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PAPER

Infrared neurostimulation in ex-vivo rat sciatic nerve using 1470 nm wavelength

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9 April 2021Joaquin Cury^{1,2,*} , Louis Vande Perre¹ , Hugo Smets¹ , Lars Stumpp³ , Simone Vespa³ , Anne Vanhoestenberghé⁴ , Pascal Doguet⁵, Jean Delbeke³ , Riëm El Tahry³ , Simon-Pierre Gorza² and Antoine Nonclercq¹ ¹ Bio, Electro and Mechanical Systems (BEAMS), Université libre de Bruxelles, Brussels, Belgium² Opera Photonics, Université libre de Bruxelles, Brussels, Belgium³ Institute of Neurosciences (IONS), Université Catholique de Louvain, Belgium—Cliniques Universitaires Saint Luc, Department of Neurology, Brussels, Belgium⁴ Aspire Centre for Rehabilitation Engineering and Assistive Technology, University College London, London, United Kingdom⁵ Synergia Medical, Mont-Saint-Guibert, Belgium

* Author to whom any correspondence should be addressed.

E-mail: joaquin.cury@ulb.ac.be**Keywords:** NIR neurostimulation, ex-vivo peripheral nerves, recruitment curve, optical single shots, sensitization effect, stimulation threshold, high spatial resolution**Abstract**

Objective. To design and implement a setup for ex-vivo optical stimulation for exploring the effect of several key parameters (optical power and pulse duration), activation features (threshold, spatial selectivity) and recovery characteristics (repeated stimuli) in peripheral nerves. **Approach.** A nerve chamber allowing ex-vivo electrical and optical stimulation was designed and built. A 1470 nm light source was chosen to stimulate the nerve. A photodiode module was implemented for synchronization of the electrical and optical channels. **Main results.** Compound neural action potentials (CNAPs) were successfully generated with infrared light pulses of 200–2000 μ s duration and power in the range of 3–10 W. These parameters determine a radiant exposure for stimulation in the range 1.59–4.78 J cm⁻². Recruitment curves were obtained by increasing durations at a constant power level. Neural activation threshold is reached at a mean radiant exposure of 3.16 ± 0.68 J cm⁻² and mean pulse energy of 3.79 ± 0.72 mJ. Repetition rates of 2–10 Hz have been explored. In eight out of ten sciatic nerves (SNs), repeated light stimuli induced a sensitization effect in that the CNAP amplitude progressively grows, representing an increasing number of recruited fibres. In two out of ten SNs, CNAPs were composed of a succession of peaks corresponding to different conduction velocities. **Significance.** The reported sensitization effect could shed light on the mechanism underlying infrared neurostimulation. Our results suggest that, in sharp contrast with electrical stimuli, optical pulses could recruit slow fibres early on. This more physiological order of recruitment opens the perspective for specific neuromodulation of fibre population who remained poorly accessible until now. Short high-power light pulses at wavelengths below 1.5 μ m offer interesting perspectives for neurostimulation.

1. Introduction

As a therapeutic tool, electrostimulation commonly involves activation or inhibition of excitable tissue by electrical current pulses delivered through electrodes [1–4]. The use of implanted stimulators started with cardiac pacemakers [5, 6], now complemented with cardioverters and defibrillators [7, 8]. Similar devices have been approved for a broad range of applications

including deep brain stimulators for Parkinson's disease [9–11] and for various forms of tremors and dystonia [12, 13]. At the limit between central and peripheral targets, we find cochlear and visual prosthesis [14, 15]. The diaphragm or phrenic stimulators are used in respiratory paralysis [16, 17]. Peripheral nerves are being stimulated for bladder incontinence [18, 19], neuroprosthetic for foot drop [20, 21], or walking aids [22, 23]. Vagus nerve stimulation is an

