

Two-sided turbulent surface layer parameterizations for computing air-sea fluxes

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Abstract

Standard methods for determining air – sea fluxes typically rely on bulk algorithms set in the frame of Monin-Obukhov stability theory (MOST), using ocean surface fields and atmosphere near-surface fields. In the context of coupled ocean – atmosphere simulations, the shallowest ocean vertical level is usually used as bulk input and by default, the turbulent closure is one-sided: it extrapolates atmosphere near-surface solution profiles (for wind speed, temperature and humidity) to the prescribed ocean surface values. Using near-surface ocean fields as surface ones is equivalent to considering that in the ocean surface layer, solution profiles are constant instead of also being determined by a turbulent closure. Here we introduce a method for extending existing turbulent parameterizations to a two-sided framework by explicitly including the ocean surface layer within the aforementioned parameterizations. The formalism we use for this method is derived from that of classical turbulent closures, so that our novelties can easily be implemented within existing formulations. Special care is taken to ensure the smoothness of resulting solution profiles. Other physical phenomena, such as the penetration of radiative fluxes in the ocean and the formation of waves, are then included within our formalism, and their effects are assessed. We also investigate the impact of such two-sided bulk formulations on air - sea fluxes evaluated from a setting similar to those of coupled ocean - atmosphere simulations.

Keywords: Turbulent parameterizations, air-sea fluxes, bulk formulae, ocean surface layer, numerical methods, ocean-atmosphere coupling.

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1 Introduction

Air-sea interactions play a crucial role for the evolution of the Earth system at both meteorological (e.g. Emanuel, 1986) and climatological (e.g. Neelin et al., 1992) scales. In climate models, the interactions between these Earth system components are primarily conveyed through the exchange of momentum, mass and heat fluxes. A significant part of these fluxes is linked to turbulent processes in the surface layer (SL) of the atmosphere and ocean, roughly defined as between 1 m below and 10 m above their common interface. The specific physical processes of the SL are central in determining turbulent air-sea fluxes, which are then used as boundary conditions for ocean and

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atmosphere models. The procedures for obtaining turbulent air-sea fluxes from large-scale quantities (extractable from climate models) are referred to as bulk closures (e.g. Fairall et al., 2002; Large, 2006). Consequently, establishing an adequate parameterization of the SL between the atmosphere and ocean has been a steady point of interest for the development of numerical weather and climate prediction models.

The overwhelming majority of air-sea SL parameterization schemes are expressed in the framework of Monin-Obukhov similarity theory (MOST, Monin and Obukhov, 1954) applied to the near-surface atmosphere. While additional physical effects have gradually been included within SL parameterizations, the fundamental hypotheses at their core have persisted: the atmosphere SL is described by the constant flux layer approximation, resulting from a combination of “law of the wall” and buoyancy effects, which calls for characterizing the surface roughness and column stability. Air-sea fluxes parameterizations have mostly been designed for atmosphere circulation models, assuming ocean surface properties to be known. However, over the last decades, several ocean-specific processes have been progressively integrated within flux computations.

Saunders (1967) first distinguished subsurface temperatures (at depth $O(1\text{ m})$) from skin temperature (at depth $O(1\text{ cm})$), the latter being relevant for assessing the upward longwave, sensible and latent heat air-sea fluxes. Donlon et al. (2002) established a classification of near-surface ocean temperature measurements, insisting that measured temperatures are instrument-dependent, e.g. on the depth of the probe used for field measurements or on the wavelength used in radiometry. Ward et al. (2004) and Ward (2006) performed field measurements of the “skin” temperature layer, showing that assimilating it to the subsurface one could yield errors on the air-sea heat fluxes in the order of 10 to 50 W/m^2 . In parallel, additional parameterizations were included within bulk closures to account for such effects (e.g., Fairall et al., 1996; Zeng and Beljaars, 2005; Bellenger et al., 2017).

The wind stress dependency to surface currents has originally been neglected in bulk closures. However, both numerical experiments (Pacanowski, 1987; Duhaut and Straub, 2006; Dawe and Thompson, 2006; Renault et al., 2016) and flux measurements (Kelly et al., 2001) have shown that surface currents bear an impact on air-sea fluxes. More recently, the effects of this wind stress modulation on coupled ocean - atmosphere have been investigated (Renault et al., 2019a), and turned out to have a non-negligible impact on the energetics in coupled simulations (Renault et al., 2019b).

The parameterizations listed above are all part of a community effort to include the influence of ocean-specific processes within surface layer parameterizations. In this paper, our objective is to develop a general method for adding a simple representation of the ocean near-surface layer within existing bulk closures. The main idea is to extend a given one-sided bulk formulation to account for the evolution of currents and temperature, by extrapolating them from the depth at which the ocean information is available up to the surface, as shown in Figure 1. At first, our approach is built in a very idealized framework. However, the formalism is flexible enough to seamlessly include additional or alternative parameterizations, such as the effects of waves of air-sea momentum exchanges and the potential wind stress deflection resulting from it.

The paper is organized as follows. Section 2 briefly introduces existing bulk closures and underlines their limitations in a coupled ocean-atmosphere context. Section 3 introduces a slight modification on atmosphere bulk closures, allowing them to explicitly treat the air-sea interface. In Section 4, an idealized parameterization for the ocean SL is introduced, solely accounting for shear turbulence. In Section 5, the inclusion of some specific non-turbulent phenomena within this framework (effects of waves and of radiation penetration) are discussed, thus illustrating its flexibility. Section 6 investigates the effects of our novelty of offline turbulent flux computations. Finally, concluding remarks and perspectives are given in Section 7.

2 Existing bulk closures and their limitations in a coupled context

Throughout this paper, local horizontal homogeneity is assumed. Our study is therefore set in a 1D air-sea column configuration. z , the vertical coordinate, is orientated upwards, with the mean

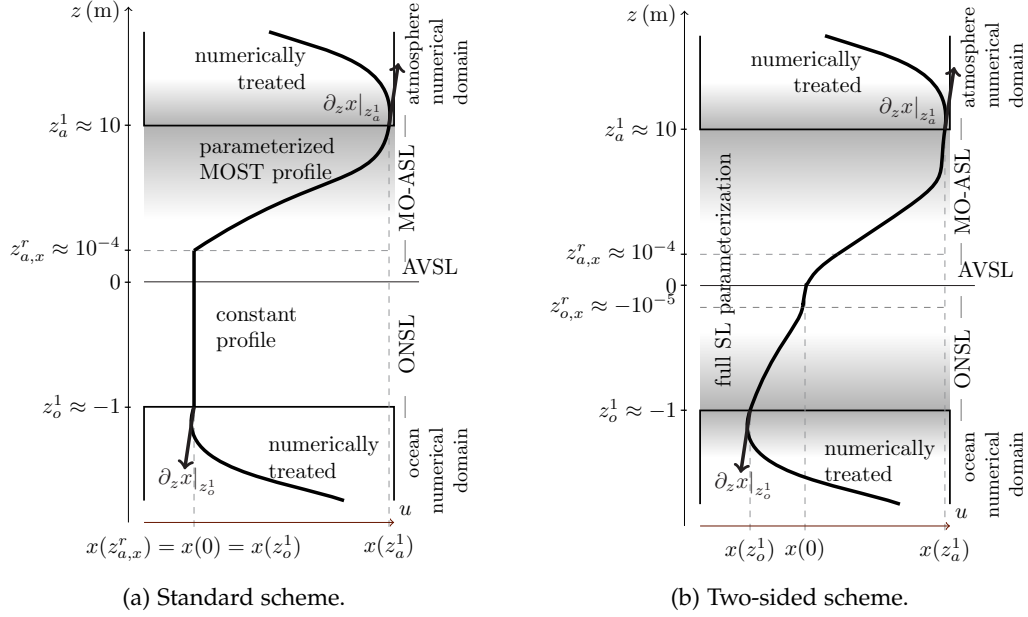


Figure 1: Surface layer parameterized schemes for a given transverse variable $x \in \{u, \theta\}$ (velocity or temperature) with arbitrary and nonuniform vertical scales. Grey shadings indicate the layers of MOST validity. (a) is the standard methodology: only a subset of the atmosphere surface layer, the Monin-Obukhov atmosphere surface layer (MO-ASL), is parameterized. Profiles are assumed constant on the atmosphere viscous sublayer (AVSL) and the ocean near-surface layer (ONSL), leading to gradient discontinuities at $z = z_{a,x}^r$ and $z = z_o^1$. (b) is a two-sided parameterization scheme: the full surface layer is parameterized and thus the solution profile is mathematically regular, except at $z = 0$ where the solution gradient can be discontinuous.

Symbol	Description	Unit
α	Atmosphere ($\alpha = a$) or ocean ($\alpha = o$)	
u	Winds ($\alpha = a$) or currents ($\alpha = o$)	m/s
θ	Potential temperature	K
$\llbracket x \rrbracket_{z_1}^{z_2}$	$x(z_2) - x(z_1)$	-
τ	Wind stress	N/m ²
Q^H	Sensible heat flux	W/m ²
u_α^*	Momentum turbulent scale	m/s
θ_α^*	Temperature turbulent scale	K
κ	von Kármán constant	
z_α^1	Near-surface vertical level	m
$z_{\alpha,u}^r$	Momentum roughness height ($\alpha = a$) or depth ($\alpha = o$)	m
$z_{\alpha,\theta}^r$	Temperature roughness height ($\alpha = a$) or depth ($\alpha = o$)	m
ϕ_α^m	Momentum stability function	
ϕ_α^h	Scalar stability function	
ψ_α^m	Integrated momentum stability function	
ψ_α^h	Integrated scalar stability function	
λ_u	u_o^*/u_α^* ratio	
λ_θ	$\theta_o^*/\theta_\alpha^*$ ratio	
L_α	Obukhov length	m
ρ_α	Fluid density	kg/m ³
c_α^p	Fluid heat capacity	J kg ⁻¹ K ⁻¹
$\mathcal{K}_{\alpha,u}$	Total fluid momentum diffusivity (viscosity)	m ² /s
$\mathcal{K}_{\alpha,\theta}$	Total fluid temperature diffusivity	m ² /s
$\mathcal{K}_\alpha^m$	Fluid kinematic viscosity	m ² /s
μ_m	$\mathcal{K}_o^m / \mathcal{K}_\alpha^m$ ratio	
$\mathcal{K}_{\alpha,u}^v$	Fluid viscous momentum diffusivity (viscosity)	m ² /s
$\mathcal{K}_{\alpha,\theta}^v$	Fluid viscous temperature diffusivity	m ² /s
τ_w	Wave-induced stress	N/m ²

Table 1: Non-exhaustive list of symbols used in this paper. Symbols with the α subscript are twofolds: they are defined in both the atmosphere ($\alpha = a$) and the ocean ($\alpha = o$), and are distinct from one medium to the other.

sea level assumed flat and defined by $\{z = 0\}$. Therefore, $z < 0$ in the ocean and $z > 0$ in the atmosphere. The index $\alpha \in \{o, a\}$ distinguishes ocean and atmosphere variables. The physical variables of interest are the horizontal velocity $u \in \mathbb{R}$ and the potential temperature $\theta \in \mathbb{R}_+^*$. The atmosphere is assumed to be dry, and latent heat resulting from mass exchanges is neglected. This physically limiting assumption is made for easing the formulation of our framework. Moisture effects can be implicitly included by considering virtual potential temperatures, and latent heat can be explicitly included by treating the moisture profiles in the same manner as temperature ones. While u is assumed always aligned in the same direction, Subsection 5.1 investigates the effects of 2D horizontal velocities on the potential deflection of surface stress from near-surface winds. The letter x will be used as a general notation for either u or θ . Our focus is on the surface layer (SL), defined for each variable as the $]z_o^1; z_a^1[$ interval, with $z_o^1 < 0 < z_a^1$. Typically, $z_o^1 \approx -1$ m and $z_a^1 \approx 10$ m correspond to the heights at which the information is extracted from the vertical finite-difference scheme of each model. These values of z_o^1 and z_a^1 are used in all numerical examples below. The SL is nested within the ocean-atmosphere boundary layer, being roughly 10 times thinner. In forced or coupled numerical simulations, it corresponds to the layer which is not covered by the vertical discretization of the considered model (ocean or atmosphere). Table 1 contains a non-exhaustive list of mathematical symbols introduced in this paper.

2.1 Air-sea turbulent fluxes and their relationship to SL solution profiles

This section is intended for general readers to recall the basic aspects about the derivation of bulk formulations necessary for the proper understanding of our approach. The boundary conditions enforced to the atmosphere at $z = z_a^1$ are:

$$\mathcal{K}_{a,u} \partial_z u = \tau / \rho_a \quad (1a)$$

$$\mathcal{K}_{a,\theta} \partial_z \theta = Q^H / (\rho_a c_a^p) \quad (1b)$$

where $\mathcal{K}_{a,u}$ is the momentum diffusivity; $\mathcal{K}_{a,\theta}$ is the thermal diffusivity; τ is the wind stress; ρ_a is the atmosphere density; Q^H is the sensible heat flux; c_a^p is the dry atmosphere heat capacity. In eq. (1), ρ_a and c_a^p are assumed constant and known. τ and Q^H are turbulent fluxes to be determined. Both diffusivities $\mathcal{K}_{a,x}$ also depend on turbulent scales, and thus need to be parameterized. In the atmosphere SL, the relevant turbulent scales for velocity and temperature are $u_a^* > 0$ and θ_a^* , respectively. Formally, MOST states that the atmosphere SL contains a “purely turbulent” sublayer (grey shading in Figure 1), above the direct influence of surface roughness and below the heights at which non-turbulent processes (e.g. pressure gradients, Coriolis effect) become important. In this layer of MOST validity, (u_a^*, θ_a^*) can be linked to $(\partial_z u, \partial_z \theta)$ by building dimensionless groups and applying the π -theorem (Buckingham, 1914). Throughout this manuscript, we assume z_a^1 to be within the layer of MOST validity. Practically, this implies that eq. (1) holds on this part of the SL, with both diffusivities given by:

$$\mathcal{K}_{a,u}^{MO}(z) = \frac{\kappa u_a^* z}{\phi_a^m(z/L_a)} \quad (2a)$$

$$\mathcal{K}_{a,\theta}^{MO}(z) = \frac{\kappa u_a^* z}{\phi_a^h(z/L_a)} \quad (2b)$$

where $\kappa \approx 0.4$ is the von Kármán constant. $L_a = (u_a^*)^2 \theta(z_a^1) / (g \kappa \theta_a^*)$, where $g \approx 9.81$ m/s², is a signed characteristic length (Obukhov, 1971) rendering the fluid stratification’s effect on $\mathcal{K}_{a,x}^{MO}$ through the two stability functions (ϕ_a^m, ϕ_a^h) (e.g. Businger et al., 1971). From dimensional analysis, τ and Q^H can be scaled as $\tau = \rho_a (u_a^*)^2$ and $Q^H = \rho_a c_a^p u_a^* \theta_a^*$. From now on, we also assume that the SL is a constant flux layer, hence u_a^* and θ_a^* are constant as well. Combining the dimensionless groups with the constant flux assumption, by injecting eq. (2) into eq. (1) and integrating with respect to z yields:

$$\llbracket u \rrbracket_0^{z_a^1} = \frac{u_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z_{a,u}^1} \right) - \psi_a^m \left(\frac{z_a^1}{L_a} \right) \right] \quad (3a)$$

$$\llbracket \theta \rrbracket_0^{z_a^1} = \frac{\theta_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z_{a,\theta}^r} \right) - \psi_a^h \left(\frac{z_a^1}{L_a} \right) \right] \quad (3b)$$

where $\llbracket x \rrbracket_{z_1}^{z_2} := x(z_2) - x(z_1)$ and (ψ_a^m, ψ_a^h) are the integrated forms of the stability functions (Paulson, 1970). In the following, for the sake of conciseness, we assume that the atmosphere information is available at the same height z_a^1 for both u and θ . eq. (3) most notably introduces $(z_{a,u}^r, z_{a,\theta}^r)$, a set of two roughness heights, which are used as lower integration boundaries of the invariant dimensionless groups constituted by eq. (1). Indeed, physically, phenomena unrelated to MOST such as surface roughness are expected to dominate in the direct vicinity of the surface; mathematically, eq. (1) with $\mathcal{K}_{a,x}$ given by eq. (2) is not integrable down to $z = 0$. Hence the introduction of roughness heights, which can be defined as the heights at which MOST-derived profiles (of u or θ) reach their respective surface values. In that regard, eq. (3) can be understood as an integration of idealized MOST profiles rather than the actual physical profiles, which are not known as $z \rightarrow 0$. In eq. (3), the surface is assimilated to the roughness heights, so its left members are defined as $\llbracket x \rrbracket_0^{z_a^1}$ instead of $\llbracket x \rrbracket_{z_{a,x}^r}^{z_a^1}$. eq. (3) corresponds to classical “law of the wall” profiles (the logarithm term) perturbed by a stability-rendering term (the ψ_a^x term). Over the ocean, the stability at roughness heights can be neglected as $z_{a,x}^r \ll z_a^1$ and $\psi_a^x(\zeta) \xrightarrow{\zeta \rightarrow 0} 0$.

