

Accurate effective masses from first principles

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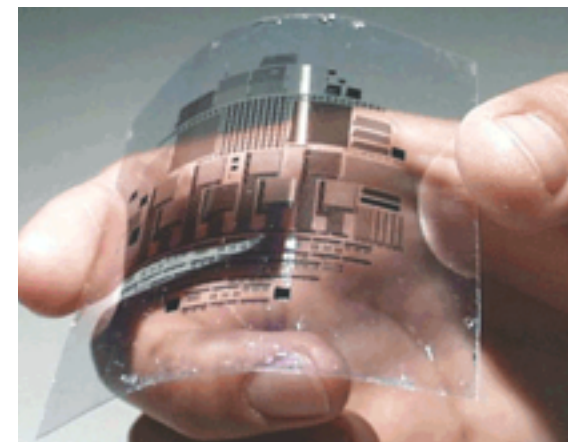
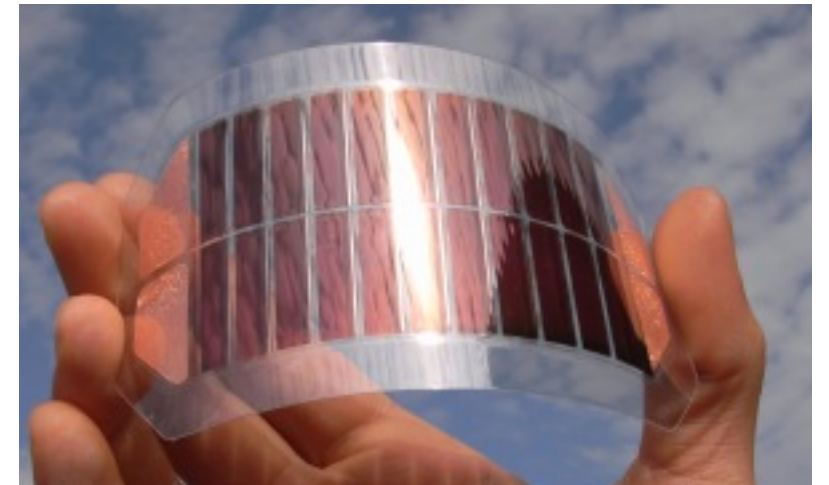
Effective masses

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- design materials with better mobility for electronic applications

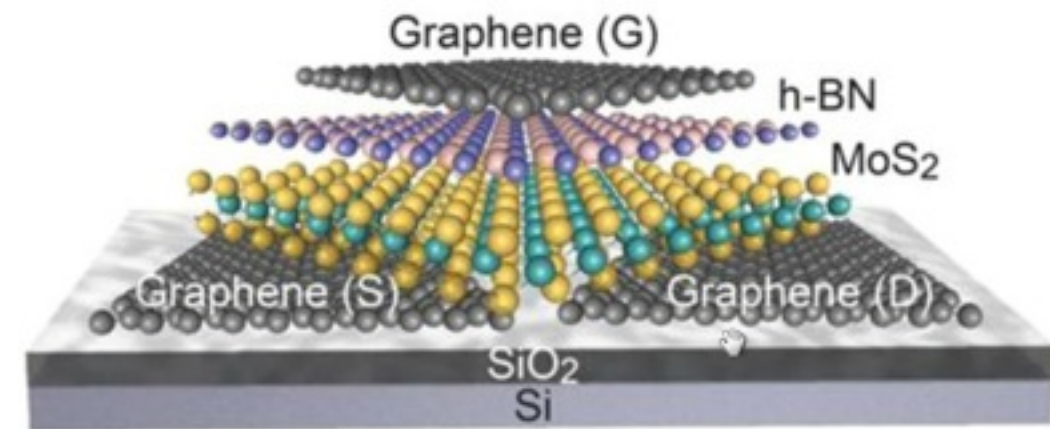
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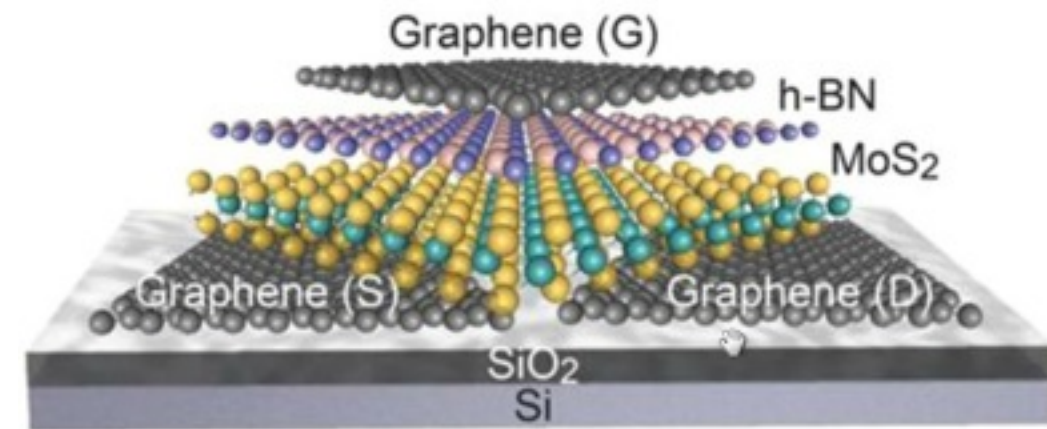
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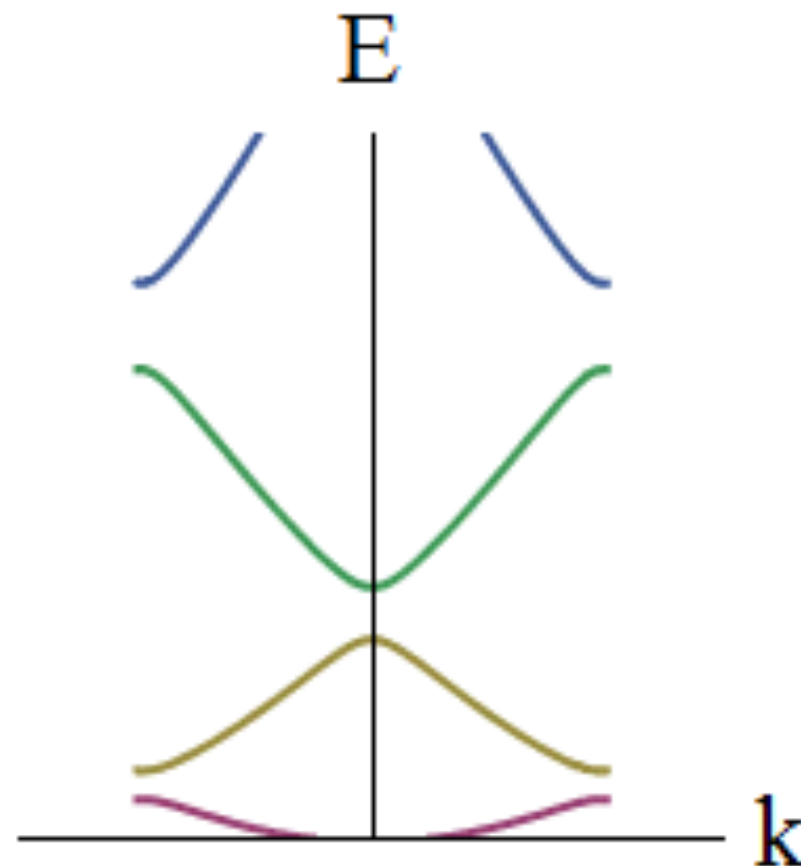
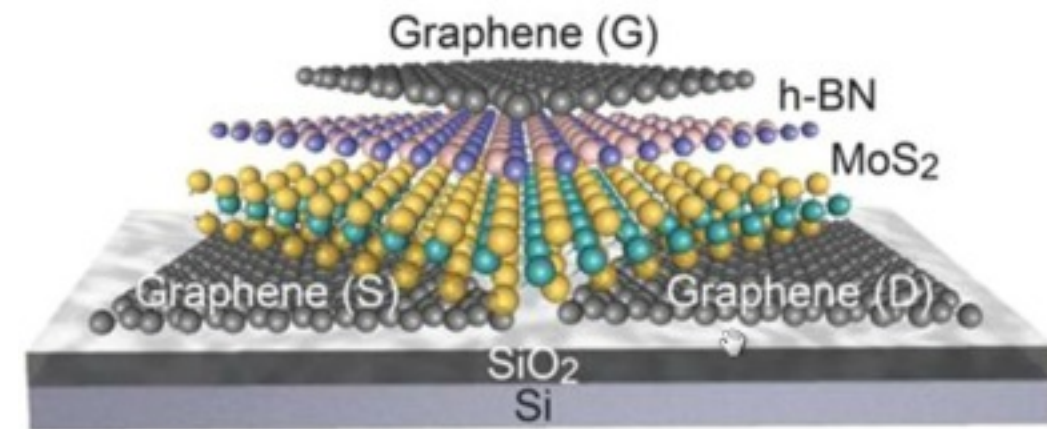
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better μ : both higher conductivity and transparency