established treatment for refractory epilepsy and is currently being investigated for a broad spectrum of other conditions such as chronic pain [24–26], depression [27, 28], obesity [29], migraine [30, 31], heart failure [32] and gastroparesis [33, 34]. However, electrical stimulation has major limitations such as: (a) lack of spatial resolution due to the spreading of stimulating currents that are reaching neighbouring structures leading to unintended side effects such as muscle contraction or pain [35]; (b) neural recordings are polluted by stimulation artefacts, prohibiting compound neural action potential (CNAPs) recording in the vicinity of the stimulating electrodes [36, 37]; (c) neurostimulators are incompatible with magnetic resonance imaging because of device heating by induction and image distortion due to electromagnetic interference [38]; (d) the order in which the nerve fibres are activated by electrical stimulation is almost opposite to the natural recruitment. Indeed, in physiological conditions, the smallest fibres are activated first while the largest fibres have the lowest threshold to electrical stimulation [39]. This is particularly important for the vagus nerve, composed of a majority of small mostly non-myelinated fibres, hence difficult to stimulate electrically. Optical stimulation, inducing neural response to transient light pulses, could overcome most of these limitations [35–38, 40–62]. This approach includes optogenetics, photoactive molecules and infrared neural stimulation (INS). In this study, INS is particularly emphasised, due to the potential for direct activation of neural tissue by infrared light, as opposed to techniques that rely on the introduction of exogenous light responsive molecules [63].

The biophysical mechanism underlying INS has been investigated by Wells *et al*, who concluded that photonic neural activation is a photothermal effect whereby a transient tissue heating activates the axon membrane [42]. Several hypothetical mechanisms have been proposed at the level of the cell membrane, including alteration of channel gating [42], thermo-sensitive ion channels (TRPV1 and TRPV4) [64–66], and membrane capacitance change [67]. Additionally, there is evidence supporting that INS destabilize the plasma membrane causing the formation of short-lived nanopores. This membrane nanoporation allows the influx of extracellular ions leading to neural activation [68]. While all these mechanisms are governed by local temperature variation, we should also point out the fact that light sensitive channels exist in nature (e.g. retina [69]) and that similar direct light effect might also be possible.

Studies have logically focused on the infrared part of the light spectrum due to the absorption peaks in water (considered as the main chromophore responsible for absorbing infrared light [42, 67]). The range 980–2100 nm in particular has been shown to evoke a motor response when applied to the surface of a

peripheral nerve, offering an optimum penetration depth matching the thickness of the nerve fibre. In the prospect of miniaturized applications, encouraging results have been obtained with wavelengths below 1500 nm. In this spectral range, 1470 nm waves may be promising because of an absorption peak in water similar to that of longer wavelengths (absorption coefficient $\sim 30 \text{ cm}^{-1}$ [70]). However, as the nerve is a turbid media, scattering also plays a role in light distribution by decreasing the optical penetration depth. Considering the water absorption coefficient and the reduced scattering coefficient measured in brain ($\sim 2 \text{ cm}^{-1}$ [71–74]), as an approximation of this coefficient in sciatic nerve (SN), the penetration depth at 1470 nm is estimated $\sim 190 \mu\text{m}$ [75].

While most animals investigated in the literature are warm-blooded vertebrates selected for their physiological similarity to humans, there are other examples as well. In the context of INS, the studies can be classified in three main groups. (a) *In-vivo* experiments in: humans [60], rats [36, 43, 44, 48–50, 53, 55], gerbils [40, 41, 45–47, 52, 54] and quails [76]. (b) *Ex-vivo* experiments in: rats [77], lobsters [37], aplysia [55], rabbits [78], bullfrog [79] and murine [80]. (c) *In-vitro* experiments in: hippocampal and cortical neurons from rat [81, 82], untransfected HEK-293 T cells, *X. laevis* oocytes and artificial lipid bilayers [67]. This work focused on *ex-vivo* rather than *in-vivo* experimentation for several reasons. First, an *ex-vivo* setup provides a better control of parameters such as the surrounding temperature. Second, unwanted biological interactions such as stimulus triggered reflex activity are eliminated which simplifies the interpretation of results. Third, much better physical access to the preparation is possible and necessary if different exploration modalities are required (e.g. microscopy, electrical and/or optical stimulation). Fourth, the absence of anaesthetic drugs eliminates the potential interference with the nerve physiological state.