Air-sea fluxes can be determined from eq. (3) by parameterizing $z_{a,u}^r$ and $z_{a,\theta}^r$ as functions of u_a^* (e.g. Smith, 1988; Fairall et al., 2002). eq. (3) can then be exploited as a set of two nonlinear equations on (u_a^*, θ_a^*) . Solving it, usually through a carefully initialized fixed-point algorithm, leads to τ and Q^H , as they are defined from u_a^* and θ_a^* . This procedure is usually referred to as a bulk algorithm. Outside of a few exceptions (e.g. Louis, 1979; Dubrulle et al., 2002), in their vast majority, the theoretical basis of bulk closures is the MOST formalism described above, and their practical implementations arise from parameterizing the roughness heights and stability functions.

2.2 Limitation on the use of classical bulk closures in a coupled context

Bulk closures described as in Subsection 2.1 have been developed in the framework of atmosphere-only simulations, with ocean surface properties given as external forcings. Such simulations are usually carried with prescribed sea surface temperature (SST) and neglected sea surface currents since in most cases $|u(z=0)| \ll |u(z_a^1)|$. Using bulk closures with these assumptions is consistent with field turbulent flux measurements, which are assessed at heights $z \approx z_a^1$ above the ocean, from “skin” surface temperature (at depth $z \approx -1$ cm), unless an explicit parameterization is used (e.g. a cool skin parameterization such as Fairall et al., 1996). Since the closures include a representation of a vertical coordinate z_a^1 , they can be used for both measuring turbulent fluxes (matching the measurement height), and for computing them in numerical models, with adapting z_a^1 from context. As a consequence, when using transfer-coefficient based bulk closures (e.g. Large, 2006), atmosphere-model extracted values for u and θ are shifted to reference height levels, through MOST-derived invariant groups, in order to match parameterizations calibrated from observations. In other words, classical bulk closures as described in Subsection 2.1 are expressed with a dependency on a reference height, so that the resulting fluxes are independent from it. In practice, this ensures that in the limits of MOST, air-sea fluxes resulting from classical bulk closures do not depend on the atmosphere model’s vertical discretization.

To our understanding, directly extending (i.e. without near-surface parameterizations such as warm layers) classical bulk closures to a forced-ocean or coupled context yields inconsistencies, mostly related to the method (or lack thereof) used for incorporating near-surface ocean fields as bulk closure inputs. Unlike atmosphere-only simulations, in most coupled ones, the shallowest ocean informations available, usually located at a depth of $z_o^1 \approx -1$ m, is directly used as ocean surface information. This is equivalent to assuming that velocity (current) and temperature profiles within the ocean SL are constant with respect to z . Therefore, the depth at which the ocean information used as bulk input is taken does not have any influence on the formulation of the bulk closure. As a consequence, in forced-ocean or coupled experiments, turbulent fluxes arising from classical bulk closures are tributary to the vertical discretization of the ocean model. For example, carrying two

“perfect ocean model” experiments with different near-surface vertical discretizations would yield distinct turbulent air-sea fluxes, which can be problematic.

In the following, we aim at building a formulational framework within which cross-medium bulk closures, more adapted to the context of coupled air-sea simulations, could be expressed. In particular, our formalism allows for vertical shifts to be performed within the ocean SL, so that the resulting bulk closures are depth-input dependent, in the same way classical ones are atmosphere height-input dependent. By design, the obtained air-sea fluxes would then be more robust and independent from the discretization of the ocean model.

3 A slight adaptation of the atmosphere bulk formulation

In this section, we prepare our framework by revisiting atmosphere-only bulk closures. Below it is assumed that the surface currents are zero (i.e., $u(0) = 0$) and that the potential temperatures at $z = z_a^1$ and $z = 0$ are known and used as inputs. Assuming surface properties to be known is an idealization, as such information cannot be measured (Donlon et al., 2002; Kent et al., 2017). A discussion on circumventing this issue is proposed in appendix B. Our objective here is to build a bulk closure which results from integrating solution profiles within the atmosphere surface layer (ASL) from the reference z_a^1 height, down to the ocean - atmosphere interface ($z = 0$), instead of the traditional nonzero “roughness heights”, so to get a direct connection to the underlying ocean. As in typical MOST applications, we assume the ASL to be a constant flux layer. Our bulk closure is thus based on integrating dimensionless groups with the following diffusivities, slightly adapted from eq. (2):

$$\mathcal{K}_{a,u}^{ad}(z) = \frac{\kappa u_a^* z + \mathcal{K}_{a,u}^v}{\phi_a^m(z/L_a)} \quad (4a)$$

$$\mathcal{K}_{a,\theta}^{ad}(z) = \frac{\kappa u_a^* z + \mathcal{K}_{a,\theta}^v}{\phi_a^h(z/L_a)} \quad (4b)$$

where $\mathcal{K}_{a,u}^v, \mathcal{K}_{a,\theta}^v > 0$ is a set of two constant diffusivities, which are to be determined. Their objective is to represent the molecular effects which dominate over the turbulent ones in the viscous sublayers, as $z \rightarrow 0$. In eq. (4), they are divided by stability functions for convenience. The dimensionless groups arising from eqs. (1) and (4) are:

$$\partial_z u = \frac{(u_a^*)^2}{(\kappa u_a^* z + \mathcal{K}_{a,u}^v) / \phi_a^m(z/L_a)} \quad 0 \leq z \leq z_a^1 \quad (5a)$$

$$\partial_z \theta = \frac{u_a^* \theta_a^*}{(\kappa u_a^* z + \mathcal{K}_{a,\theta}^v) / \phi_a^h(z/L_a)} \quad 0 \leq z \leq z_a^1 \quad (5b)$$

Mathematically, the inclusion of $\mathcal{K}_{a,u}^v, \mathcal{K}_{a,\theta}^v > 0$ makes the dimensionless groups eq. (5) integrable on $[0; z_a^1]$. In other words, while classical dimensionless groups are only relevant for describing the purely turbulent part of the ASL, eq. (5) can describe its entirety, down to $z = 0$. Using state-of-the-art stability functions (e.g., Högström, 1988), analytical integrated forms of eqs. (1) and (4) can be obtained (see appendix A.1). They are however hard to manipulate. Obtaining simpler forms is possible by assuming:

$$\mathcal{K}_{a,u}^v, \mathcal{K}_{a,\theta}^v \ll \kappa u_a^* z_a^1, \kappa u_a^* |L_a| \quad (6)$$

which is physically justified, as molecular effects are expected to be negligible compared to: (i) turbulent ones at $z = z_a^1$; (ii) stability-induced ones. Assuming once again that the SL is a constant flux layer, integrating eq. (5) downwards from z_a^1 and using eq. (6) yields (see appendix A.2 for proof):

$$u(z) = u(z_a^1) - \frac{u_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z + \mathcal{K}_{a,u}^v / (\kappa u_a^*)} \right) - \psi_a^m \left(\frac{z_a^1}{L_a} \right) + \psi_a^m \left(\frac{z}{L_a} \right) \right] \quad 0 \leq z \leq z_a^1 \quad (7a)$$

$$\theta(z) = \theta(z_a^1) - \frac{\theta_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z + \mathcal{K}_{a,\theta}^r / (\kappa u_a^*)} \right) - \psi_a^h \left(\frac{z_a^1}{L_a} \right) + \psi_a^h \left(\frac{z}{L_a} \right) \right] \quad 0 \leq z \leq z_a^1 \quad (7b)$$

In particular, assessing eq. (7) at $z = 0$ leads to:

$$\llbracket u \rrbracket_0^{z_a^1} = \frac{u_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{\mathcal{K}_{a,u}^v / (\kappa u_a^*)} \right) - \psi_a^m \left(\frac{z_a^1}{L_a} \right) \right] \quad (8a)$$

$$\llbracket \theta \rrbracket_0^{z_a^1} = \frac{\theta_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{\mathcal{K}_{a,\theta}^r / (\kappa u_a^*)} \right) - \psi_a^h \left(\frac{z_a^1}{L_a} \right) \right] \quad (8b)$$

where $\llbracket x \rrbracket_{z_1}^{z_2} = x(z_2) - x(z_1)$. eq. (8) can be used as a bulk closure, i.e. a set of equation on (u_a^*, θ_a^*) , depending on input fields at $z = 0$ and $z = z_a^1$. Practically speaking, $\mathcal{K}_{a,u}^v$ and $\mathcal{K}_{a,\theta}^r$ can be parameterized so that the eq. (8) closure is strictly equivalent¹ to the classical one eq. (3), using roughness heights as lower integration boundaries. This can be done by setting:

$$\mathcal{K}_{a,u}^v = \kappa u_a^* z_{a,u}^r \quad (9a)$$

$$\mathcal{K}_{a,\theta}^r = \kappa u_a^* z_{a,\theta}^r \quad (9b)$$

In other words, the new ASL closure eq. (8) is transparent in that it only differs from classical ones in terms of formalism, when assuming surface ocean fields to be known. More adequate tuning for $\mathcal{K}_{a,x}^v$ requires the ocean surface layer to be treated, and are discussed in appendix B. When perturbing diffusivities with positive constant factors as in eq. (4), the resulting viscous profiles' asymptotes are logarithmic functions, stopping at an equivalent roughness height of $\mathcal{K}_{a,x}^v / (\kappa u_a^*)$. It should be underlined that any other type of profiles (as long as they are monotonous with respect to z) can be generated by relaxing eq. (4) and perturbing $\mathcal{K}_{a,x}^v$ with a carefully built z -dependent function. However, this endeavor is not pursued here as our objective is to obtain a formalism that simply treats the full $[0; z_a^1]$ interval, rather than describing the viscous sublayers as accurately as possible. Hence, the viscous parameterization is kept simple, with a minimal (null here) impact on bulk closure outputs.

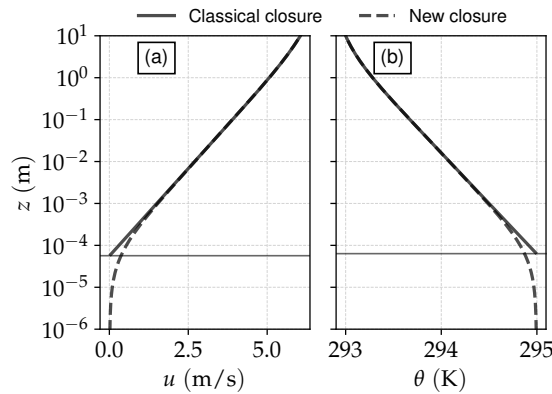


Figure 2: Solution profiles for (a) u and (b) θ in the ASL, under unstable stratification, arising from classical dimensionless groups (full plots) and the modified ones (dashed plots) relying on eqs. (7) and (9). The roughness height parameterizations and the stability functions are taken from the COARE bulk formula (Fairall et al., 2002). Roughness heights are indicated by thin horizontal lines. Here, $u(z_a^1) = 6$ m/s, $u(0) = 0$ m/s, $\theta(z_a^1) = 293$ K and $\theta(0) = 295$ K. Note the logscaled z -axis.

Using the adapted closure eq. (8) instead of the classical one eq. (3) has three assets. First, it is directly derived from integrating a dimensionless group down to $z = 0$, instead of using the roughness

¹In the zeroth order limit arising from eq. (6).

heights as an arbitrary lower integration bound. In our opinion, including $\mathcal{K}_{a,x}^v$ (and tuning it through eq. (9)) within the effective surface layer diffusivities is more intuitive than stopping the dimensionless groups' integration at the $z_{a,x}^r$ nonzero heights. Second, the new closure includes a smooth transition between the turbulent ($z \gg z_{a,x}^r$) and viscous ($z \lesssim z_{a,x}^r$) sublayers of the ASL. Integrating eqs. (1) and (4) from z_a^1 down to $z \in]0; z_a^1[$ allows for a full coverage of the ASL, including the viscous sublayers which cannot be represented by classical dimensionless groups. As Figure 2 shows, using the modified bulk closure allows for smooth profiles to be integrated down to $z = 0$, and leads to negligible differences far from the viscous sublayers, as soon as $z \gtrsim 10^{-3}$ m. Third, at the expense of physically crude hypotheses on the viscous sublayers, it permits unambiguously expressing the solution profiles and their derivatives at $z = 0^+$, which will be useful in the next section.

4 Idealized symmetrical ocean-atmosphere bulk formulation

In this section, our objective is to extend the classical MOST framework so that two-sided ocean - atmosphere closures can be expressed within it. Strictly speaking, this should be distinguished from deriving new closures: below, we are not establishing new parameterizations for roughness and/or stability. We are simply proposing a method for vertically extending existing closures into the ocean. Hence, our novelties are more to be understood in terms of framework rather than closure in itself. In the following, we assume that the surface current velocity and potential temperature are known at a reference depth $z_o^1 \approx -1$ m instead of the surface. This setting is similar to that of forced ocean or coupled ocean - atmosphere simulations, where the shallowest ocean information available is located at a nonzero depth. Before including more realistic physics in Section 5, here we simply extend the formulations of Section 3 to build idealized two-sided ocean-atmosphere bulk closures, aiming at determining turbulent scales from $u(z_o^1)$, $u(z_a^1)$, $\theta(z_o^1)$ and $\theta(z_a^1)$. We refer to the “ocean near-surface layer” (ONSL) as the thin layer located between z_o^1 and $z = 0$, above the shallowest ocean vertical level. The ONSL is much thinner than the ocean boundary layer (OBL), whose depth can reach up to a few hundreds of meters.

4.1 MOST-derived ocean surface layer

In this section, the ONSL is idealized and its description is based on the following assumptions (which will all be gradually relaxed in Section 5):

- (iONSL-1) The ONSL is a constant flux layer.
- (iONSL-2) As in the ASL, only turbulence and molecular effects play a role on the ONSL, i.e. the wave effects and radiative fluxes are not explicitly represented.
- (iONSL-3) The wind stress and sensible heat fluxes are conserved across the ocean-atmosphere interface.
- (iONSL-4) The near-surface currents $u(z_o^1)$ are perfectly aligned with the near-surface winds $u(z_a^1)$, both being aligned with the i -axis, hence $(u(z_o^1), u(z_a^1)) \in \mathbb{R}^2$.