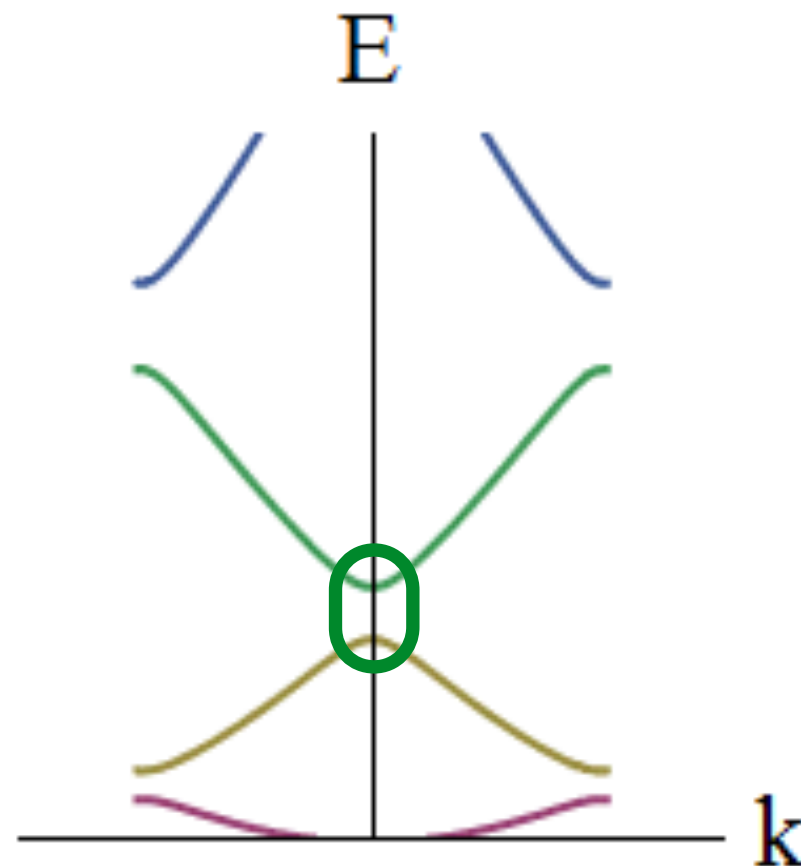
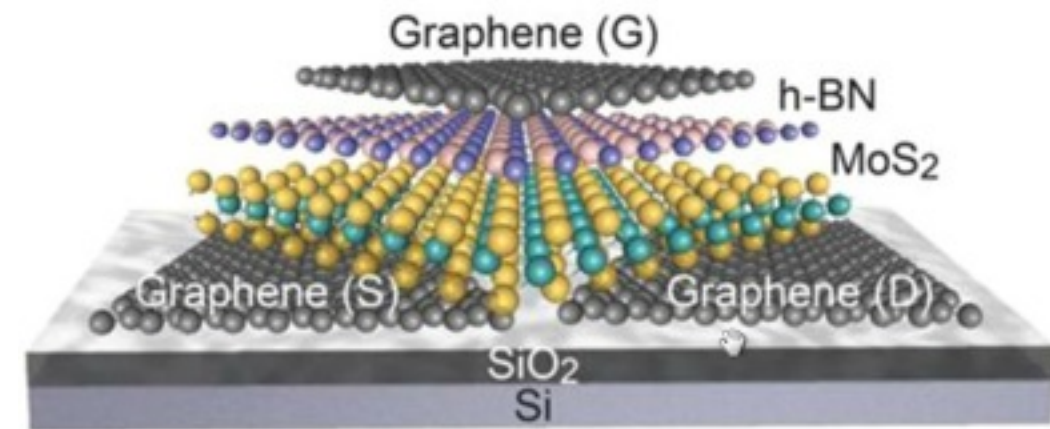
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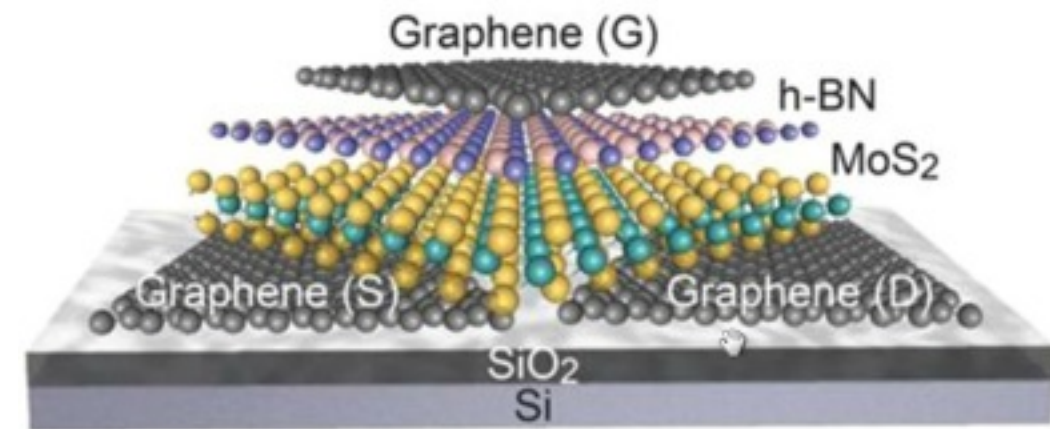
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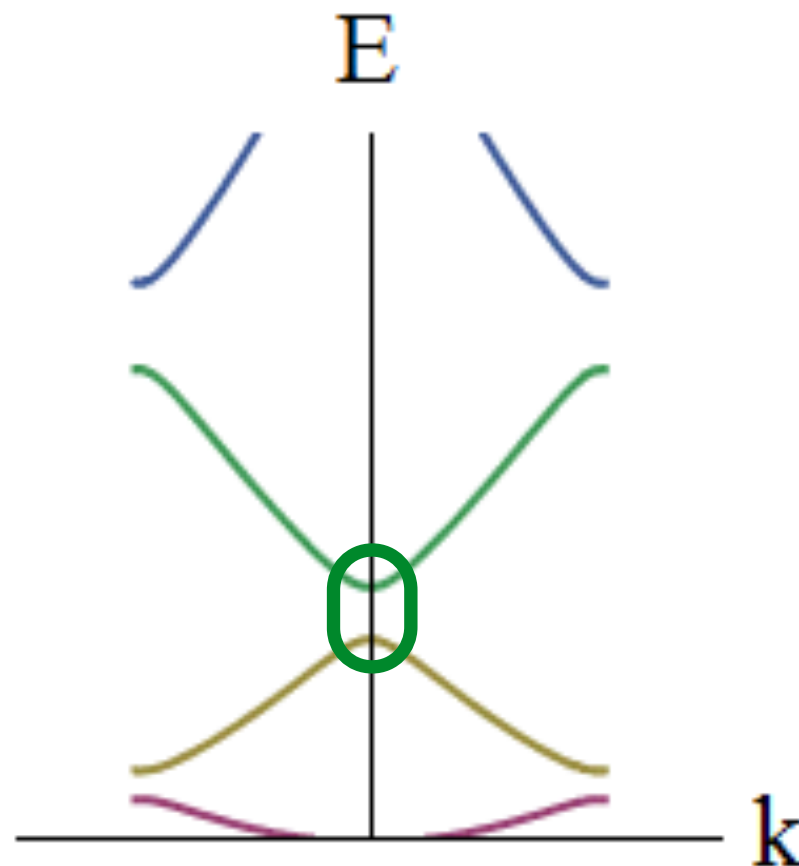