2. Materials and methods

All experiments were conducted at the Institute of Neuroscience (IONS), Université Catholique de Louvain (UCL), Belgium, an animal care facility accredited by the Animal Care Service of the Federal Public Department. This work fully complies with a protocol approved (2019/UCL/MD/007) by the ethics committee for animal experimentation at UCL, in agreement with the ETS 123 European Convention.

2.1. Nerve dissection and preparation

Twenty-two Male Wistar rats $300 \text{ g} \pm 60 \text{ g}$ obtained from the local breeding facility at the UCL were used. The animals were housed under a 12 h day/night cycle with controlled temperature and humidity, and

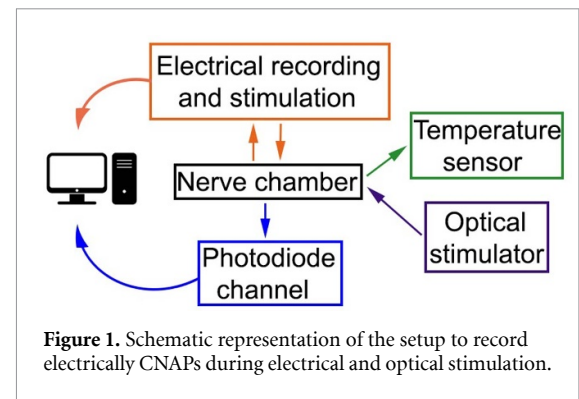
ad libitum access to food and water. The anaesthesia was performed using Sevoflurane (6%) in oxygen (1.5 l min^{-1}). When the animal was under light sedation, an intraperitoneal injection of Ketamine (60 mg kg^{-1} body weight) and Xylazine (7 mg kg^{-1} body weight) was administered. Anaesthesia was maintained by boosting injections of Ketamine (60 mg kg^{-1} body weight) until the animal was sacrificed. Depth of anaesthesia was checked by the withdrawal reflex to noxious paw stimulation every 10 min. The surgery began with a skin incision on the lateral side of the hind limb from the knee to the hip region. The SN was exposed after blunt dissection between the gluteus superficialis and biceps femoris muscles. To prevent drying during the surgery, the SN was regularly wetted with Hepes-buffered oxygenated Krebs Ringer solution (140 mm NaCl , 5 mm KCl , 2 mm CaCl_2 , 1 mm MgCl_2 , 5.5 mm HEPES , 11 mm D-glucose , pH 7.3, Fisher Scientific, Belgium) at room temperature. After the nerve had been carefully dissected free of surrounding tissue, a piece of suture thread was tied around it as close as possible to the knee joint at one end and near the spinal column at the other end. Then the nerve was cut free beyond the sutures leaving a 25–30 mm long nerve segment tied on each extremity. The ties avoid cytoplasm leakages and allow to gently handle the nerve by holding both suture threads. Thereafter, the nerve was placed into a 50 ml plastic tube with oxygenated Krebs–Ringer solution at room temperature for 20 min. The solution was gassed with pure oxygen (3 l min^{-1}) for 120 min at room temperature during nerve dissection, so that the nerve could recover before CNAPs recordings.

2.2. Setup overview

The schematic representation of the setup (figure 1) to record CNAPs during electrical and optical stimulation includes: a nerve chamber (NC), an optical stimulator, an electrical stimulator (for control purpose), the recording channel, a photodiode channel used for synchronisation, and a temperature sensor (Data acquisition 34 970 A, Keysight Technologies, USA). The output of the electrical recording channel was digitised at 200 kHz using a DAQ (6212 USB—16 bits, National Instrument Corp., USA) and processed using MATLAB R2018 (MathWorks, Natick, USA).