(iONSL-1) - (iONSL-4) lead to a rough, purely shear-driven description of the ONSL.

The relevancy of such an idealized description has been validated by direct numerical simulations (Tsai et al., 2005), as well as both laboratory (Wu, 1975, 1984; Mcleish and Putland, 1975) and field (Churchill and Csanady, 1983; Csanady, 1984) experiments. However, under moderate to strong winds, other sources of air-sea exchanges (such as wave-induced stress) develop and interplay with purely turbulent and viscous effects. In particular, as soon as $u(z_a^1) \gtrsim 3$ m/s, (iONSL-2) becomes physically invalid as waves start playing a crucial role in the momentum transfer to the ocean. Therefore, the assumptions above are expressed to build our framework, which will be extended in Section 5 to account for more realistic parameterizations.

As for z_a^1 in Section 2, we also assume that z_o^1 , the shallowest ocean level, is located within the domain of MOST validity. (iONSL-1) and (iONSL-2) thus lead to modelling the ONSL in the same way as the ASL was, through ocean dimensionless groups analogous to the atmosphere ones eq. (5), for $z_o^1 \leq z \leq 0$:

$$\partial_z u = \frac{(u_o^*)^2}{(\kappa u_o^*(-z) + \mathcal{K}_{o,u}^v) / \phi_o^m(-z/L_o)} \quad (10a)$$

$$\partial_z \theta = \frac{u_o^* \theta_o^*}{(\kappa u_o^*(-z) + \mathcal{K}_{\theta,o}^v) / \phi_o^h(-z/L_o)} \quad (10b)$$

where $u_o^* > 0$ and θ_o^* are ocean turbulent scales; $\mathcal{K}_{o,u}^v, \mathcal{K}_{\theta,o}^v > 0$ ocean molecular viscosity and thermal diffusivity; ϕ_o^m, ϕ_o^h a set of two stability functions; and L_o the ocean Obukhov length defined as in Large (1998), i.e. $L_o = (u_o^*)^2 / (\kappa g \alpha_{eos} \theta_o^*)$, with $\alpha_{eos} \approx 1.8 \times 10^{-4} \text{ K}^{-1}$ the ocean thermal expansion coefficient. The ϕ_o^x stability functions can differ from their atmosphere counterparts. In the following, we will use the ocean stability functions from Large et al. (2019):

$$\phi_o^m(\zeta) = \phi_o^h(\zeta) = 1 + 5\zeta \quad \zeta \geq 0 \quad (11a)$$

$$\phi_o^m(\zeta) = (1 - 14\zeta)^{-1/3} \quad \zeta < 0 \quad (11b)$$

$$\phi_o^h(\zeta) = (1 - 25\zeta)^{-1/3} \quad \zeta < 0 \quad (11c)$$

The integrated forms of eq. (11b) and eq. (11c) are given by (for $x \in \{m, h\}$):

$$\psi_o^x(\zeta) = \sqrt{3} \left[\text{Arctan}(\sqrt{3}) - \text{Arctan}\left(\frac{\sqrt{3}}{3}(2C_x + 1)\right) \right] + \frac{3}{2} \ln \left(\frac{(C_x)^2 + C_x + 1}{3} \right) \quad (12)$$

where $C_m = (1 - 14\zeta)^{1/3}$ and $C_h = (1 - 25\zeta)^{1/3}$.

4.2 Turbulent closure for the idealized ONSL

In this section, we rely on the hypotheses above to constrain the four new unknown quantities (u_o^* , θ_o^* , $\mathcal{K}_{o,u}^v$ and $\mathcal{K}_{\theta,o}^v$) introduced by eq. (10). First, (iONSL-3) yields:

$$u_o^* = \lambda_u u_a^* \quad (13a)$$

$$\theta_o^* = \lambda_\theta \theta_a^* \quad (13b)$$

where $\lambda_u = \sqrt{\rho_a / \rho_o} \approx 3 \times 10^{-2}$ and $\lambda_\theta = (\sqrt{\rho_a} c_a^p) / (\sqrt{\rho_o} c_o^p) \approx 8 \times 10^{-3}$. Second, unlike classical bulk closures, the adapted ones allow assessing solution profiles at the interface $z = 0$. Across the interface, the gradients of the solution profiles are assumed to satisfy the following molecular constraint:

$$(\rho_a \mathcal{K}_a^m \partial_z u)_{z=0^+} = (\rho_o \mathcal{K}_o^m \partial_z u)_{z=0^-} \quad (14a)$$

$$(\rho_a c_a^p \mathcal{K}_a^m \partial_z \theta)_{z=0^+} = (\rho_o c_o^p \mathcal{K}_o^m \partial_z \theta)_{z=0^-} \quad (14b)$$

where $\mathcal{K}_a^m$ are the kinematic viscosities for $\alpha \in \{o, a\}$ medium: $\mathcal{K}_a^m = 1.5 \times 10^{-5} \text{ m}^2/\text{s}$ and $\mathcal{K}_o^m = 10^{-6} \text{ m}^2/\text{s}$. eq. (14) implies that at the interface, the intrinsic properties of each medium determine the slope break of inbetween fluxes. Imposing eq. (14) with eqs. (5) and (10) injected yields:

$$\mathcal{K}_{o,x}^v = \mu_m \mathcal{K}_{a,x}^v, \quad x \in \{u, \theta\} \quad (15)$$

where $\mu_m = \mathcal{K}_o^m / \mathcal{K}_a^m \approx 6.7 \times 10^{-2}$. eqs. (13) and (15) introduce four new constraints which bind the four ocean turbulent and molecular quantities to their atmosphere counterparts. Yet, achieving turbulent closure cannot directly be done by transposing eq. (8), as in this section, surface currents and

temperature are unknown. This can be overcome by integrating eq. (10) on $[z_o^1; 0]$, with assuming as in eq. (6) that $\mathcal{K}_{o,u}^v, \mathcal{K}_{o,\theta}^v \ll \kappa u_o^*(-z_o^1)$, $\kappa u_o^* |L_o|$, and by injecting eqs. (9) and (15):

$$\llbracket u \rrbracket_{z_o^1}^0 = \frac{u_o^*}{\kappa} \left[\ln \left(\frac{-z_o^1}{\mu_m \mathcal{K}_{a,u}^v / (\lambda_u \kappa u_a^*)} \right) - \psi_o^m \left(\frac{-z_o^1}{L_o} \right) \right] \quad (16a)$$

$$\llbracket \theta \rrbracket_{z_o^1}^0 = \frac{\theta_o^*}{\kappa} \left[\ln \left(\frac{-z_o^1}{\mu_m \mathcal{K}_{a,\theta}^v / (\lambda_\theta \kappa \theta_a^*)} \right) - \psi_o^h \left(\frac{-z_o^1}{L_o} \right) \right] \quad (16b)$$

Combining eqs. (8) and (16), and assuming that u and θ are continuous at the interface (i.e., $u(0^+) = u(0^-)$ and $\theta(0^+) = \theta(0^-)$), which is only relevant with revised closures (as classical ones cannot treat $z = 0$), lead to:

$$\frac{\kappa \llbracket u \rrbracket_{z_o^1}^{z_a^1}}{\mathbf{u}_a^*} = \ln \left(\frac{z_a^1}{\mathbf{z}_{a,u}^r} \right) - \psi_a^m \left(\frac{z_a^1}{\mathbf{L}_a} \right) + \lambda_u \left[\ln \left(\frac{-z_o^1}{\mu_m \mathbf{z}_{a,u}^r / \lambda_u} \right) - \psi_o^m \left(\frac{-z_o^1}{\mathbf{L}_o} \right) \right] \quad (17a)$$

$$\frac{\kappa \llbracket \theta \rrbracket_{z_o^1}^{z_a^1}}{\theta_a^*} = \ln \left(\frac{z_a^1}{\mathbf{z}_{a,\theta}^r} \right) - \psi_a^h \left(\frac{z_a^1}{\mathbf{L}_a} \right) + \lambda_\theta \left[\ln \left(\frac{-z_o^1}{\mu_m \mathbf{z}_{a,\theta}^r / \lambda_\theta} \right) - \psi_o^h \left(\frac{-z_o^1}{\mathbf{L}_o} \right) \right] \quad (17b)$$

where the terms depending on either u_a^* or θ_a^* are in bold font, $z_{a,x}^r$ are assessed from existing roughness parameterizations (e.g., [Smith, 1988](#); [Fairall et al., 2002](#)). The $\lambda_x[\dots]$ terms in eq. (17), which encapsulate the novelties of two-sided closures, are neglected in the standard ones. eq. (17) can be used as a cross-interface turbulent closure: in other words, it provides a set of two nonlinear equations on u_a^* and θ_a^* for determining them from four large-scale, near-surface quantities: $u(z_o^1)$, $u(z_a^1)$, $\theta(z_o^1)$ and $\theta(z_a^1)$.

Unlike classical bulk closures, which are limited to $[z_{a,x}^r; z_a^1]$, the revised atmosphere closure introduced in Section 3 permits unambiguously describing the surface layer arbitrarily close to the $z = 0$ interface. This asset has been used for enforcing the continuity of solution profiles, and the surface gradient condition eq. (14) at $z = 0$. Hence, although the revised atmosphere SL closure is transparent in terms of bulk outputs, it paved the way for obtaining the two-sided closure eq. (17).

Figure 3 represents solutions profiles derived from classical, revised one-sided (derived from Section 3) and cross-interface two-sided bulk closures. In this idealized case, cross-interface profiles are expectedly smooth, with sharper gradients very close to the ocean-atmosphere interface, and slope break at $z = 0$, as specified by eq. (14). This is physically relevant as $z = 0$ corresponds to a physical interface. While the ocean contribution to the surface layer variations of θ are barely noticeable (in Figure 3, $\llbracket \theta \rrbracket_{z_o^1}^0 \approx -0.02 \text{ K} \ll \llbracket \theta \rrbracket_{z_o^1}^{z_a^1}$), those to the variations of u are more prevalent ($\llbracket u \rrbracket_{z_o^1}^0 \approx 0.2 \text{ m/s} \lesssim \llbracket u \rrbracket_{z_o^1}^{z_a^1}$). This can be explained by the fact that $\lambda_u \approx 3.75 \times \lambda_\theta$. Shear turbulence rendering within the SL has a remarkably weaker effect on SST compared to diurnal heating ($\pm 3 \text{ K}$, e.g. [Halpern and Reed, 1976](#); [Stuart-Menteth et al., 2003](#)) or even cool-skin effect (-0.2 K , e.g. [Saunders, 1967](#); [Fairall et al., 1996](#)). However, two-sided closures lead to $u(z = 0)$ being closer to $u(z_a^1)$ than what one-sided closures would predict. Since the relevant large-scale shear for assessing turbulent fluxes is $\llbracket u \rrbracket_{z_o^1}^{z_a^1}$, two-sided closures will then lead to distinct turbulent fluxes compared to one-sided ones.

4.3 Impact on turbulent fluxes

By default, traditional bulk closures neglect $\partial_z u$ and $\partial_z \theta$ in the ONSL. Figure 4 represents the ONSL's contribution to $\llbracket u \rrbracket_{z_o^1}^{z_a^1}$ and $\llbracket \theta \rrbracket_{z_o^1}^{z_a^1}$ using our idealized two-sided parameterization for different stability regimes and $0.5 \text{ m/s} \leq \llbracket u \rrbracket_{z_o^1}^{z_a^1} \leq 6 \text{ m/s}$. Stronger winds are excluded since they would rapidly generate surface waves which are poorly rendered in this idealized case, and introduced in Subsection 5.2. Figure 4 suggests that the ONSL may account for a few percent of $\llbracket u \rrbracket_{z_o^1}^{z_a^1}$, and about one

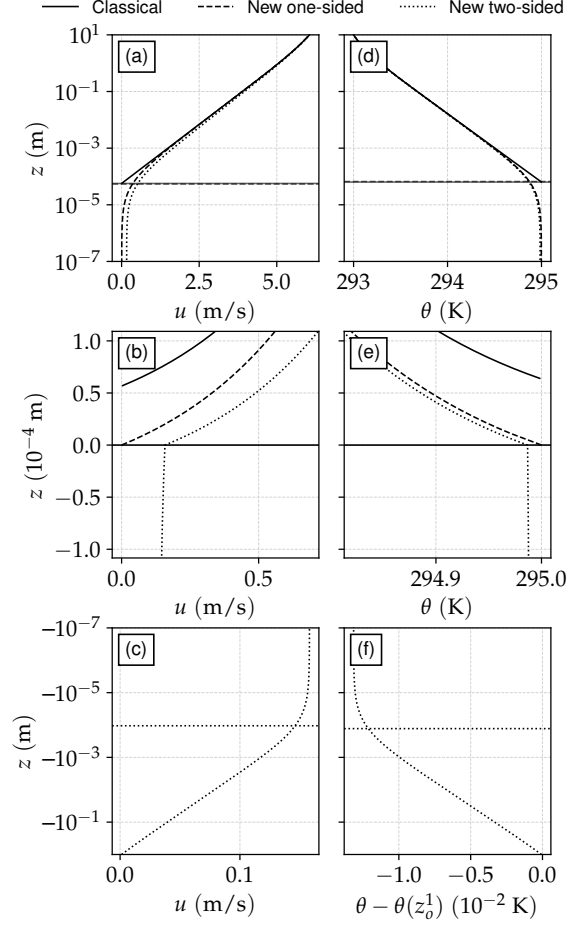


Figure 3: Solution profiles for (a),(b),(c) u and (d),(e),(f) θ , arising from classical (full lines), new one-sided (dashed) and cross-interface two-sided (dotted) bulk closures. (a) and (d) (resp. (c) and (f)) represent profiles within the ASL (resp. ONSL), with thin horizontal lines representing the different roughness heights (note the signed logscaled z -axis). (b) and (e) represent solution profiles in the direct vicinity of the ocean-atmosphere interface (note the linear, zoomed-in z axis). Here, as in Figure 2, the roughness parameterizations and stability functions are taken from the COARE3.0 algorithm (Fairall et al., 2002). The parameters are $z_o^1 = -1$ m, $z_a^1 = 10$ m, $u(z_a^1) = 6$ m/s, $u(z_o^1) = 0$ m/s, $\theta(z_a^1) = 293$ K and $\theta(z_o^1) = 295$ K.

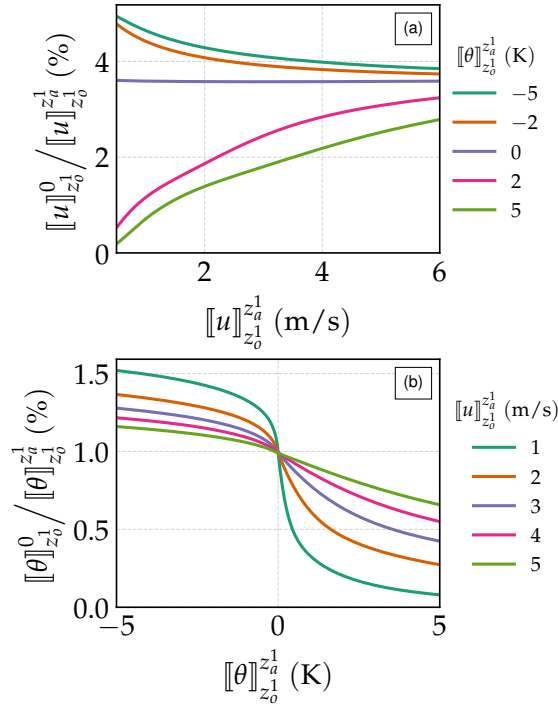


Figure 4: Ocean near-surface layer contribution to full surface layer jump for (a) u and (b) θ arising from the idealized two-sided closure eq. (17). Mind the distinct x -axes and legend boxes. Here $z_0^1 = -1$ m and $z_a^1 = 10$ m.

percent of $[\theta]_0^{z_a^1}$. The ocean contribution increases with $[u]_{z_0^1}^{z_a^1}$, with the stability playing a bigger role under weak shear ($[u]_{z_0^1}^{z_a^1} \lesssim 3$ m/s). In general, the ONSL contribution increases with column stabilization. Hence the ocean's contribution is relatively more important under unstable stratification compared to stable one. Practically, Figure 4 suggests that by using classical bulk closures in coupled simulations, the u and θ differentials considered as bulk closure inputs are systematically slightly overestimated.