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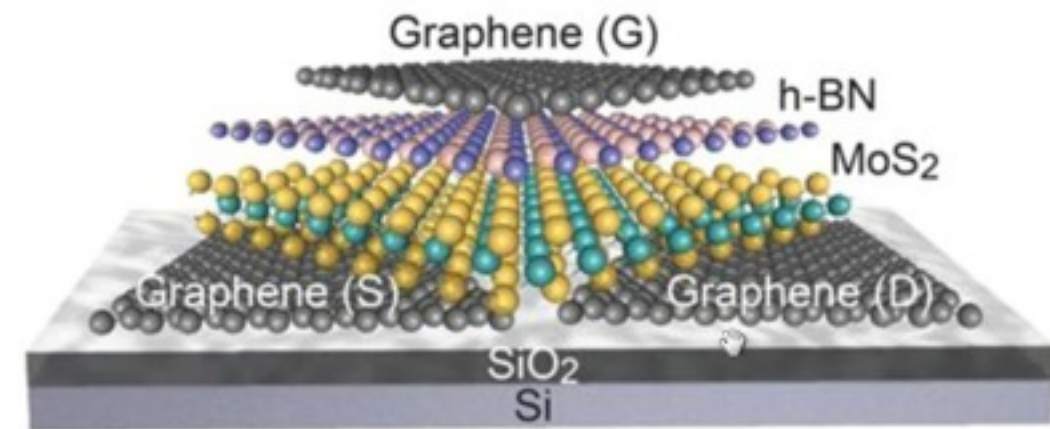