2.3. NC

The NC was designed in Solidworks 2018 (Solidworks Corp., USA) and built from a photopolymer resin (Vero) by a 3D printer (Objet Eden260VS, Stratasys). The NC can hold the nerve, the electrodes and the optical fibre. The design is presented in figure 2(A). The channel (a) is used to host the nerve, the vertical channel (b) to place the optical fibre for stimulation, and the diagonal channel (c) for housing the photodiode needed to detect the stimulation pulses



(see section 2.7). The photodiode is positioned at 4 cm from the sample and at an angle of 30° with respect to the optical fibre. Grooves made to host the electrodes (d) are separated by 1.4 mm (centre-to-centre).

2.4. Electrical stimulation and recording

The (custom-made) electrophysiological system is composed of a current-controlled stimulator and one amplifier. The stimulator generates biphasic square current pulses (negative pulse of 0.2 ms, then a zero-current pulse of $10 \mu\text{s}$ and finally a recovery pulse of 0.2 ms with opposite amplitude) ranging from 10 to $500 \mu\text{A}$. In the recording channel, the amplifier includes a preamplifier (gain: 100), an amplifier (gain: 26), an isolation stage (gain: 0.3) and a filtering stage (first order filter), with a 10–8000 Hz bandwidth. The overall resolution of this channel is $0.20 \mu\text{V}$ with a dynamic range of $\pm 3.46 \text{ mV}$, both referred to the input. The observed root mean square noise is $0.43 \mu\text{V}$ also referred to the input.

2.5. Electrodes disposition

Five electrodes (99.99% silver wire, diameter $250 \mu\text{m}$, Sigma Aldrich, Belgium) are used: two of them for stimulation (anode (1) and cathode (2)) and three of them for recording (GND (3), CNAP+ (4) and CNAP– (5)). To minimise the stimulation artefact, the stimulating and recording electrodes are separated by the ground electrode (GND), used as a shield (figure 3). The distance between the stimulating and recording electrodes (2 and 4) is 21.4 mm. The distance between the optical fibre (green dot) and the recording electrode (4) is 15.4 mm. Before the experimentation, the electrodes were cleaned several times with ethanol 70% and rinsed with distilled water.

2.6. Optical stimulator

The optical stimulator is a NeoV 1470 nm (Neo-Laser) originally developed for endovascular applications. The stimulation pulses were delivered to the sample by a multimode fibre (numerical aperture 0.22, core diameter $400 \mu\text{m}$, Neo Laser), positioned perpendicular on top of the SN and in contact with it. The power and duration of the optical stimulation monophasic square pulses can vary between