Unlike the revised atmosphere closure, where $\mathcal{K}_{a,x}^v$ had been tuned from $z_{a,x}^r$ so that bulk outputs are unchanged, using two-sided cross-interface closures has an impact of the resulting turbulent scales (u_a^*, θ_a^*), and thus on the air-sea turbulent fluxes. This is represented in Figure 5, which shows that using our two-sided bulk versions leads to dampened turbulent fluxes. The effect is stronger on wind stress, which was to be expected, since Figure 3 suggested that two-sided closures affect velocities more than potential temperatures.

The differences in fluxes displayed in Figure 5 should be understood as the potential error made when using such closures with ocean inputs at nonzero depth. This does not correspond to an error in classical bulk closures. Since two-sided bulk closures depend on z_0^1 , they harbor an extra degree of freedom compared to classical closures. Hence the results obtained from two-sided closures cannot be fully reproduced by one-sided closures, even through retuning. The results presented above are based on two-sided bulk closures which have been built as extensions of classical ones with the minimal hypotheses of Subsection 4.2. In particular, the surface roughness of two-sided bulk closures has been extended from their one-sided counterpart. A longer-term perspective is to recalibrate surface roughness in the context of two-sided closures. This could be achieved from colocated air-sea turbulent flux measurements, relying on ocean inputs at nonzero depths, which is well beyond the scope of this paper.

Our idealized study has shown that accounting for shear turbulence within the ONSL may have a non-negligible impact on the representation of surface currents, and a very limited impact on

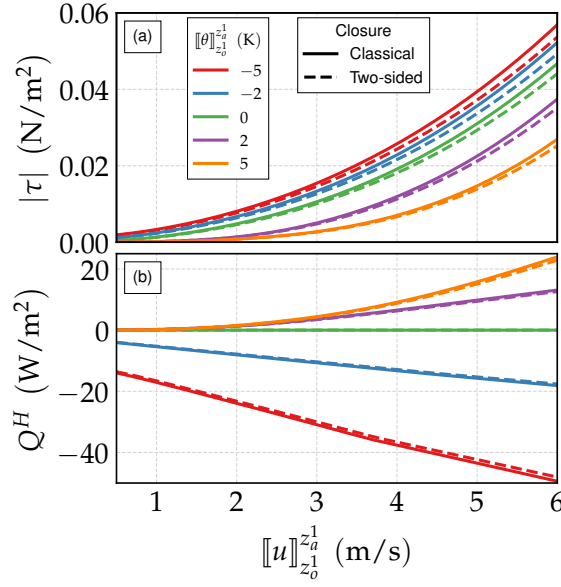


Figure 5: Turbulent fluxes ((a): wind stress, (b): sensible heat) arising from classical and two-sided bulk closures (solid and dashed lines, respectively). The x -axis is the wind-current surface layer shear $\|u\|_{z_0^1}^{z_a^1}$, and the line colors distinguish different stability regima. Here the bulk formula used is COARE without gustiness nor warm layers parameterizations, with $z_0^1 = -1$ m and $z_a^1 = 10$ m.

surface temperature. These effects lead to perceivable changes on wind stress and sensible heat computations. In our idealized context, one way of transparently representing the ONSL is to rely on two-sided bulk closures, since they account for variations of currents and temperature due to shear-generated turbulence within the ONSL, and include a dependency to the depth from which the ocean information is extracted. In that regard, using two-sided closures is equivalent to extrapolating ocean currents and temperatures from z_0^1 up to the surface, so that the fields considered as bulk formula inputs match what these formulations have been calibrated from.

5 Towards incrementally more realistic two-sided surface layer

In this section, more elaborated SL physics, rendering processes other than turbulent shear, are included in our two-sided framework developed in Section 4. The objective is to show the flexibility of our framework. Section 5.1 focuses on the wind deflection with strong currents; Subsection 5.2 on representing the impact of surface waves; Subsection 5.3 on including radiative fluxes within the ONSL.

5.1 Velocity profile deflection under misaligned winds and currents

Throughout Section 4 near-surface winds and surface currents were assumed aligned with the i -axis and $\|u\|_{z_0^1}^{z_a^1}$ was a scalar quantity. Relaxing this hypothesis can be carried out by representing 2D horizontal vectors as complex numbers, i.e. $\|u\|_{z_0^1}^{z_a^1} = \left(\|u\|_{z_0^1}^{z_a^1}\right)_i + \imath \left(\|u\|_{z_0^1}^{z_a^1}\right)_j \in \mathbb{C}$. Unlike other studies (e.g. Bressan and Constantin, 2019), here we assume the deflection between wind and near-surface currents to be known and given as an input. Our objective is then to investigate the velocity's rotation in the (i, j) plane, focus on the direction of the surface currents and its influence on wind stress.

In a 2D context, assuming that the SL is a constant flux layer implies conservation of wind stress in both amplitude and direction. $\partial_z u$ is always co-aligned with τ in either side of the interface. Let us call $\varphi_\tau \in [-\pi; \pi]$ this direction. Integrating $\partial_z u$ between any pair $(z_1, z_2) \in [z_o^1; z_a^1]^2$ so that $z_1 < z_2$, yields:

$$\text{Arg} \left(\llbracket u \rrbracket_{z_1}^{z_2} \right) = \varphi_\tau \quad \forall (z_1, z_2) \in [z_o^1; z_a^1]^2 \text{ so that } z_1 < z_2 \quad (18)$$

eq. (18) means that if $\partial_z u$ is always aligned with τ , then so is the velocity shear between any z -increasing pair of vertical levels located within the SL, regardless of the chosen pair. In particular, eq. (18) implies that the stress directions obtained from relative-winds one-sided and two-sided closures are identical, as $\text{Arg} \left(\llbracket u \rrbracket_{z_o^1}^{z_a^1} \right) = \text{Arg} \left(\llbracket u \rrbracket_0^{z_a^1} \right) = \varphi_\tau$. In other words, within a constant flux layer, the sampling heights of velocities (currents or winds) have no impact on the stress direction, as long as shear is considered. However, including the shear direction (whatever sampling heights it comes from) does have an impact on wind stress norm and direction, in comparison with bulk closures relying on absolute winds. Both velocity subgroups eq. (5a) and eq. (10a) can be rewritten as:

$$\partial_z u = \frac{(u_\alpha^*)^2 e^{i\varphi_\tau}}{(\kappa u_\alpha^* |z| + \mathcal{K}_{o,u}^v) / \phi_\alpha^m(|z|/L_\alpha)} \quad (19)$$

for $z \in (0; z_\alpha^1)$ and $\alpha \in \{o, a\}$. eq. (19) can then be integrated to obtain a two-sided closure similar to eq. (17a), with the left member substituted by $\left| \llbracket u \rrbracket_{z_o^1}^{z_a^1} \right|$, which should not be mistaken with neither $\left| \llbracket u \rrbracket_{z_o^1}^{z_a^1} \right|$ nor $\left| \llbracket u \rrbracket_{z_o^1}^{z_a^1} \right|$.

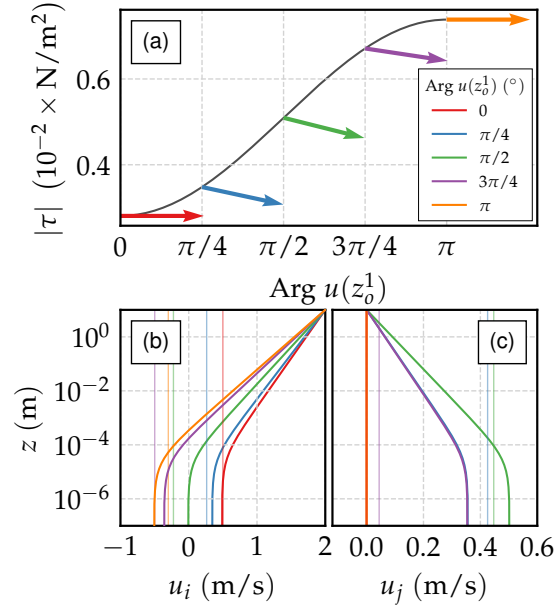


Figure 6: Influence of shear direction on surface layer properties. Here a two-sided 2D version of the COARE bulk formula is used with $|u(z_a^1)| = 2$ m/s, $\text{Arg } u(z_a^1) = 0$ (i.e. alignment with the i -direction), $|u(z_o^1)| = 0.5$ m/s, and $\text{Arg } u(z_o^1)$ varying over $[0; \pi]$. (a) displays: $|\tau|$ (black line) and $\text{Arg } \tau$ (colored arrows) for five specific values of $\text{Arg } u(z_o^1)$. With the same color code, (b) and (c) display (u_i, u_j) in the ASL for the same five values of $\text{Arg } u(z_o^1)$ (lines), with $(u_i(z_o^1), u_j(z_o^1))$ specified by the thin vertical lines.

Figure 6 illustrates the impact of shear direction in an extreme case, under neutral stratification ($\llbracket \theta \rrbracket_{z_o^1}^{z_a^1} = 0$), weak winds ($u(z_a^1) = 2$ m/s) and relatively strong currents ($u(z_o^1) = 0.5$ m/s). In this experiment, $u(z_a^1)$ is always coaligned with the i -direction, with the near-surface current direction varying on $[0; \pi]$. Expectedly, Figure 6a shows that the wind stress norm increases with

the wind-current misalignment. Figures 6b-6c display solution profiles within the ASL: both vector coordinates progressively adapt to the different near-surface velocity. In Figures 6b and 6c, the distances between the solution profiles as $z \rightarrow 0$, and the $(u_i(z_0^1), u_j(z_0^1))$ values (thin vertical lines) highlight the impact of two-sided closures on velocity directions. With classical closures, all solution profiles would converge towards $(u_i(z_0^1), u_j(z_0^1))$; with two-sided ones, it only does so if winds and currents are aligned (i.e. $\text{Arg } u(z_0^1) = 0$, red line). As previously mentioned, the parameters used for Figure 6 have been set to extreme values, with a low wind-currents ratio ($|u(z_a^1)|/|u(z_0^1)| = 4$), for didactical purposes and readability. Typical situations are in the $|u(z_a^1)|/|u(z_0^1)| \approx 30$ regime (Wu, 1983), where the impact of currents direction on $|\tau|$ is of the order of a few percents.

5.2 Impact of waves on adapted bulk closures

In this section, the impact of waves within our adapted bulk closures is discussed. Under moderate to strong winds ($u(z_a^1) \geq 3$ m/s), waves develop on the sea surface and Langmuir turbulence is generated within the upper ocean. Both processes affect the air - sea momentum exchanges. As a consequence, a wave boundary layer (WBL) is generated, where the velocity profiles are dependent on both turbulence and wave-induced stresses. In this paper, our focus is on the air-sea surface layer, defined as roughly the top 1 m of the ocean and the bottom 10 m of the atmosphere. While the atmosphere WBL is nested within our region of interest, as soon as significant waves develop, the ocean WBL spans outside our region of interest. Hence, an investigation on the effect of waves on our adapted bulk closures is needed.

Prior to carrying on, it should be clarified that the scientific question we want to address here is not as broad as that of the effects of waves on the full ocean boundary layer, whose depth is typically $O(10 - 100$ m), and the adequate parameterization for rendering them (see Esters et al., 2018; Li et al., 2019, for reviews). Our focus is on the extrapolation of ocean values from $z_0^1 \approx -1$ m up to the surface from a MOST-derived formalism. The scientific question we want to address is: assuming $u(z_0^1)$ known (which potentially includes Stokes drift contributions), how can the MOST formalism be further adapted to account for an ONSL perturbed by waves, and what is the subsequent impact on the wind-induced stress τ ? In other words, we are focusing on the interplay between wave-generated momentum and shear-driven turbulence within the direct vicinity of the ocean surface. To answer this question, we first make a comment the implicit rendition of waves by existing parameterizations (Subsubsection 5.2.1); then, we investigate the impact of wave-induced momentum stress on our adapted closures (Subsubsection 5.2.2).

5.2.1 On the default and implicit inclusion of wave effects within bulk closures

By default, all bulk closures include the effects of waves, at least to a minimal extent. Indeed, surface layer parameterizations used within bulk closures have been calibrated from field measurements, which may already partly incorporate the effects of waves. For example, the roughness height $z_{a,u}^r$ is directly affected by the presence of waves; this can be tuned via the Charnock parameter (Kitaigorodskii, 1965). Its piecewise linear definition in the COARE bulk formula (Fairall et al., 2002) is one example: without prior knowledge of current wave state, it aims at representing the impact of wind-generated waves. Donelan (1982); Geernaert et al. (1987); Johnson et al. (1998); Taylor and Yelland (2001); Oost et al. (2002); Drennan et al. (2003) all propose more sophisticated examples of such parameterizations, with the Charnock parameter usually depending on the wave age c_p^w/u_a^* , where c_p^w is the wave phase speed at its peak frequency.

Accounting for waves within SL parameterization can be further carried out by adapting the effective viscosities $\mathcal{K}_{o,x}$. McWilliams and Sullivan (2000) proposed:

$$\mathcal{K}_{o,x}^{La}(z) = \mathcal{K}_{o,x}(z) \sqrt{1 + c_w \text{La}^{-4}} \quad (20)$$

where $c_w = 0.08$. $\text{La} = \sqrt{u_0^*/u_{stk}}$ is the Langmuir number (its typical range is $0.2 \leq \text{La} \lesssim 0.7$), with u_{stk} the Stokes drift at the surface. While more elaborate diagnoses have also been implemented,

derived from eq. (20) (e.g. Smyth et al., 2002) or resulting from large-eddy simulations (Large et al., 2019), in the following we will retain eq. (20) as our framework aims at being applicable for climate models run at coarse resolutions, where Stokes drift is not necessarily available. u profiles in the ONSL with the diffusivities defined by eq. (20) are shown in Figure 9. The middle panels of Figure 9 show that the surface velocities derived from eq. (20) (“Langmuir”, dotted lines) are slightly closer to $u(z_a^1)$ than the classical ones (“no wave”, full lines). As a result, using eq. (20) comparatively decreases the shear $|\llbracket u \rrbracket_0^{z_a^1}|$ and both friction velocities u_{α}^* , in agreement with other results from large eddy simulations (e.g. McWilliams et al., 1997) or simplified models (e.g. Teixeira, 2018).