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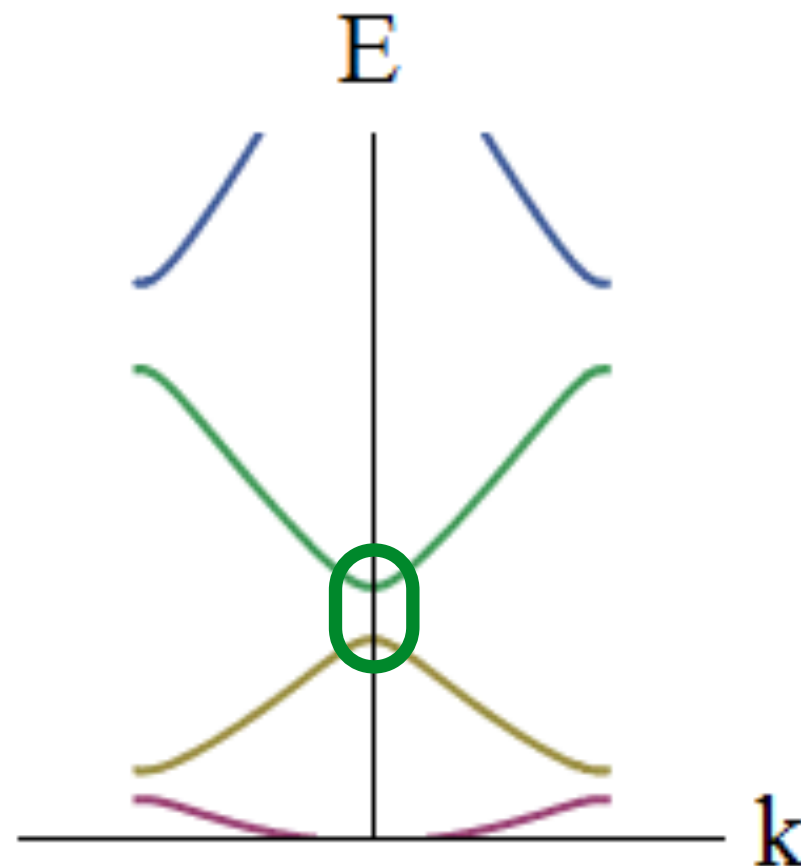


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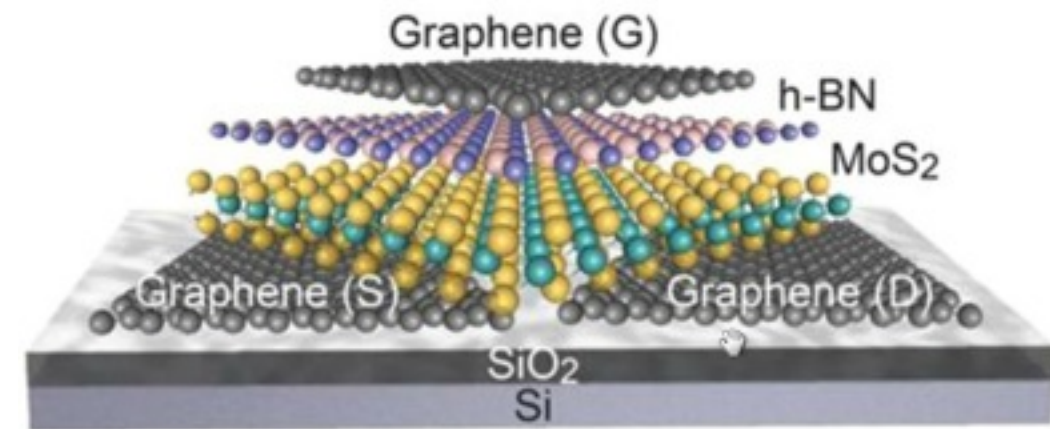