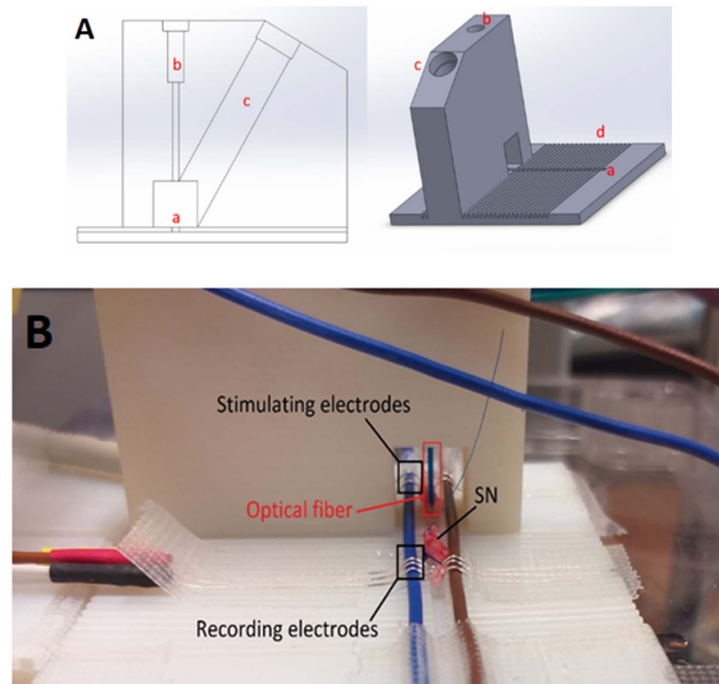


Figure 2. (A) Diagram of the nerve chamber. (a) Hole to host the nerve. (b) Optical fibre holder. (c) Hole to host the photodiode. (d) Grooves to host the electrodes. (B) Picture of the chamber with the nerve, the stimulating and recording electrodes and the stimulating optical fibre. The blue and brown wires help to shape the electrodes.

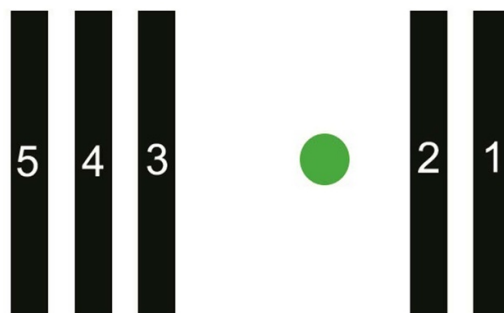


Figure 3. Diagram of the distribution of the stimulating (1, 2) and recording electrodes (3, 4, 5) in the nerve chamber. 1: Anode. 2: Cathode. 3: GND. 4: CNAP + . 5: CNAP.

1 and 10 W (steps of 0.1 W) and 100 μ s–30 ms (steps of 100 μ s, except 300 μ s), respectively. The optical stimulator can provide stimulation rates in the range of 0–50 Hz. A predefined sequence of identical pulses was triggered using a pedal switch connected to the laser driver. Before each experiment, the power at the output of the stimulating fibre is measured using a powermeter (13PM001, Melles Griot, Germany). Then, the optic fibre is placed within the NC to start the recordings. Note that in the results section, we always report the peak power delivered to the sample (measured with the powermeter). The power and pulse width used during optical stimulation, determines an energy/pulse in the range of 1–6 mJ.

2.7. Synchronisation module

The precise timing of each stimulation pulse was recorded using a synchronisation module. This module is based on a photodiode (FGA10, InGaAs, 10 ns rise time, 900–1700 nm, Ø1 mm active area, ThorLabs) that detects individual optical stimulation pulse. It is connected to a passive circuit with a bandwidth of 430 kHz and generates a voltage signal proportional to the incident optical power. A real time threshold detection algorithm recovers the synchronisation timing for each laser stimulation of the nerve.

2.8. Computation of the deposited energy

In the literature about INS, the amplitude of the optical excitation, as well as the activation and damage thresholds are usually reported in terms of the radiant exposure (J cm^{-2}) [36, 38, 44, 45, 61]. However, as the underlying mechanisms leading to neural tissue stimulation is still poorly understood, we also report the pulse energy (mJ) as in [58]. The radiant exposure was calculated from the measured power and assuming a spot size diameter identical to the core fibre diameter (400 μ m). This estimation is supported by the fact that the multimode optical fibre is in contact with the nerve.

2.9. Data processing

Potential CNAP were first identified in the average of the unfiltered data (48–100 samples) over the full sequence. A CNAP was considered as potentially genuine when the filtered wave amplitude reached twice the background level (signal to noise ratio

