Trustworthy Learning algorithms that can be fed directly with complex and large graphs data. In fact, on one hand, machine learning models must meet high technical standards (e.g., high accuracy with limited computational requirements), but, at the same time, they must be sure not to discriminate against subgroups of the population (e.g., based on gender or ethnicity). Graph Neural Networks (GNNs) are currently the most effective solution to meet the technical requirements, even if it has been demonstrated that they inherit and amplify the biases contained in the data as a reflection of societal inequities. In fact, when dealing with graph data, these biases can be hidden not only in the node attributes but also in the connections between entities. Several Fair GNNs have been proposed in the literature, with uNifying Fairness and stability (NIFTY) (Agarwal et al. 2021) being one of the most effective. In this paper, we will empower NIFTY's fairness with two new strategies. The first one is a Biased Edge Dropout, namely, we drop graph edges to balance homophilous and heterophilous sensitive connections, mitigating the bias induced by subgroup node cardinality. The second one is Attributes Preprocessing, which is the process of learning a fair transformation of the original node attributes. The effectiveness of our proposal will be tested on a series of datasets with increasingly challenging scenarios. These scenarios will deal with different levels of knowledge about the entire graph, i.e., how many portions of the graph are known and which sub-portion is labeled at the training and forward phases.

- Pierre Poitier, Jérôme Fink, Benoît Frénay  
*Towards better transition modeling in recurrent neural networks: The case of sign language tokenization*

Recurrent neural networks (RNNs) are a popular family of models widely used when facing sequential data such as videos. However, RNNs make assumptions about state transitions that could be damageable. This contribution presents two theoretical limitations of RNNs along with popular extensions proposed to mitigate those issues. The effectiveness of these methods is assessed in practice on the specific task of sign language (SL) video tokenization, as it remains challenging. Evaluated strategies enhance transition modeling with RNNs functioning as state machines. However, this performance gain diminishes in more complex architectures, indicating there is still room for improvement. Such improvement would help to build powerful SL tokenizers usable in future pipelines in natural language processing.

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### Declaration of competing interest

The authors declare that they have no known competing financial interests or personal relationships that could have appeared to influence the work reported in this paper.

### Data availability

No data was used for the research described in the article.

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