$$\epsilon_{kn} \approx \epsilon_{\Gamma n} + \frac{k^2}{2M} \quad M \neq 1$$



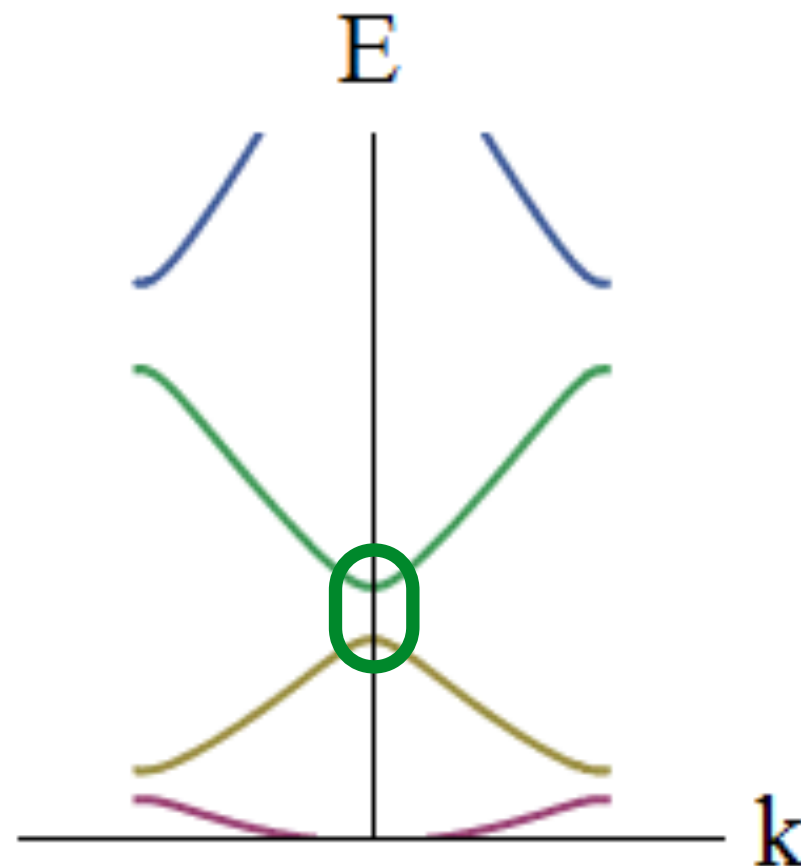
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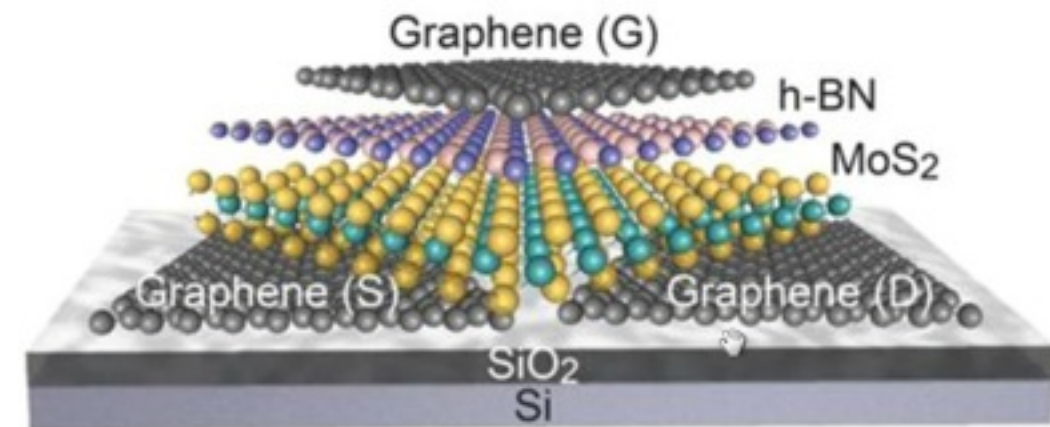
$$\varepsilon_{kn} \approx \varepsilon_{\Gamma n} + \frac{k^2}{2M} \quad M \neq 1$$

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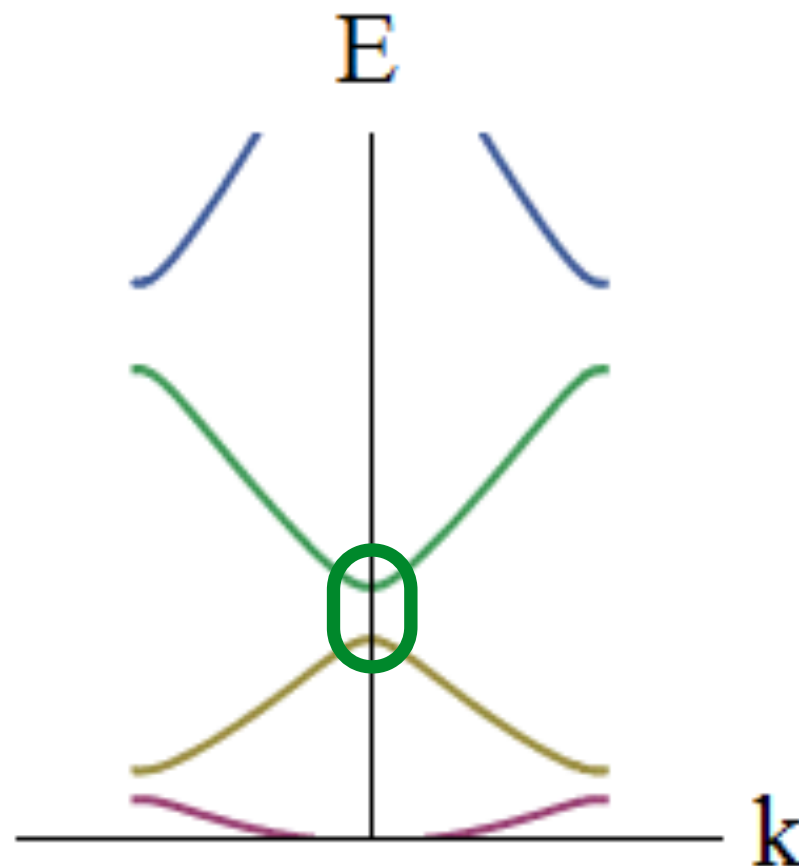
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- density functional perturbation theory (DFPT)

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DFPT

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Derivatives of $\hat{H}$ up to 2nd order

DFPT

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$$\langle \underline{G} | \hat{H}_{\underline{k}} | \underline{G}' \rangle = \frac{(\underline{k} + \underline{G})^2}{2} \delta_{\underline{G}\underline{G}'} + V_{\underline{G}-\underline{G}'} + \tilde{V}_{\underline{k},\underline{G}\underline{G}'}$$

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$$\langle \underline{k} + \underline{G} | p_i \rangle \propto e^{i(\underline{k} + \underline{G}) \cdot \underline{r}} Y_{l_i m_i} \left(\frac{\underline{k} + \underline{G}}{|\underline{k} + \underline{G}|} \right) \int_0^{r_c} dr p_i(r) j_{l_i}(|\underline{k} + \underline{G}| r)$$

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Si, 1st band, Γ

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0.000	1.161	-0.000
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DFPT