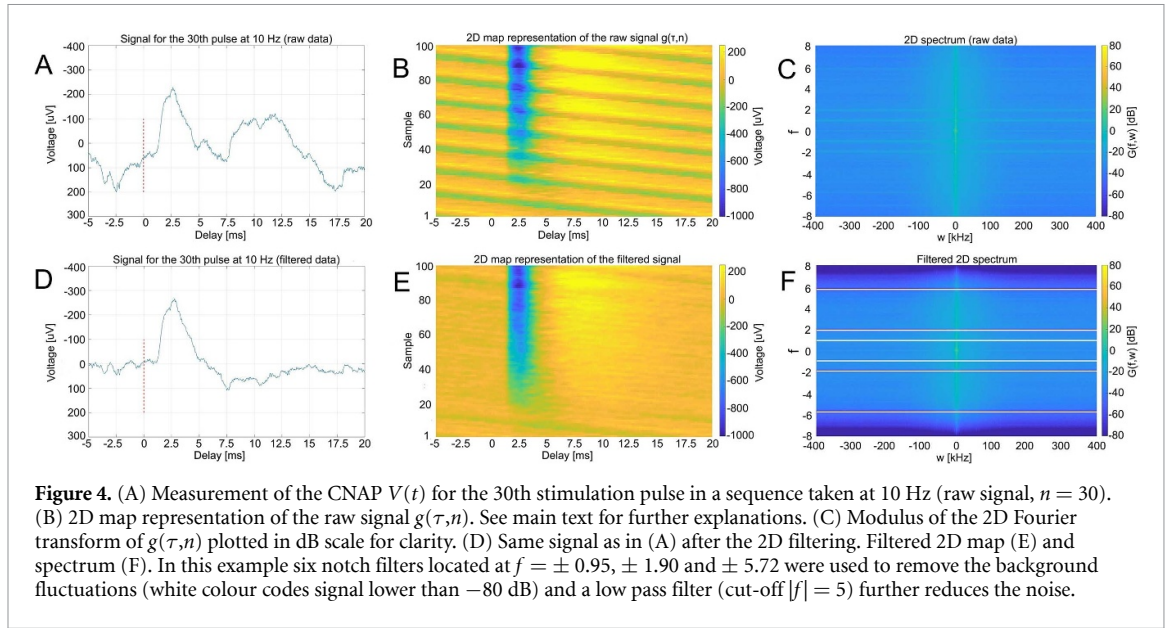


Figure 4. (A) Measurement of the CMAP $V(t)$ for the 30th stimulation pulse in a sequence taken at 10 Hz (raw signal, $n = 30$). (B) 2D map representation of the raw signal $g(\tau, n)$. See main text for further explanations. (C) Modulus of the 2D Fourier transform of $g(\tau, n)$ plotted in dB scale for clarity. (D) Same signal as in (A) after the 2D filtering. Filtered 2D map (E) and spectrum (F). In this example six notch filters located at $f = \pm 0.95, \pm 1.90$ and ± 5.72 were used to remove the background fluctuations (white colour codes signal lower than -80 dB) and a low pass filter (cut-off $|f| = 5$) further reduces the noise.

(SNR) ≥ 2). Confirmation was obtained by the comparison between the separate averages of odd and even samples of unfiltered data.

The validation phase of the set-up revealed that electromagnetic interferences from the environment, picked up by the recording electrodes and the electronic circuit, adds a non-negligible periodic background on the signal. This prevents small CMAPs to be accurately measured. In order to reduce these interferences, different procedures were performed. The noise sources in the set-up (circuits and cables) were shielded. The laser source was placed at 2 m (i.e. the maximum possible distance) from the set-up and all circuits were battery powered. However, even with these measures, the measurements still suffered from external perturbations. Because of this and the variability among recordings, we resorted successfully to a common digital imaging technique used for periodic noise reduction [83]. This technique could be of interest for research groups facing the same issue of large periodic background from external interferences.