5.2.2 Effects of additional wave-induced ocean momentum input on bulk closures

In this section, we investigate the impact of an additional surface stress linked to the presence of waves, denoted τ_w , considered here as an external momentum source term. We now consider that the effective stress in the ONSL is:

$$\tau_{o,eff} = \tau + \tau_w \quad (21)$$

In numerical simulations, τ_w could be assessed by an external wave model and sent to the ocean as an additional boundary condition. To our understanding, the impact of injecting τ_w on τ depends on the choice of bulk closure:

- *One-sided absolute-winds bulk closures*: no impact. Currents are completely neglected, which implies that regardless of τ_w and $u(z \leq 0)$, the resulting τ stress remains the same.
- *One-sided relative-winds bulk closures*: indirect impact. The integration of τ_w in the ocean momentum boundary condition has an impact on $u(z_0^1)$, which is assumed equal to $u(z = 0)$, which is itself used as bulk input, hence τ is indirectly impacted.
- *Two-sided bulk closures*: both direct and indirect impacts. In addition to the impact presented above, the ocean velocity dimensionless group eq. (10a) is affected by τ_w , as the relevant momentum flux describing the ONSL becomes $\tau + \tau_w$. This in turn leads to a different velocity closure, hence an additional direct impact of waves on τ , which emerges because the ONSL is treated with a dimensionless momentum group.

In the following, the direct impact referred in the last point above is investigated. Using eq. (21) implies that in the ONSL, $\partial_z u$ is aligned with $\tau_{o,eff}$, which can be misaligned with τ . As a consequence, wind stress is not necessarily aligned with $\llbracket u \rrbracket_{z_0^1}^{z_a^1}$: it can be deflected when crossing the air-sea interface. Such phenomena have already been observed in the field (Geernaert, 1988; Geernaert et al., 1993; Grachev et al., 2003; Chen et al., 2018) and obtained from large-eddy simulations (Large et al., 2019; Patton et al., 2019). We define $(\varphi_a, \varphi_o, \varphi_w) \in [-\pi; +\pi]^3$ the directions of the atmosphere, ocean and wave wind stresses. Since τ_w is considered as a known source term, φ_w is assumed constant and known. φ_a and φ_o are unknown constants, aligned with the potentially distinct shear directions in either the atmosphere and ocean part of the SL. Using eq. (21) instead of wind stress conservation yields substituting eq. (13a) with:

$$\left(u_{o,eff}^*\right)^2 e^{i\varphi_o} = (\lambda_u u_a^*)^2 e^{i\varphi_a} + |\tau_w| e^{i\varphi_w} / \rho_o \quad (22)$$

which is a two-fold constraint on both the norm and direction of the τ and $\tau_{o,eff}$. As a result, the closure equation for momentum is also bidimensional and set in $\mathbb{C}$, which has an impact on the bulk closure and its algorithmic implementation (see appendix C for more details).

Wind stresses τ obtained from bulk closures including τ_w are shown in Figures 7 and 8 (red lines). All computations are done with $\llbracket u \rrbracket_{z_0^1}^{z_a^1} = 11.5$ m/s, aligned with the i direction ($\text{Arg} = 0$). Figures 7 and 8 also feature τ_0 , the wind stress that would be obtained in the absence of external wave stress ($\tau_w = 0$), i.e. using the two-sided bulk closures discussed in Subsection 4.3 (black lines, same on all subfigures). With the parameters described above, $\tau_0 \approx 0.23$ N/m², aligned in the i -direction ($\text{Arg } \tau_0 = 0$). On Figure 7, each subfigure corresponds to a different value for $|\tau_w|$ (green lines), and

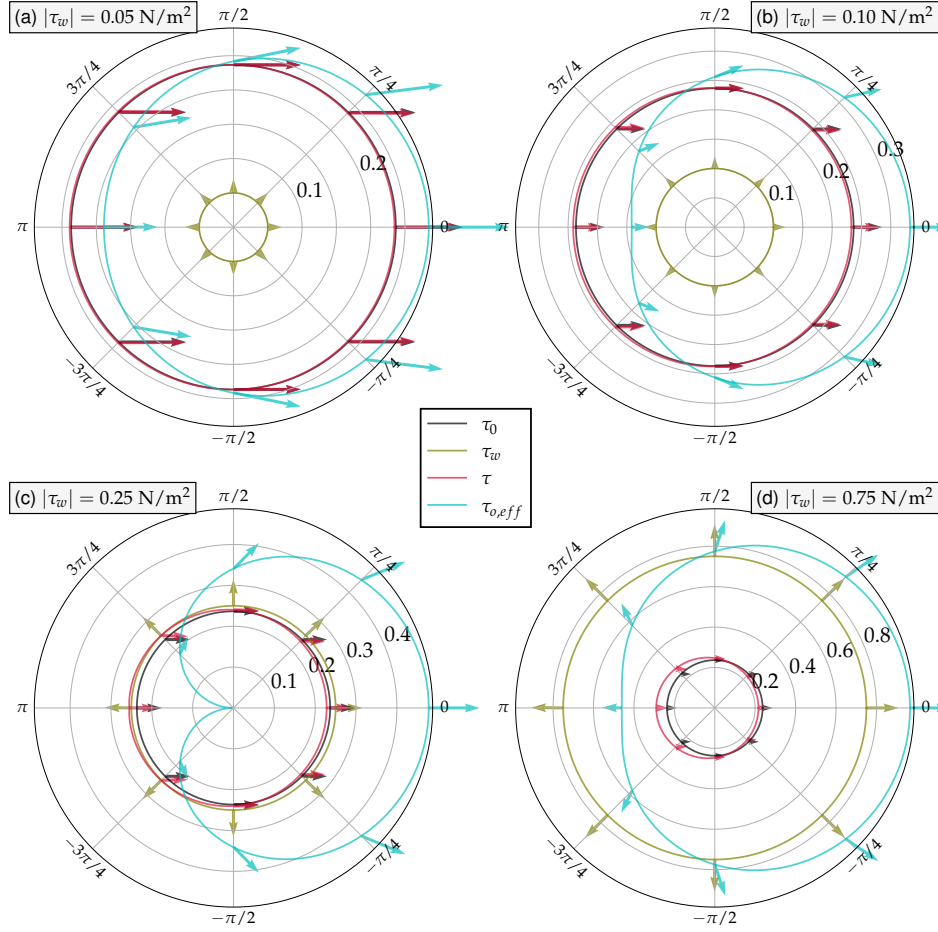


Figure 7: Impact of an external wave-induced stress τ_w under various wave directions (angle axis) and norm (radius axis and specified $|\tau_w|$ values) on the wind stress. Plotted here are: wind stresses in the absence of wave (τ_0 , black); wave-induced stress (τ_w , green); wind stress with a τ_w -including closure (τ , red); ocean stress including wave effect ($\tau_{0,eff}$ blue). The norms are represented as lines (in polar coordinates) and the arrows indicate directions. Note that the radii of the polar axis and the scales of the arrow lengths vary inbetween subfigures. Obtained using a wave-including adapted version of the COARE bulk formula as described in appendix C. Here, $z_0^1 = -1$ m, $z_a^1 = 10$ m, $u(z_a^1) = 12$ m/s, $u(z_0^1) = 0.5$ m/s, $\text{Arg } u(z_a^1) = \text{Arg } u(z_0^1) = 0$, $\theta(z_a^1) = 293$ K, $\theta(z_0^1) = 295$ K.

covers the full interval $-\pi < \text{Arg } \tau_w \leq +\pi$; on the other hand, Figure 8 represents τ depending on $|\tau_w|$ for a limited set of values for $\text{Arg } \tau_w$ (legend box).

A first effect, observed in Figure 7 only, arises from comparing $\tau_{o,eff}$ (blue lines) and τ_0 (black lines), the stresses transmitted to the ocean with and without the external τ_w wave stress. Expectedly, including τ_w drastically impacts $\tau_{o,eff}$ (blue lines) in both norm and direction. As $|\tau_w|$ increases, $\tau_{o,eff}$ is progressively deviated from τ_0 towards τ_w . This can be easily inferred from eq. (21): τ_w is an external ocean stress contribution, in addition to the wind-stress τ (red lines). For example, for $\text{Arg } \tau_w = \pi$ (wave stress opposite to shear): in Figure 7c ($|\tau_w| \approx |\tau_0|$), the wind and wave stresses counterbalance each other, so that in $\tau_{o,eff}$ is nearly zero. In Figure 7d ($|\tau_w| \approx 3|\tau_0|$), the wave stress dominates over the wind stress, and thus the resulting stress transmitted to the ocean can be contrary to the direction $[\mathbf{u}]_{z_0^1}^1$. The wave-dominated stress balance represented in Figure 7d is analogous to low-wind conditions, when the wind stress is small compared to the swell-induced one, hence the momentum flux can be transferred upward (from the ocean to the atmosphere, e.g. Jiang et al., 2016; Höglström et al., 2018), with negative drag transfer coefficient (Smedman et al., 1994). As previously mentioned, with our 2D framework derived from eq. (22), the effective drag coefficient is a complex number. Hence it can account for upward momentum transfer (when $\text{Arg } (\tau / [\mathbf{u}]_{z_0^1}^1) \approx \pi$), but also any stress deflection due to a given external wave-induced stress τ_w , which can be fully independent from near-surface velocities (e.g., in the presence of swell). Since classical bulk closures neglect the velocity profile evolution in the ONSL, they imply $C_D \in \mathbb{R}_+^*$, and thus can only transfer momentum in the direction of $[\mathbf{u}]_0^{z_1^1}$ (resp. $u(z_a^1)$) for relative-winds (resp. absolute-winds) closures. In that regard, our ONSL-including formalism allows more flexibility for representing wave-induced deflections of the wind stress, as their mathematical structure allows $\text{Arg } \tau_{o,eff}$ to be decorrelated from $\text{Arg } [\mathbf{u}]_{z_0^1}^1$.

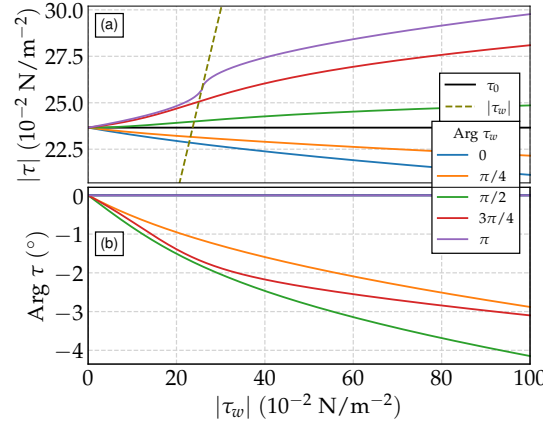


Figure 8: Colored lines: wind stress τ ((a): norm, (b) angle) obtained from a wave-including bulk closure, with varying τ_w (norm: x-axis; angle: color code). The black line represents $|\tau_0|$, the wind stress without τ_w . The green dashed line represents $|\tau_w|$, for comparison with $|\tau|$. Here, as in Figure 7, $u(z_a^1) = 12 \text{ m/s}$, $u(z_0^1) = 0.5 \text{ m/s}$, $\text{Arg } u(z_a^1) = \text{Arg } u(z_0^1) = 0$. Note that for readability, the y-axis of (b) is defined in degrees (radians are the angle unit elsewhere).

A second effect, observed in both Figures 7 and 8, arises from comparing τ (red lines) and τ_0 (black lines), the wind stresses with and without τ_w . This effect is less obvious, but it becomes more and more perceivable with increasing $|\tau_w|$: the presence of an external wave-induced stress also perturbs τ , the wind stress resulting from the bulk closure. As Figures 7c, 7d and 8a suggest, the impact becomes prevalent on $|\tau|$ with strong $|\tau_w|$ in opposite direction compared to $[\mathbf{u}]_{z_0^1}^1$. However, Figure 8b also shows that $\text{Arg } \tau$, the wind stress norm, is also lightly impacted. This can also be perceived upon careful examination of the red arrows in Figures 7c and 7d. While the first effect described above is attributable to τ_w increasing the effective stress transmitted to the ocean, this

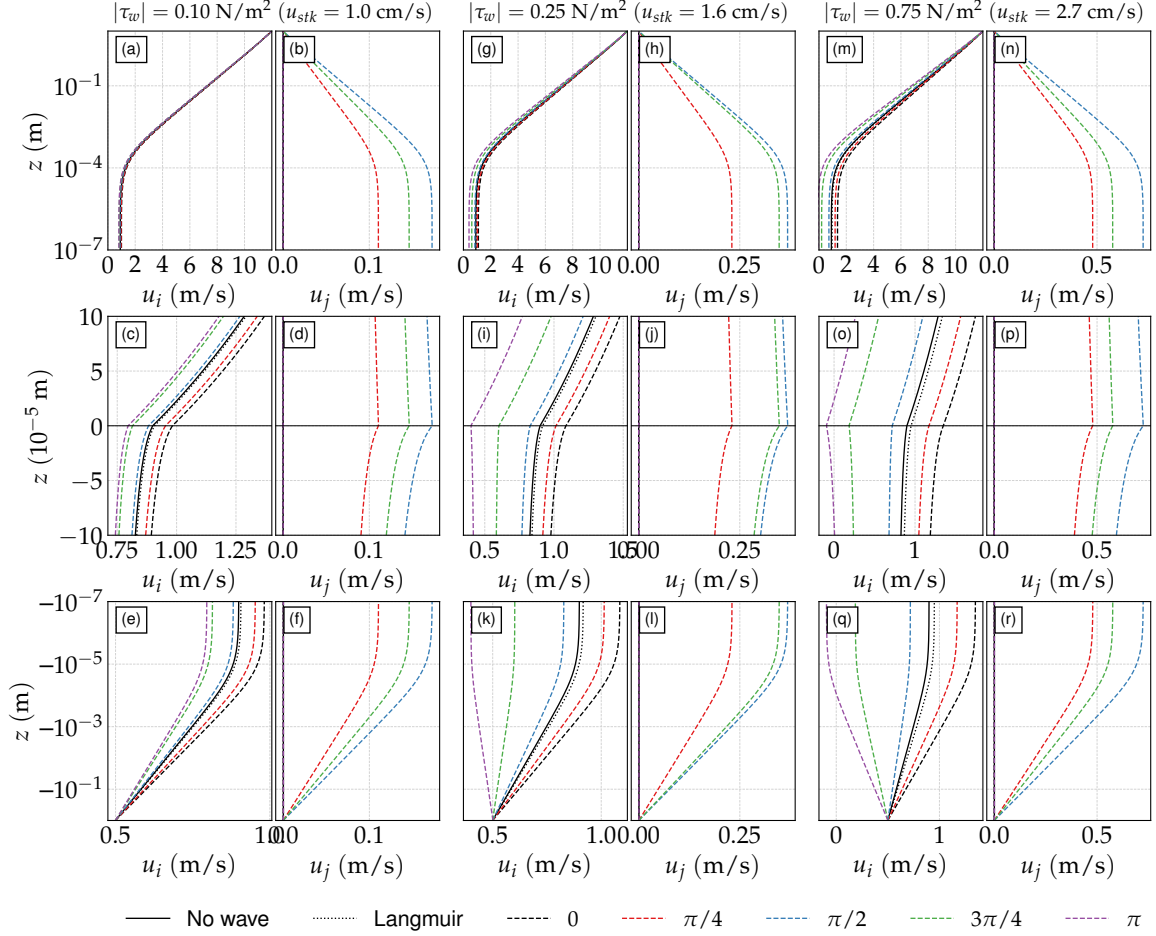


Figure 9: Velocity solution profiles on the surface layer for different two-sided closures: two-sided without any wave parameterization (eq. eq. (17), black continuous lines); two-sided with the Langmuir-including closure (eq. eq. (20), black dotted lines); two-sided with τ_w injected in the ocean (as described in Subsubsection 5.2.2, colored dashed lines). For each solution profiles, u_i and u_j are represented next to each other. Top panels: solutions in the atmosphere SL; middle panels: viscous sublayers; bottom panels: ocean SL. Different values of $|\tau_w|$ are used, specified in top of each pair of columns, with $u_{stk} = \sqrt{|\tau_w|/\rho_o}$ for the Langmuir-including closure. For the wave stress perturbed profiles, different values for $\text{Arg } \tau_w$ are used, specified in the bottom legend. Here, as in Figure 7, $u(z_a^1) = 12$ m/s, $u(z_o^1) = 0.5$ m/s, $\text{Arg } u(z_a^1) = \text{Arg } u(z_o^1) = 0$.

second effect derives from the impact of τ_w on the τ closure itself. Indeed, by including τ_w , the stress exerted on the ONSL is enhanced by τ_w ; as a reaction to this, the ocean velocity dimensionless group is changed. Consequently, the bulk closure, which includes an integration of the velocity group in the ONSL (see eq. (10a)), is altered. The turbulent closure adapts itself so that the resulting $u_{o,eff}^*$ (resp. u_a^*) properly connects $u(z_o^1)$ (resp. $u(z_a^1)$) at the bottom (resp. top) of the surface layer, with $\partial_z u$ being driven by $\tau + \tau_w$ (instead of τ) in the ONSL. As for the first effect, this second effect of τ_w on momentum closure cannot be represented by one-sided closure, since they do not integrate velocity dimensionless groups on the ONSL.