1.161	0.000	0.000
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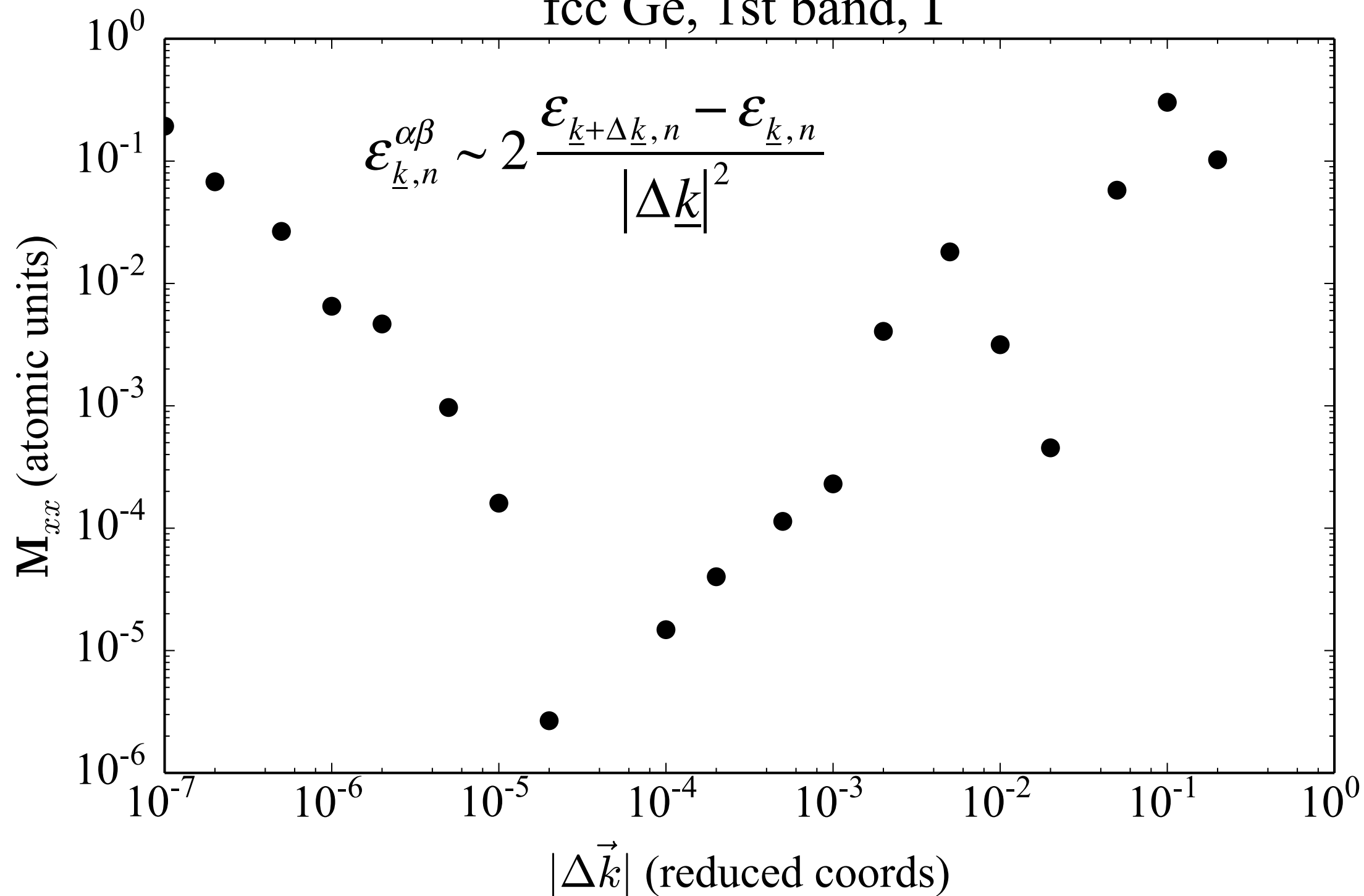
Numerical noise : finite differences

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$$\varepsilon_{\underline{k},n}^{\alpha\beta} \sim 2 \frac{\varepsilon_{\underline{k}+\Delta\underline{k},n} - \varepsilon_{\underline{k},n}}{|\Delta\underline{k}|^2}$$