As an example, a typical signal is shown in figure 4(A). It can be seen that the CMAP, corresponding to the peak around 2.5 ms, has an amplitude comparable with the background fluctuations. This background signal could in principle be numerically filtered in the one-dimensional signal $V(t)$, recorded for the full-stimulation sequence, with several notch filters located at the harmonics of its fundamental frequency. However, the background signal (which varies between the experiments), the periodic stimulation as well as the shape and duration of the CMAP, make this procedure difficult. The CMAP can, however, be more easily seen in its two-dimensional (2D) map representation $g(\tau = t - nT, n) = V(t)$ for $(n - 1)T \leq t < nT$, where T is the time duration between two stimulation pulses and n is an integer

that corresponds to the order of the pulse in the sequence (see figure 4(B)). In this 2D map, the signal in each row is synchronised with the stimulation pulse. The CMAP is thus expected to be aligned with the vertical axis (see the vertical dark blue band around 2.5 ms in figure 4(B)) and should thus be considered as the delay to the stimulation pulse. Conversely, the background appears as ‘tilted fringes’ because of the difference between its fundamental frequency and the excitation frequency. In the 2D Fourier transform of $g(t, n)$, $G(w, f)$, the spectral signature of the background can clearly be identified as peaks located at $f \neq 0$. In our example, the peaks at $f_{1\pm} \approx \pm 0.95$, $f_{2\pm} \approx \pm 1.9$, and $f_{3\pm} \approx \pm 5.72$, seen as horizontal bands in $|G|$ plotted in decibel scale, carry most of the background signal (see figure 4(C)). These peaks are filtered with super-Gaussian notch filters of the form $1 - \exp[-\{(f - f_0)/\Delta f\}^{-6}]$ to remove the background (see figure 4(F)). A low-pass super-Gaussian filter is also applied to remove frequencies higher than $|f| = 5$ as they do not significantly contribute to the CMAP. Figure 4(E) shows the 2D map of the signal after this filtering procedure.

The filtered signal $V(t)$ corresponding to the trace in figure 4(A) is plotted in 4(D). We can see that the amplitude of the background has been reduced without significantly affecting the CMAP, revealing for instance the recovery period. Moreover, while the CMAP was very difficult to identify in the unfiltered data, it is clearly visible in the filtered signal (figure 4(D)). Due to the variability of the environmental perturbations and the amplitude of the noise with respect to the CMAP, the position and the width of the notch filters and the cut-off frequency of the low-pass filter were adapted to filter the signal as best as possible without blurring it too much

(as reported in corresponding Result sections). For very small CNAPs, the SNR was further enhanced by taking the average of the filtered signal over several stimulation pulses. The amplitude of the CNAPs was measured by subtracting in the filtered data, the peak value and the mean base line 5 ms before the electrical (optical) stimulus onset. The conduction velocity was calculated by dividing the distance from the optical fibre (or cathode) to electrode 4; and the latencies measured between the stimulus synchronisation pulse and the CNAP onset.

2.10. Stimulation protocol

After nerve dissection and recovery in the solution, the SN was placed in the NC with the optical fibre and the electrodes in contact with its surface. The temperature sensor was located 1 mm away from the optical stimulation site within the bathing fluid (Krebs Ringer). To maintain the sample hydration, drops of oxygenated Krebs Ringer solution at room temperature was applied on the SN using a syringe. First, a set of two biphasic current pulses at each amplitude level in the range 10–500 μA was applied to obtain an electrical recruitment curve and verify the state of the nerve. Optical stimulation settings in terms of number of pulses, power, pulse duration or stimulation rate were adapted for the needs of each experiment and detailed in each corresponding results subsection. Trains of optical pulses instead of individual pulses were used with the intention to look at individual responses as a function of their rank in the train. In addition, these trains were also, useful to improve the signal-to-noise ratio through averaging. In all experiments, the power at the output of the fibre was measured before each recording and the temperature of the nerve measured before and after each train of optical stimulation pulses. Moreover, the nerve's responsiveness was tested after every set of two trains of optical stimulations by applying two electrical biphasic pulses of 300 μA and 0.2 ms phase⁻¹.

3. Results

Twenty-two rats were used in this study. Twelve of them were discarded, because of unsuccessful surgery or technical problems (five rats) or because response was obtained to electrical stimulation but no to optical stimulation (seven rats). In ten rats, CNAPs were recorded successfully on electrical and optical stimulations. Results from three rats, believed to be the most representative examples, are shown as illustration.