Solution profiles arising from two-sided closures, with or without waves, are displayed on Figure 9. The profiles without waves, or with effective diffusivities taking into account the Langmuir number eq. (20) are very close to each other, hence, the impact of eq. (20) is negligible in our framework, focusing on the ONSL. This does not mean that eq. (20) has a small impact on the whole ocean, as this parameterization is to be used on the whole OBL instead of, for example, a standard “K-profile” parameterization (Troen and Mahrt, 1986). Solution profiles with $\tau_{o,eff} = \tau + \tau_w$ are also represented in colored dashed plots. Injecting τ_w has an impact in both the atmosphere and the ocean SL, with the changes being more prevalent in the ocean, as expected from eq. (21). In Figure 9, the near-surface winds and currents are assumed aligned, hence $u_j(z) = 0$ for the waveless (black lines) and Langmuir profiles (dotted black). Closer inspection of the u_i profiles in the direct vicinity of the ocean surface (see Figures 9c, 9i and 9o) illustrates the second effect described above on τ_w perturbing the turbulent closure. If τ_w is in the same direction as $\llbracket u \rrbracket_{z_o^1}^{z_a^1}$ (Arg $\tau_w = 0$ here), then including τ_w decreases u_a^* . Indeed, in that case, τ_w contributes jointly with τ to make $u(z_a^1)$ connect $u(z_o^1)$. Hence, u_a^* , which scales the shear-induced stress, is dampened, because the connection between $u(z_a^1)$ and $u(z_o^1)$ is also partly sustained by τ_w . On the contrary, if τ_w is opposing $\llbracket u \rrbracket_{z_o^1}^{z_a^1}$ (Arg $\tau_w = \pi$ here), then including τ_w increases u_a^* : the shear-induced stress needs to be stronger to connect $u(z_o^1)$ with $u(z_a^1)$, because it also has to counteract τ_w in the ONSL. The intermediate cases in terms of direction (Arg $\tau_w = \pi/4, \pi/2, 3\pi/4$ here) cover the spectrum between both extreme cases presented above. In such intermediate cases, the solution profiles are deflected from the i -direction (hence $u_j \neq 0$), so that when the velocity groups are closed in the ONSL with $(\tau_w)_j \neq 0$, $u(z_o^1)$, which is aligned in the i -direction, is properly reached, and the j -component of τ_w is cancelled out.

The methods and results presented in this section attempt at representing wave impact while staying within the framework of MOST-derived bulk closure algorithms. It should however be reminded that since MOST does not hold in the presence of a significant WBL, an accurate and more legitimate representation of atmosphere - wind - ocean coupling cannot be formulated in this framework. Coupled wave boundary layer models are the designated tool for tackling this problem (see Chalikov and Rainchik, 2011, for a review).

5.3 Radiative flux including ONSL parameterization

Radiative fluxes have been neglected from classical atmosphere-only bulk closures, since they are assumed independent of z in the lowest few meters of the atmosphere (see footnote 2 in Monin and Obukhov, 1954), and the radiative budget of the atmosphere SL is in equilibrium. Both these hypotheses are reasonably accurate in the context of atmosphere-only closures. However, in our two-sided framework, accounting for radiative fluxes (and thus lifting the (i)ONSL-2) hypothesis) is required for two reasons. First, the radiative budget on the full SL is not in equilibrium: the net radiative flux at the air-sea interface is not zero (see Sect. 5.3.1). Second, shortwave radiative fluxes can display perceivable vertical gradients over the ONSL (see Sect. 5.3.2).

Let us call Q_{lw}^0 the net longwave flux at the ocean surface (i.e., accounting for surface blackbody radiation) and Q_{sw}^0 the net surface solar radiation (i.e., accounting for surface albedo), both fluxes being positive downwards. The boundary condition on θ at the ocean surface ($z = 0^-$) now reads:

$$\mathcal{K}_{o,\theta}^{ad}(0^-) \partial_z \theta|_{z=0^-} = \frac{Q^H - Q_{lw}^0 - Q_{sw}^0}{\rho_o c_o^p} \quad (23)$$

where $\mathcal{K}_{\theta,0}^{ad}(z) = (\kappa u_o^*(-z) + \mathcal{K}_{o,\theta}^v) / \phi_o^h(-z/L_o)$ can be derived from eq. (10b). The minus sign in front of radiative fluxes is due to the z -axis being orientated upwards. It should also be mentioned that models typically do not inject Q_{sw}^0 as a boundary condition and instead prescribe it as an additional volumetric heat source term in the few first vertical levels (Jerlov, 1976). Since here we only focus on the SL, which is not treated by models, we will retain the shortwave-including form eq. (23). Theoretically, Q_{lw}^0 should be unknown since it includes an upward flux $\propto (\theta(0))^4$ and only $\theta(z_o^1)$ is known. We will however assume Q_{lw}^0 to be known accurately enough, since $\theta(z=0) \approx 290$ K and previous results have shown that within our hypotheses, $|\theta(z=0) - \theta(z_o^1)| = O(1 \text{ K})$.

5.3.1 Constant ONSL radiative flux approximation

As a first step, let us consider the simplified case where both radiative fluxes are deemed constant on the ONSL, i.e. eq. (23) is valid for $z_o^1 \leq z \leq 0$. In that case, the ONSL is still a constant flux layer, which can be described by an invariant group similar to eq. (10b). The right member of eq. (23) can thus be described as $u_o^* \theta_{o,rad}^{*,0}$ with a constant $\theta_{o,rad}^{*,0}$ scale to be determined. The presence of radiative fluxes in eq. (23) yields substituting eq. (13b) with:

$$\theta_{o,rad}^{*,0} = \lambda_\theta \theta_a^* - \frac{Q_{lw}^0 + Q_{sw}^0}{\rho_o c_o^p \lambda_u u_a^*} \quad (24)$$

where the first term arises from sensible heat conservation through the ocean - atmosphere interface. Since here, eq. (23) is assumed true on $[z_o^1; 0]$, integrating it on this interval with eq. (24) injected leads to:

$$\llbracket \theta \rrbracket_{z_o^1}^{z_a^1} = \frac{\theta_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z_{a,u}^r} \right) - \psi_a^h \left(\frac{z_a^1}{L_a} \right) \right] + \left(\frac{\lambda_\theta \theta_a^*}{\kappa} - \frac{Q_{lw}^0 + Q_{sw}^0}{\kappa \rho_o c_o^p \lambda_u u_a^*} \right) \left[\ln \left(\frac{-z_o^1}{\mu_m z_{a,u}^r / \lambda_u} \right) - \psi_o^h \left(\frac{-z_o^1}{L_o} \right) \right] \quad (25)$$

which is a radiation-including version of eq. (17b), in the idealized constant flux case, and can therefore be used jointly with eq. (17a) as a bulk closure.

5.3.2 Ocean surface layer with depth-varying solar flux

Longwave radiation is absorbed in (and emitted from) the first few millimeters of the ocean, hence we limit ourselves to directly injecting it as a boundary condition, and consider that it does not play a significant role on the dimensionless groups defined on $[z_o^1; 0]$. On the other hand, the shortwave (solar) flux can display perceivable gradients on the $[z_o^1; 0]$ interval, with $z_o^1 \approx -1$ m. In the upper ocean, its penetration can be parameterized as a combination of exponential modes depending on various factors such as incident angle and ocean biochemistry (e.g., Soloviev and Vershinsky, 1982; Morel and Antoine, 1994; Ohlmann and Siegel, 2000). Here we use a parameterization established by Paulson and Simpson (1981):

$$Q_{sw}(z) = Q_{sw}^0 \sum_{i=1}^9 A_i \exp(k_i z) \quad z_o^1 \leq z \leq 0 \quad (26)$$

where $k_i > 0 [\text{m}^{-1}]$, $1 \leq i \leq 9$ characterize the typical damping depths, and A_i their relative intensities ($\sum A_i = 1$). Values of A_i and k_i can be extracted from Table 1 of Paulson and Simpson (1981). Including eq. (26) in the ONSL parameterization breaks the constant flux layer hypothesis (iONSL-1) and thus requires further adaptation of the bulk closure, compared to Subsubsection 5.3.1. Rendering depth-varying solar fluxes can be carried out by relaxing $\theta_{o,rad}^{*,0}$ and letting it vary with z :

$$\theta_{o,rad}^*(z) = \lambda_\theta \theta_a^* - \frac{Q_{lw}^0 + Q_{sw}(z)}{\rho_o c_o^p \lambda_u u_a^*} \quad z_o^1 \leq z \leq 0 \quad (27)$$

In particular, $\theta_{o,rad}^*(z = 0^-) = \theta_{o,rad}^{*,0}$. Integrating eq. (10b) on $[z_o^1; 0]$ with θ_o^* substituted by $\theta_{o,rad}^{*,0}$ from eq. (27) (this can no longer be considered as an “invariant group”, since the flux depends on z), injecting eq. (26) and rearranging terms yields the following two-sided closure on θ :

$$\begin{aligned} \llbracket \theta \rrbracket_{z_o^1}^{z_a^1} = & \frac{\theta_a^*}{\kappa} \left[\ln \left(\frac{z_a^1}{z_{a,u}^r} \right) - \psi_a^h \left(\frac{z_a^1}{L_a} \right) \right] + \left(\frac{\lambda_\theta \theta_a^*}{\kappa} - \frac{Q_{lw}^0}{\kappa \rho_o c_o^p \lambda_u u_a^*} \right) \left[\ln \left(\frac{-z_o^1}{\mu_m z_{a,u}^r / \lambda_u} \right) - \psi_o^h \left(\frac{-z_o^1}{L_o} \right) \right] \\ & - \frac{Q_{sw}^0}{\kappa \rho_o c_o^p \lambda_u u_a^*} \sum_{i=1}^9 A_i \left[e^{k_i z_{o,u}^r} \left(E_1(k_i z_{o,u}^r) - E_1(-k_i z_o^1) \right) - \int_0^{-\frac{z_o^1}{L_o}} \frac{\exp(-k_i L_o \zeta) (1 - \phi_o^h(\zeta))}{\zeta} d\zeta \right] \end{aligned} \quad (28)$$

where $z_{o,u}^r = \mu_m z_{a,u}^r / \lambda_u$, $e = \exp(1)$ and $E_1(x) = \int_x^\infty e^{-t}/t dt$ is the exponential integral with index 1. Expectedly, eq. (28) encompasses eq. (25); its last integral is a radiative-inclusive variant of the ψ_o^h integrated stability function, and cannot be determined analytically, in general. Since the radiative contributions of eqs. (25) and (28) are part of ONSL-specific terms of the closure, they can only be rendered by two-sided closures. Indeed, default classical closures (cool-skin including ones being a notable exception) neglect all processes potentially impacting $\partial_z \theta$ in the ONSL, including radiation.

θ solution profiles arising from different bulk closures, including radiative-inclusive ones, are illustrated in Figure 10. As expected, including radiative fluxes has an impact of the θ solution profiles. Under unstable stratification Figures 10a to 10c suggest that during daytime (e.g. at $Q_{sw}^0 = 300 \text{ W/m}^2$), compared to radiation-neglecting bulks, the perceived surface temperature can be diminished by a few 0.1 K. This behavior is due to the bulk closure aiming at connecting the same $\theta(z_a^1)$ and $\theta(z_o^1)$ couple: if a positive radiative flux is enforced, then the bulk closure leads to a slightly colder surface temperature, to compensate for the additional heating in the ONSL, and still connect $\theta(z_o^1)$. It should be clear that in Figure 10, $\theta(z_o^1)$ is taken as input, hence the results discussed only hold for the evolution of θ in the ONSL with $\llbracket \theta \rrbracket_{z_o^1}^{z_a^1}$ known, and do not mean that the ocean surface is cooled down by incoming shortwave radiation. In non-stationary simulations, the solar flux would warm $\theta(z_o^1)$ through its impact on the θ boundary condition, and dominate over the relative cooling observed in Figures 10a to 10c. At nighttime ($Q_{sw}^0 = 0 \text{ W/m}^2$), the total net radiation flux is negative since $Q_{lw}^0 < 0$, and the solution profiles are increasing with z (see Figure 10c): the surface temperature is slightly warmer than $\theta(z_o^1)$, since the ONSL θ profile is dominated by a longwave-induced flux, cooling it down anew to $\theta(z_o^1)$. Under stable stratification, Figures 10d to 10f suggest that similar conclusions hold, with θ profiles decreasing with z in the ONSL during daytime (see Figure 10f), in contrast with shear-driven θ profile are then increasing with z . While the effects are expectedly more perceivable with constant fluxes than with fluxes progressively dampened with depth, the explanations given above hold in both cases.