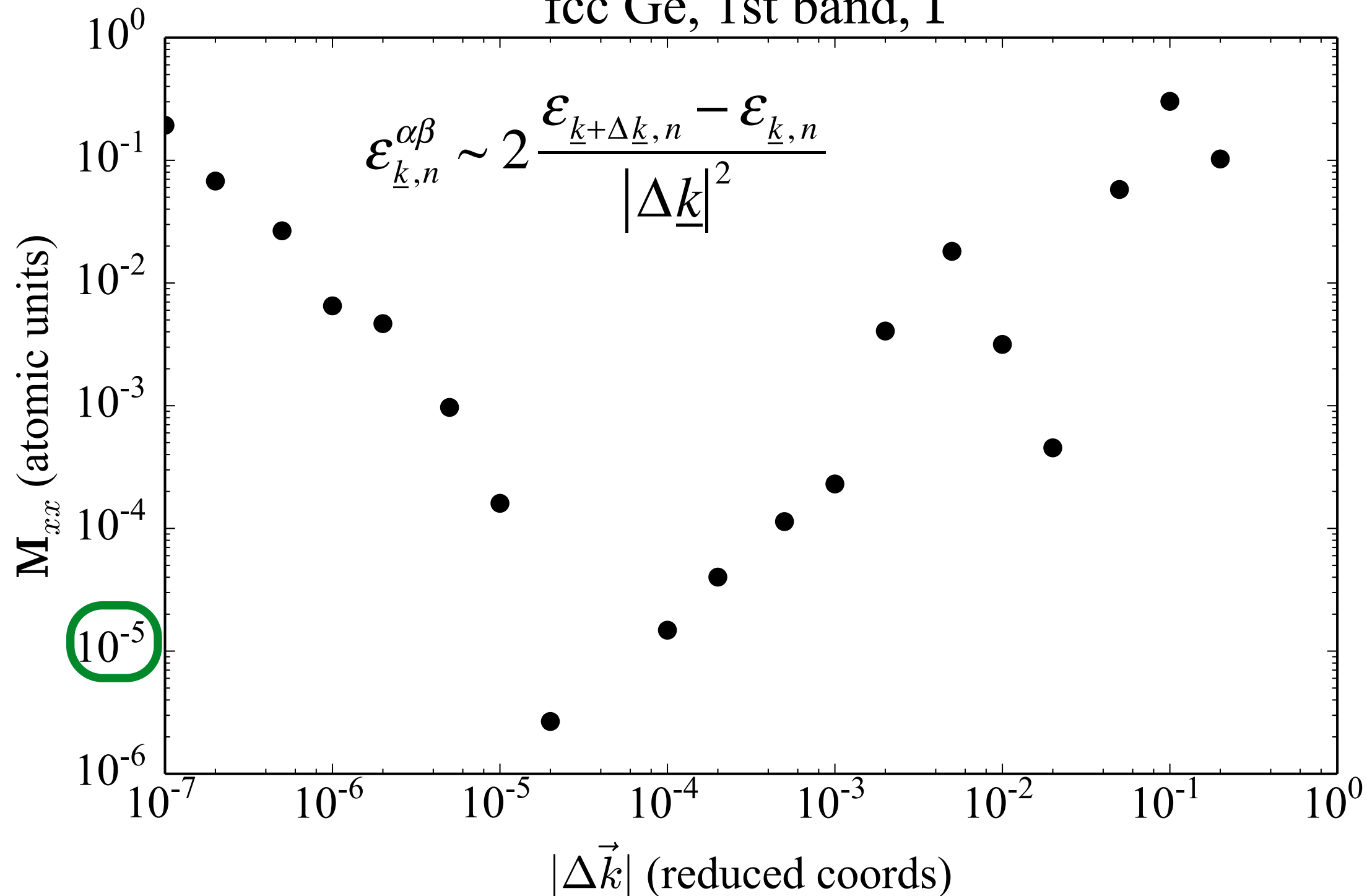
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Convergence study for the effective mass
fcc Ge, 1st band, Γ



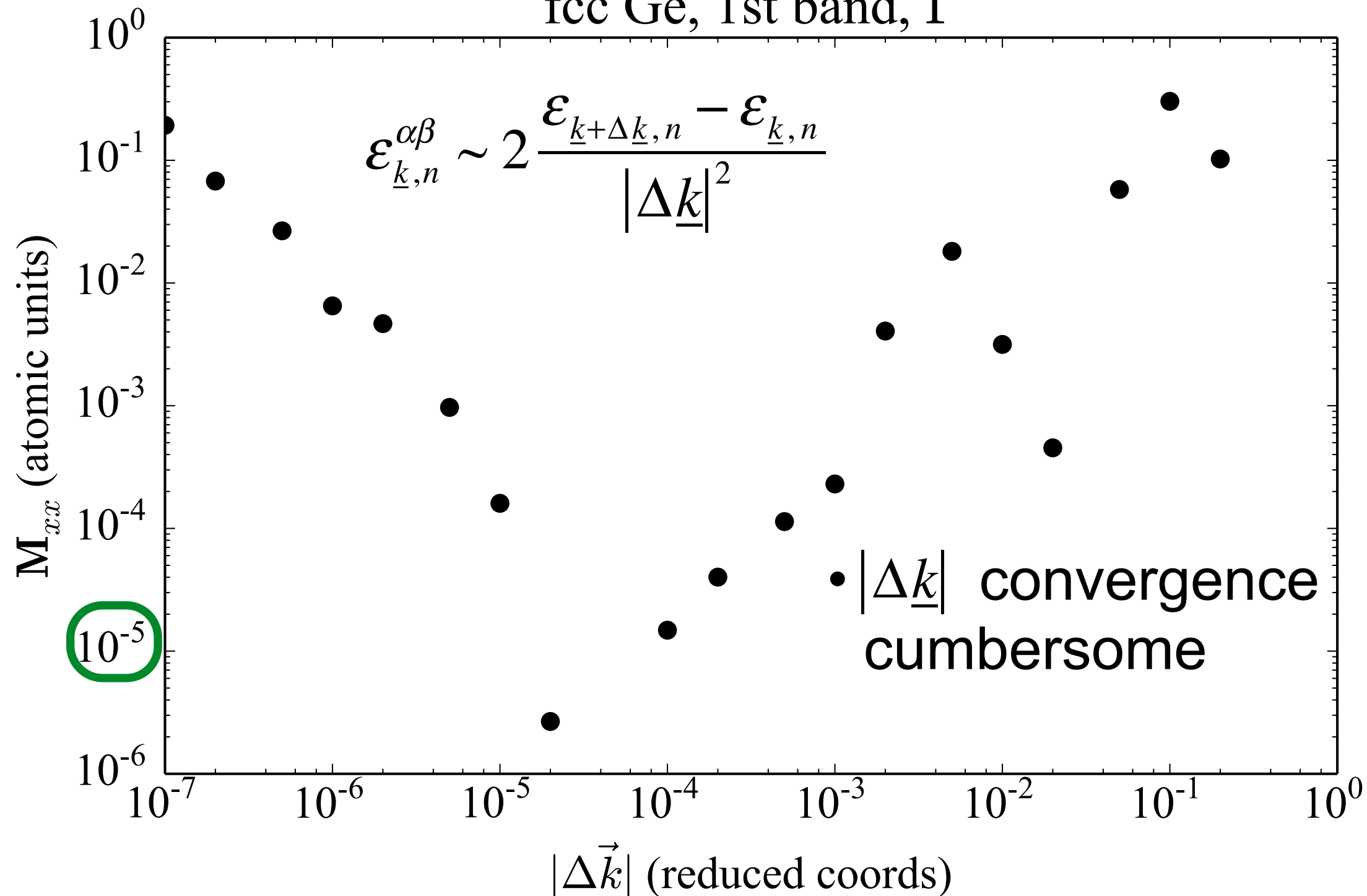
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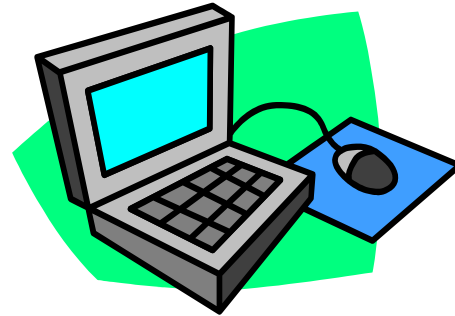
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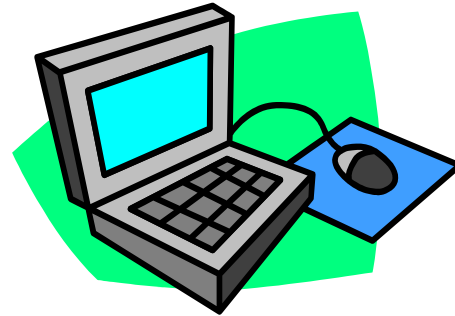
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Thank you!