6 An offline numerical assessment of two-sided closures

In both coupled or forced-ocean simulations, the implementation of two-sided SL parameterizations can swiftly be carried out by patching the existing bulk fixed-point algorithm to make it solve eq. (17) instead of eq. (3). Here we investigate the impact of our novelties by reassessing and comparing turbulent fluxes on offline computations, using ocean and atmosphere reanalyses as input data. For doing so, we compare fluxes obtained from global large-scale ocean and atmosphere reanalyses. The reference bulk formula is COARE 3.0 (Fairall et al., 2002) as per the *aerobulk* package (Brodeau et al., 2017). We perform standard absolute-winds, relative-winds and two-sided bulk closures for the year 2006, which has been close to the current era climatology. Ocean inputs are given by the GLORYS2V4 dataset (Mercator Ocean, 2019), extracted at $z_o^1 \approx -0.5 \text{ m}$, and atmosphere forcings are given by ERA-Interim (Dee et al., 2011), extracted from $z_a^1 = 10 \text{ m}$. Since the two-sided bulk formula has only been introduced for the open ocean, all grid cells where the sea ice concentration exceeds 15% have been excluded from computations. While atmosphere moisture is included, in order to fit with our hypothesis, turbulent-scale moisture effects have been screened out by assuming

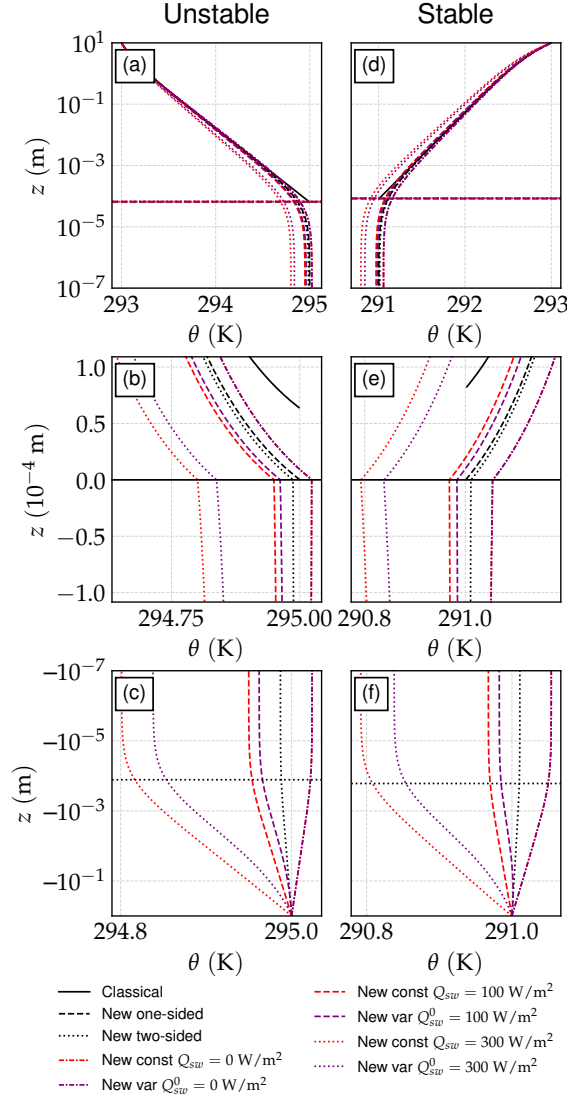


Figure 10: θ solution profiles with the SL ((a),(d) atmosphere SL; (b),(e) viscous sublayers; (c),(f) ocean SL), resulting from closures including or excluding the radiative effect. The stratification above is: (a),(b),(c) unstable ($\llbracket \theta \rrbracket_{z_0^1}^{z_a^1} = -2$ K); (d),(e),(f) stable ($\llbracket \theta \rrbracket_{z_0^1}^{z_a^1} = 2$ K). In addition to profiles already represented in Figure 3, the colored plots represent solution profiles affected by the presence of radiative fluxes: red plots rely on the eq. (25) constant radiation closure, purple ones on the eq. (28) one. On all plots resulting from radiative-inclusive (i.e., colored plots), $Q_{lw}^0 = -50$ W/m² (i.e., the net longwave flux is orientated upwards). Different values for the surface solar radiation Q_{sw}^0 are used, specified in legend. Here, $z_0^1 = -1$ m, $z_a^1 = 10$ m, $\llbracket u \rrbracket_{z_0^1}^{z_a^1} = 6$ m/s and $\theta(z_a^1) = 293$ K.

$q(z = 0) = q(z_a^1)$, thus resulting in null latent heat flux. Numerical results from these offline tests are shown in Figures 11 and 12.

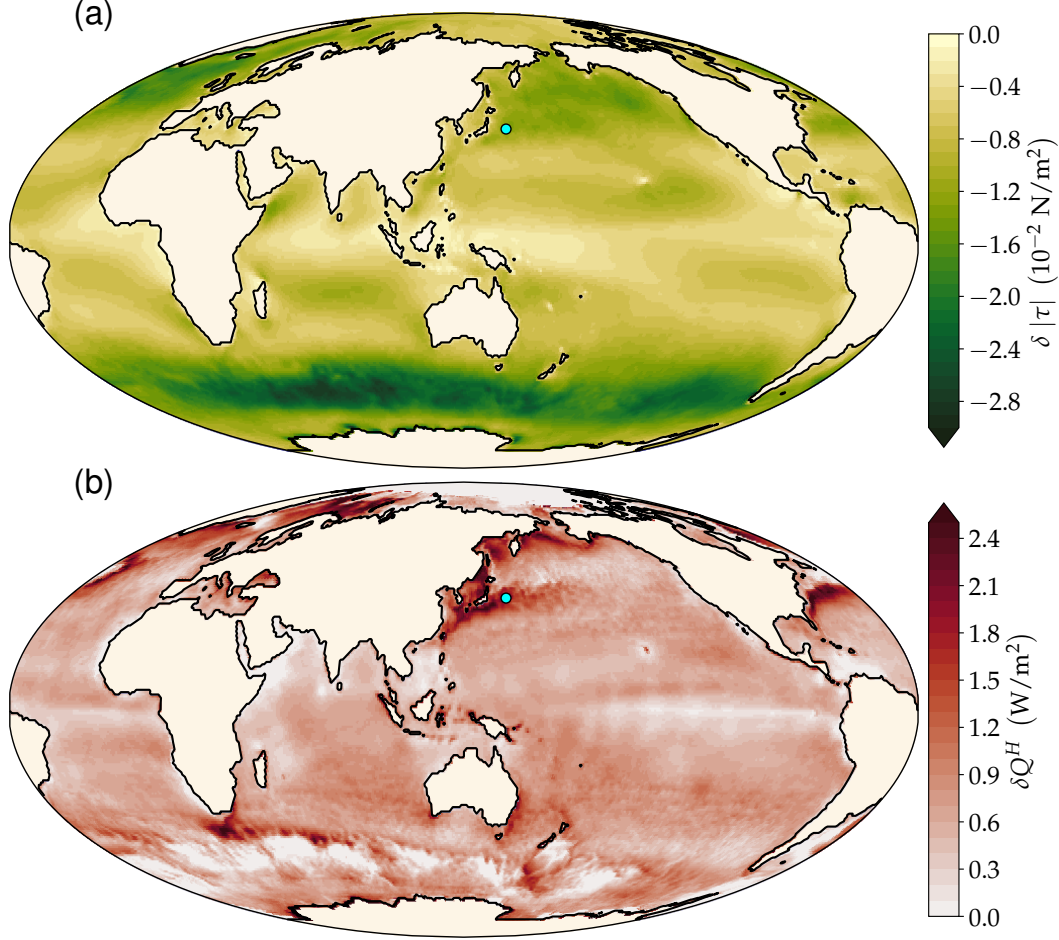


Figure 11: Yearly 2006 mean of the differences on turbulent fluxes (a) τ and (b) Q^H between two-sided bulk closures and classical ones, with relative winds (accounting for surface currents). The light blue dot locates the grid cell of the time series shown in Figure 12.

Figure 11 shows the yearly mean discrepancy of turbulent fluxes when using two-sided bulk closures in comparison with standard relative-winds ones. A negative bias is observed on $|\tau|$, which was to be expected since including previously-neglected layers leads to velocity shear damping. The positive bias in Q^H is explained by the fact that on average, stratification is unstable, i.e., Q^H is “less negative” with two-sided closures than with standard ones. The larger mitigation values are reached where ocean currents are strong, in the Antarctic circumpolar current, the Kuroshio current and the Gulf stream. Figure 12 shows 2006 daily time series of turbulent fluxes and surface fields at one location in the Kuroshio current ((149.25°E, 36.75°S), marked in Figure 11). Figure 12 suggests that punctually, turbulent flux mitigation from using two-sided closures can significantly alter the resulting fluxes. The biases between classical closures and two-sided ones are marked with a very high temporal variability, which was to be expected: our framework assumes that the ONSL directly responds to surface forcing from the atmosphere, hence the strong atmosphere variability is transferred into the upper layer of the ocean. Figure 12a suggests that while including relative winds yields stronger wind stress, using our two-sided lightly weakens it. This can be explained by the shear, which is typically less important in our two-sided framework, since across the ONSL, the currents will progressively adapt to the near-surface winds (see Figure 12c). This observation is coherent with results presented in Subsection 5.1.

The impact on Q^H can be at times quite large, although it is negligible most of the year, for both

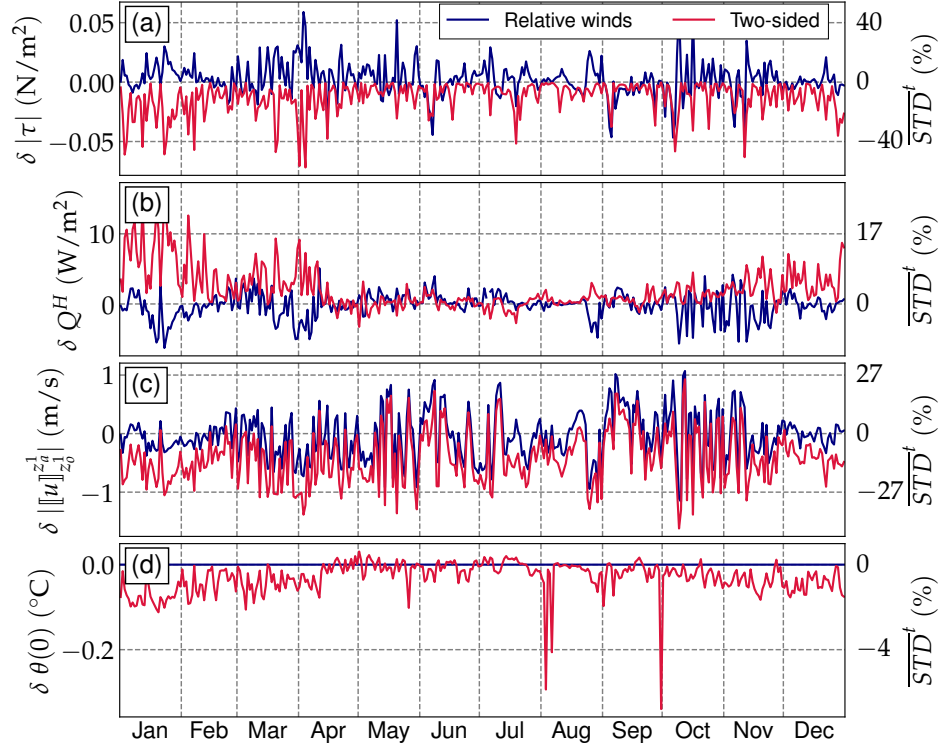


Figure 12: 2006 time series daily biases from classical relative-winds bulk closures and two-sided ones, with respect to classical absolute-winds bulk closures, at $(149.25^\circ\text{E}, 36.75^\circ\text{S})$ (in the Kuroshio current). (a) $\delta |\tau|$, (b) δQ^H , (c) $\delta \llbracket u \rrbracket_{z_0}^{z_a^1}$ and (d) $\delta \theta(z=0)$ from both closures, with the absolute-winds classical bulk closure as reference. Timewise standard deviations of absolute-winds classical bulk outputs are also given on the right scale.

relative-winds and two-sided closures (see Figure 12b). On Figure 11, sensible heat mitigation is relatively low at high latitudes because grid cells covered in sea ice have been screened out. As already pointed out in Subsection 4.1, the effects of our two-sided closures on the SST are negligible on average (in the order of 0.05 K). However, Figure 12d features a few extreme events where two-sided closures significantly cool down the SST (early August, late September). These events are concomitant with low-wind conditions under unstable stratification, which is consistent with results already presented in Figure 5b. The relatively weak reaction of SSTs can be explained by a combination of three factors. First, temperature (or equivalently, heat) mitigation is globally weaker than the velocity (stress) one since $\lambda_\theta \ll \lambda_u$. Second, this idealized test does not include radiative fluxes and their subsequent effects, which would generate stronger SST variability. Third, in this online test, the input temperature are not allowed to drift in time: only their instant reaction to SL parameterization is shown.

7 Discussion

We have introduced a formalism for extending air-sea turbulent flux parameterizations in order to make them account for shear turbulence driven effects on both sides of the interface, including the ocean. Special care has been taken to ensure by design the smoothness of solution profiles within the SL. The impact of our novelties in the SL treatment has been investigated on both idealized and more realistic cases. In general, our findings affect near-surface velocity profiles more than temperature ones. Occurrences with significant differences on turbulent air-sea flux determination (up to 20%) have been underlined. The effects are mostly concentrated on the representation of surface velocity, which then impacts the wind stress and sensible heat fluxes through their dependency on $z_{\alpha,u}^*$ and u_α^* . Such results may have implications for describing debris transport in the upper ocean. Recent results on that field suggest strong variations in the top meter of the ocean, albeit linearly varying with z (e.g. Laxague et al., 2018), instead of the logarithmic profiles used in Section 4. An interesting perspective would then be to build sturdier dimensionless groups for velocity profiles within the ONSL to match the results found in such studies.

The main message of this paper is that typical bulk closures have not been designed for being directly used in forced-ocean or coupled ocean-atmosphere settings, when ocean surface properties are unknown. One central inconsistency we have lifted supporting this idea is that classical bulk closures do not depend on the ocean vertical discretization. The two-sided formalism introduced above is adapted for using state-of-the-art bulk closures with nonzero depth ocean information as input. We do admit relying on a crude surface layer representation and first neglecting physically determining phenomena, such as wave-induced enhanced momentum transfer or radiative penetration within the ocean SL. Yet, classical bulk closures fully neglect this part of the ONSL, hence, they implicitly rely on even cruder assumptions. Stripping down the SL parameterization to the simpler, mathematically more ergonomic formalism that we have relied on was a necessary step for developing our framework. Moreover, historical bulk closures have first been developed within a similarly idealized setting (i.e., shear-driven SL). Our approach is to propose a relevant framework within which incorporating new parameterizations could be carried out, without altering the global consistency of the SL scheme. Section 5 proposed a few examples of such extensions. We stand by the idea that explicitly parameterizing the ONSL, albeit in a crude way, is more legitimate than implicitly neglecting it. Indeed, since the ONSL is usually assumed passive in classical closures, its impact of the surface physics is “hidden”. Consequently, we believe that explicitly acknowledging the ONSL, by formulating bulk closures including it, may attract attention towards developing physically more realistic two-sided closures.

Four specific further development perspectives retain our attention. First, adapting our framework to two-sided wave-permitting boundary layers effects on turbulent fluxes. Results obtained using simplified wave formulations are briefly established and discussed in Subsection 5.2. However, our formalism cannot be used per se for representing the ocean surface at the viscous sublayers under conditions of strong winds, where the problem geometry is changed and wave-induced micro instabilities overshadow viscous stress. Accurately simulating fluxes under heterogeneous surfaces, such as wave-deformed oceans, has been a considerable research challenge for decades, even from the

broader perspective of boundary layer meteorology (LeMone et al., 2019). Literature on this matter includes both simplified models (Troitskaya and Rybushkina, 2008) and three-component ocean - wave - atmosphere coupling (Hristov et al., 2003; Chen et al., 2007, 2013), which is well beyond the scope of this paper. Second, including moisture and salinity influence on our two-sided algorithms ought to be carried out, since such effects are already present in one-sided turbulent closures. This could be done by adapting the study of Bellenger et al. (2017), which proposes an extension of the Zeng and Beljaars (2005) warm layer model for enhancing the representation of saline stratification in the upper ocean layer. Third, the eq. (14) molecular constraint imposed at the $z = 0$ is a serious simplification compared to the very rich physics of the viscous sublayers. In particular, the stress ratio at the interface has already been investigated in the literature, with different values or dependencies underlined (Saunders, 1967; Robinson et al., 1984; Ward and Donelan, 2006). Since no clear consensus has arisen from the aforementioned studies, eq. (14) has been used as a minimal representation of surface constraint. However, our framework could swiftly incorporate any explicit parameterization by reformulating eq. (14) and integrating it within the two-sided closure. More generally speaking, since our new formalism includes new physics, it also calls for calibrating anew bulk closures (roughness and stability representations) from two-sided turbulent measurements. This could potentially limit the spread between observations and parameterizations. Fourth, our study assumes that the ONSL immediately responds to above-surface fast changes, which is usually not the case as the ocean kinematic viscosity is ≈ 30 times greater than the atmosphere's. Soloviev et al. (2001) suggests rendering the nonstationarity of the ONSL by using a gradient Richardson number and linking it to the Obukhov length. Such an endeavor is beyond the scope of the current paper, where the stationarity of the ONSL is used as a working hypothesis.