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Future directions

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- Correction factor for e-e interactions

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- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{\text{DFT}}} = \frac{\cancel{\partial^2 \epsilon^{\text{DFT}}} / \cancel{\partial k^2}}{\cancel{\partial^2 \epsilon^{G_0W_0}}} / \cancel{\partial k^2} = \frac{\partial \epsilon^{\text{DFT}}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{\text{DFT}} + \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k + \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}$$

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{\text{DFT}}} = \frac{\cancel{\partial^2 \epsilon^{\text{DFT}}} / \cancel{\partial k^2}}{\cancel{\partial^2 \epsilon^{G_0W_0}}} / \cancel{\partial k^2} = \frac{\partial \epsilon^{\text{DFT}}}{\partial \epsilon^{G_0W_0}}$$

Oshikiri et al. PRB **66** 125204 (2002)

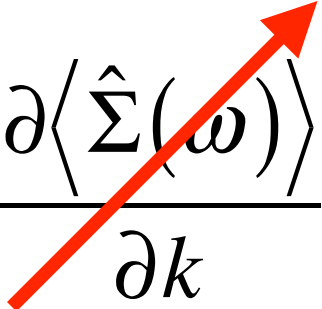
$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{\text{DFT}} + \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k + \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}$$

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{\text{DFT}}} = \frac{\cancel{\frac{\partial^2 \epsilon^{\text{DFT}}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{\text{DFT}}}{\partial \epsilon^{G_0W_0}}$$

Oshikiri et al. PRB **66** 125204 (2002)

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{\text{DFT}} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}$$


Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{\text{DFT}}} = \frac{\cancel{\frac{\partial^2 \epsilon^{\text{DFT}}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{\text{DFT}}}{\partial \epsilon^{G_0W_0}}$$

Oshikiri et al. PRB **66** 125204 (2002)

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{\text{DFT}} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}}$$

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{DFT}} = \frac{\cancel{\frac{\partial^2 \epsilon^{DFT}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{DFT} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}}$$

$\leq 0.02\%$ M in AlAs Oshikiri et al. PRB **66** 125204 (2002)

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{DFT}} = \frac{\cancel{\frac{\partial^2 \epsilon^{DFT}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{DFT} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}} \quad \sim 16\% \text{ M in AIAs}$$

$< 0.02\% \text{ M in AIAs}$
Oshikiri et al. PRB **66** 125204 (2002)

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{DFT}} = \frac{\cancel{\frac{\partial^2 \epsilon^{DFT}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{DFT} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}} \quad \begin{array}{l} < 0.02\% \text{ M in AIAs} \\ \sim 16\% \text{ M in AIAs} \end{array} \quad \text{Oshikiri et al. PRB } \mathbf{66} \text{ 125204 (2002)}$$

$$\frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}} = 1 - \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} = \frac{1}{Z}$$

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{DFT}} = \frac{\cancel{\frac{\partial^2 \epsilon^{DFT}}{\partial k^2}}}{\cancel{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}}} = \frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{DFT} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}} \quad \begin{array}{l} < 0.02\% \text{ M in AIAs} \\ \sim 16\% \text{ M in AIAs} \end{array} \quad \text{Oshikiri et al. PRB } \mathbf{66} \text{ 125204 (2002)}$$

$$\frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}} = 1 - \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} = \frac{1}{Z} = \frac{M^{G_0W_0}}{M^{DFT}}$$

Future directions

- Correction factor for e-e interactions

$$\frac{M^{G_0W_0}}{M^{DFT}} = \frac{\frac{\partial^2 \epsilon^{DFT}}{\partial k^2}}{\frac{\partial^2 \epsilon^{G_0W_0}}{\partial k^2}} = \frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}}$$

$$\partial \epsilon^{G_0W_0} = \partial \epsilon^{DFT} + \cancel{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial k} \delta k} + \boxed{\frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} \delta \epsilon^{G_0W_0}} \quad \begin{array}{l} < 0.02\% \text{ M in AIAs} \\ \sim 16\% \text{ M in AIAs} \end{array} \quad \text{Oshikiri et al. PRB } \mathbf{66} \text{ 125204 (2002)}$$

$$\frac{\partial \epsilon^{DFT}}{\partial \epsilon^{G_0W_0}} = 1 - \frac{\partial \langle \hat{\Sigma}(\omega) \rangle}{\partial \omega} = \frac{1}{Z} = \frac{M^{G_0W_0}}{M^{DFT}}$$

- How general is this apprx?