On the longer-term perspective, we believe that parameterizing the SL in full at a continuous level clarifies the mathematical nature of the boundary conditions enforced between the ocean and the atmosphere. Due to their explicit form (see eq. (1)), classical air-sea boundary conditions are subject to being erroneously assimilated to Neumann conditions. We however argue that the turbulent air-sea boundary conditions are, at the continuous level, equivalent to a combination of Dirichlet and Neumann boundary conditions. If we consider that the surface layer solution profiles obtained from the parameterization scheme are correct, then imposing eq. (1) is mathematically equivalent to imposing the continuity of the solutions and a constraint on their gradients at $z = 0$. In our opinion, transcribing the air-sea coupling problem into such a simpler yet sturdier mathematical formalism would ease the further theoretical development of turbulence-including coupling algorithms. At the practical, discrete level, connecting the surface layer with computational domains could be implemented by using specifically designed splines built from the chosen parameterization set.

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Conflict of interest

The authors declare that they have no conflict of interest.

A Analytical details on the new ASL dimensionless group

A.1 Exact ASL integrations using the Högström (1988) stability functions

Here we integrate u from eq. (5a) downwards from z_a^1 to $z \in [0; z_a^1[$, without any prior assumption on $\mathcal{K}_{a,u}^v$ and using ϕ_a^m as described by Högström (1988):

$$\phi_a^m(\zeta) = 1 + 5\zeta \quad \text{if } \zeta \geq 0 \quad (29a)$$

$$\phi_a^m(\zeta) = (1 - 16\zeta)^{-1/4} \quad \text{if } \zeta < 0 \quad (29b)$$

Details on integrating θ from eq. (5b) with ϕ_a^h from Högström (1988) are not given since the procedure is very similar to that described below. In the stable case ($L_a, \zeta \geq 0$), integrating eq. (5a) with eq. (29a) injected and rearranging terms leads to:

$$u(z_a^1) - u(z) = \frac{(u_a^*)^2}{L_a} \int_z^{z_a^1} \frac{(L_a + 5z')}{\kappa u_a^* z' + \mathcal{K}_{a,u}^v} dz' \quad (30)$$

which directly yields:

$$u(z_a^1) - u(z) = \frac{u_a^*}{\kappa} \left[\left(1 - \frac{5\mathcal{K}_{a,u}^v}{\kappa u_a^* L_a} \right) \ln \left(\frac{z_a^1 + \mathcal{K}_{a,u}^v / (\kappa u_a^*)}{z + \mathcal{K}_{a,u}^v / (\kappa u_a^*)} \right) + \frac{5(z_a^1 - z)}{L_a} \right] \quad (31)$$

Doing a zeroth-order approximation of eq. (31) in the $\mathcal{K}_{a,u}^v / (\kappa u_a^*) \ll z_a^1, |L_a|$ limit yields a form compatible with eq. (7). In the unstable case ($L_a, \zeta < 0$), integrating eq. (5a) with eq. (29b) injected and using the $z' \hookrightarrow \eta = (1 - 16\frac{z'}{L_a})^{1/4}$ change of variable yields:

$$u(z_a^1) - u(z) = \frac{4u_a^*}{\kappa} \int_{\eta(z)}^{\eta(z_a^1)} \frac{\eta^2}{\eta^4 - 1 + \mathcal{K}_{a,u}^v / \nu_0} d\eta \quad (32)$$

where $\nu_0 = -\kappa u_a^* L_a / 16 > 0$. Depending on the sign of $\xi = 1 - \mathcal{K}_{a,u}^v / \nu_0$, integrating eq. (32) yields:

$$u(z_a^1) - u(z) = \begin{cases} \frac{u_a^*}{\xi^{1/4} \kappa} \left[2\text{Arctan} \left(\eta \xi^{-1/4} \right) + \ln \left(\frac{\eta - \xi^{1/4}}{\eta + \xi^{1/4}} \right) \right]_{\eta=\eta(z)}^{\eta(z_a^1)}, & \text{if } \xi > 0 \\ \frac{4u_a^*}{\kappa} \left[(\eta(z))^{-1} - (\eta(z_a^1))^{-1} \right], & \text{if } \xi = 0, \\ \frac{\sqrt{2}u_a^*}{2(-\xi)^{1/4} \kappa} \left[2\text{Arctan} \left(\frac{\sqrt{2}(2\eta + \sqrt{2}(-\xi)^{1/4})}{2(-\xi)^{1/4}} \right) + 2\text{Arctan} \left(\frac{\sqrt{2}(2\eta - \sqrt{2}(-\xi)^{1/4})}{2(-\xi)^{1/4}} \right) \right. \\ \left. - \log \left(\eta^2 + \sqrt{2}(-\xi)^{1/4} \eta + \sqrt{-\xi} \right) + \log \left(\eta^2 - \sqrt{2}(-\xi)^{1/4} \eta + \sqrt{-\xi} \right) \right]_{\eta=\eta(z)}^{\eta(z_a^1)}, & \text{if } \xi < 0 \end{cases} \quad (33)$$

Injecting $\mathcal{K}_{a,u}^v / (\kappa u_a^*) \ll z_a^1, |L_a|$ in eq. (33) yields $\xi \approx 1$, and thus only the $\xi > 0$ case of eq. (33) is relevant. Assessing it at $z = 0$ yields:

$$u(z_a^1) - u(0) = \frac{u_a^*}{\kappa} \left[2\text{Arctan} \left(\eta(z_a^1) \right) - \frac{\pi}{2} + \ln \left(\frac{\eta(z_a^1) - 1}{\eta(z_a^1) + 1} \right) - \ln \left(\frac{1 - \xi^{1/4}}{2} \right) \right] \quad (34)$$

A.2 Asymptotic development on the molecular effect including ASL closure

In this section, we prove that integrating eq. (5) in the $\mathcal{K}_{a,u}^v, \mathcal{K}_{a,\theta}^v \ll \kappa u_a^* z_a^1, \kappa u_a^* |L_a|$ limit leads to eq. (7). For doing so, we go back to the ϕ_a^m, ϕ_a^h stability functions being generic, and assume that they satisfy the following constraints:

(h1) ϕ_a^m, ϕ_a^h are smooth over $\mathbb{R}$ (i.e., they are continuous);

(h2) $\zeta \mapsto (1 - \phi_a^x(\zeta))/\zeta$ is integrable on $[0; z_a^1]$.

Note that both these constraints are satisfied by most classical stability functions. Integrating either subequations of eq. (5) leads to:

$$x(z_a^1) - x(z) = \frac{x_a^*}{\kappa} \int_{z'=z}^{z_a^1} \frac{\phi_a^x(z'/L_a)}{z' + x_a^v/(\kappa u_a^*)} dz' \quad (35)$$

where $x \in \{u, \theta\}$ and $x_a^v \in \{\mathcal{K}_{a,u}^v, \mathcal{K}_{a,\theta}^v\}$, chosen accordingly. Performing the change of variable $z' \mapsto \tilde{z} = z + x_a^v/(\kappa u_a^*)$ and rearranging terms in eq. (35) leads to:

$$x(z_a^1) - x(z) = \frac{x_a^*}{\kappa} \left[\ln \left(\frac{z_a^1 + x_a^v/(\kappa u_a^*)}{z + x_a^v/(\kappa u_a^*)} \right) - \int_{\tilde{z}=z+x_a^v/(\kappa u_a^*)}^{z_a^1+x_a^v/(\kappa u_a^*)} \frac{1 - \phi_a^x(\tilde{z} - x_a^v/(\kappa u_a^*))}{\tilde{z}} d\tilde{z} \right] \quad (36)$$

Since $x_a^v \ll \kappa u_a^* z_a^1$, the numerator in the logarithm of eq. (36) can be reduced to z_a^1 . For the second, stability-rendering term of eq. (36), we argue that it is equivalent to $-\psi_a^x(z_a^1) + \psi_a^x(z)$. Indeed:

- If $z \lesssim z_a^1$, then $\tilde{z} \approx z$. Since (h1) states that ϕ_a^x is smooth, the second term in eq. (36) is close to $-\psi_a^x(z_a^1) + \psi_a^x(z)$.
- If $z \ll z_a^1 |L_a|$, then $\tilde{z} - x_a^v/(\kappa u_a^*) \ll 1$, and (h2) guarantees that the lower integration boundary can be approximated as $z = 0$;

B Adapting two-sided bulk closures to turbulent flux measurements calibrated with nonzero depth ocean fields

In Section 3, an estimate of $\mathcal{K}_{a,x}^v$ directly deduced from $z_{a,x}^r$ has been given (see eq. (9)). This corresponds to our understanding of the roughness heights in classical bulk closures, which match the heights at which MOST-derived solution profiles reach their expected “surface values”. As a consequence, the adapted atmosphere bulk closure evaluated from the velocity and temperature jumps between $z = 0$ and z_a^1 are equivalent to classical bulk closures. However, ocean surface properties cannot be measured: only near-surface properties can, at a depth of a few millimeters (Donlon et al., 2002) at least. Below $\mathcal{K}_{a,x}^v, x \in \{u, \theta\}$ are evaluated anew so that the resulting two-sided bulk closure matches the velocity and temperature jumps from an arbitrary reference depth $z_0^{ms} \approx -1$ mm to z_a^1 . As a consequence, the computed fluxes will match the experimental setting measurements $z_{a,u}^r$ and $z_{a,\theta}^r$ have actually been tuned for. The method described below can also be generalized for cases in which the reference currents and temperatures measurement depths are distinct.

Integrating eq. (10) on $[z_0^{ms}; 0]$, with eqs. (13) and (15) injected, leads to, for $x \in \{u, \theta\}$:

$$\llbracket x \rrbracket_{z_0^{ms}}^0 = \frac{\lambda_x x_a^*}{\kappa} \ln \left(\frac{-z_0^{ms} + \mu_m \mathcal{K}_{a,x}^v / (\lambda_u \kappa u_a^*)}{\mu_m \mathcal{K}_{a,x}^v / (\lambda_u \kappa u_a^*)} \right) \quad (37)$$

where stratification has been neglected, since $|z_0^{ms}| \approx 1$ mm $\ll |L_O|$. Summing eqs. (8) and (37), rearranging terms, and identifying the resulting denominator to $z_{a,x}^r$, yields, for $x \in \{u, \theta\}$:

$$\frac{\mathcal{K}_{a,x}^v}{\kappa u_a^*} \left(\frac{-z_0^{ms} + \mu_m \mathcal{K}_{a,x}^v / (\lambda_u \kappa u_a^*)}{\mu_m \mathcal{K}_{a,x}^v / (\lambda_u \kappa u_a^*)} \right)^{-\lambda_x} = z_{a,x}^r \quad (38)$$

which is a condition for two-sided bulk closures to match classical bulk closures, assuming they have been tuned from ocean measurements at $z = z_0^{ms}$. In other words, evaluating $z_{a,x}^r$ from a given classical bulk formula, and then solving eq. (38) on $\mathcal{K}_{a,x}^v$, leads to two-sided bulk closures being consistent with solution jumps from z_0^{ms} to z_a^1 . In general, determining $\mathcal{K}_{a,x}^v$ from $z_{a,x}^r$ through eq. (38) can only be done numerically. However, a more exploitable form can be obtained by assuming $|z_0^{ms}| \gg \mu_m \mathcal{K}_{a,x}^v / (\lambda_u \kappa u_a^*)$, i.e., that the reference ocean measurement depth is large compared to the

ocean roughness depth. Figures 3c and 3f suggest that such an assumption is reasonable. As a result, eq. (38) can be simplified to:

$$\mathcal{K}_{a,x}^v \approx \kappa u_a^* \left[z_{a,x}^r \left(\frac{-z_o^{ms} \lambda_u}{\mu_m} \right)^{\lambda_x} \right]^{\frac{1}{1+\lambda_x}} \quad (39)$$

C Wave-forced adapted bulk algorithms

eq. (22) yields substituting eq. (17a) with:

$$\llbracket u \rrbracket_{z_o^1}^{z_a^1} = \frac{u_a^* e^{i\varphi_a}}{\kappa} \mathcal{G}_a(\mathbf{x}_a^*) + \frac{u_{o,eff}^* e^{i\varphi_o}}{\kappa} \mathcal{G}_o(\mathbf{x}_o^*) \quad (40)$$

where:

$$\mathcal{G}_a(\mathbf{x}_a^*) = \ln \left(\frac{z_a^1}{z_{a,u}^r} \right) - \psi_a^m \left(\frac{z_a^1}{L_a} \right) \quad (41a)$$

$$\mathcal{G}_o(\mathbf{x}_o^*) = \ln \left(\frac{-z_o^1}{z_{u,o}^r} \right) - \psi_o^m \left(\frac{-z_o^1}{L_o} \right) \quad (41b)$$

A light adaptation of fixed-point bulk algorithms is needed in order to make them solve eq. (40) instead of eq. (17a). Indeed, non-wave including bulk algorithms rely on iterating over u_a^* with the following procedure:

$$u_a^{*,\{k+1\}} = \frac{\kappa \left| \llbracket u \rrbracket_{z_o^1}^{z_a^1} \right|}{\mathcal{G}_a(\mathbf{x}_a^{*,\{k\}}) + \lambda_u \mathcal{G}_o(\mathbf{x}_{o,eff}^{*,\{k\}})} \quad (42)$$

where $k \in \mathbb{N}$ denotes bulk algorithm iterations. Solving eq. (40) can no longer be done by simply injecting eq. (42) as in the presence of τ_w , $u_{o,eff}^*$ is not proportional to u_a^* anymore. Instead, we propose the following four-step procedure, deduced from eqs. (22) and (40):

$$u_a^{*,\{k+1\}} = \frac{1}{\mathcal{G}_a(\mathbf{x}_a^{*,\{k\}})} \left| \kappa \llbracket u \rrbracket_{z_o^1}^{z_a^1} - u_o^{*,\{k\}} \mathcal{G}_o(\mathbf{x}_{o,eff}^{*,\{k\}}) e^{i\varphi_o^{\{k\}}} \right| \quad (43a)$$

$$\varphi_a^{\{k+1\}} = \text{Arg} \left\{ \kappa \llbracket u \rrbracket_{z_o^1}^{z_a^1} - u_o^{*,\{k\}} \mathcal{G}_o(\mathbf{x}_{o,eff}^{*,\{k\}}) e^{i\varphi_o^{\{k\}}} \right\} \quad (43b)$$

$$u_{o,eff}^{*,\{k+1\}} = \sqrt{\left| \left(\lambda_u u_a^{*,\{k+1\}} \right)^2 e^{i\varphi_a^{\{k+1\}}} + \frac{|\tau_w|}{\rho_o} e^{i\varphi_w} \right|} \quad (43c)$$

$$\varphi_o^{\{k+1\}} = \text{Arg} \left\{ \left(\lambda_u u_a^{*,\{k+1\}} \right)^2 e^{i\varphi_a^{\{k+1\}}} + \frac{|\tau_w|}{\rho_o} e^{i\varphi_w} \right\} \quad (43d)$$

where $\mathcal{G}_a > 0$ is implicitly assumed, which is reasonable since $|z_\alpha^1| \gg |z_{\alpha,u}^r|$ and $\psi_a^m \leq 1$ over the ocean.

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