3.1. Stimulation thresholds

The stimulation threshold for neural activation was explored by exciting the SN with trains of 48–100 optical pulses at 10 Hz with a power and duration starting at 10 W and 100 μs , respectively.

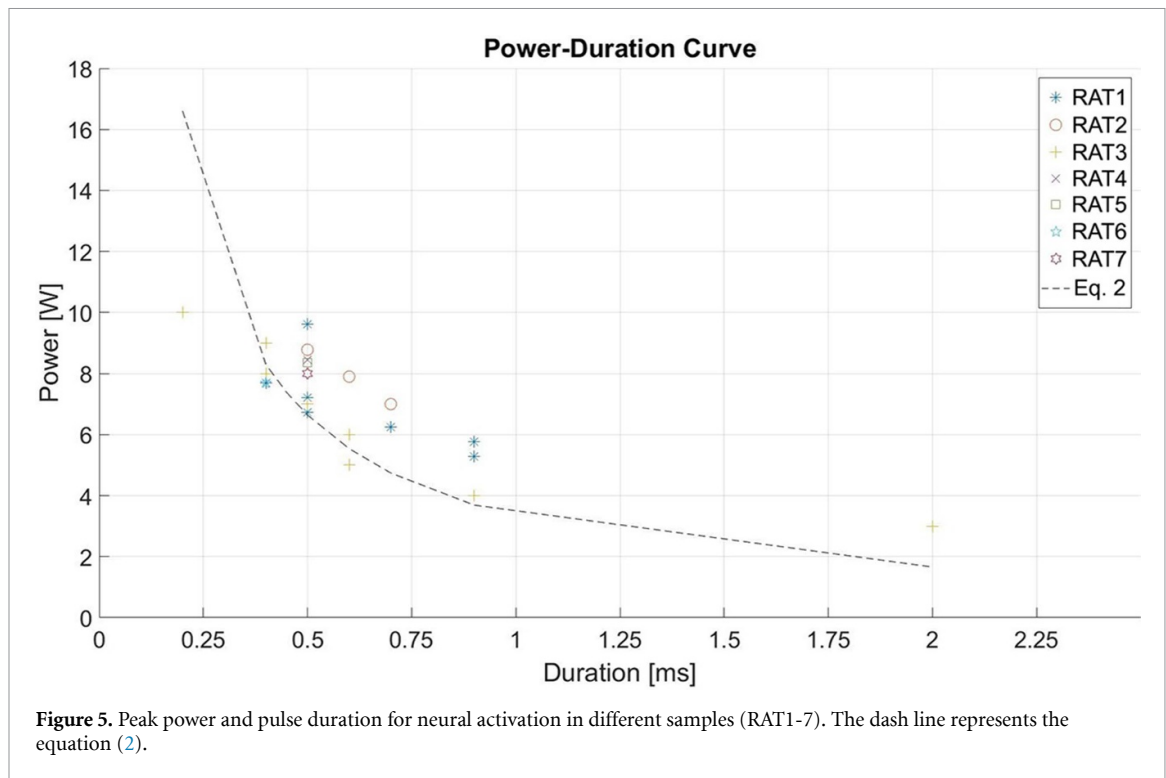
Table 1. Stimulation threshold.

RAT	Mean radiant exposure (J cm^{-2})	Mean energy (mJ)
1	3.31 ± 0.60	3.97 ± 0.71
2	3.84 ± 0.07	4.60 ± 0.08
3	2.83 ± 0.83	3.39 ± 1.0
4	3.36	4.03
5	3.33	3.99
6	2.45	2.94
7	3.20	3.84
Mean $\pm$ Std	3.16 ± 0.68	3.79 ± 0.82

These optical parameters determine a safe starting radiant exposure below 1 J cm^{-2} [42, 50, 55, 58]. This choice was motivated because short high-power pulses are more effective for stimulation [58] and short pulses better comply with thermal confinement regime [42, 46].

The power was kept constant and the pulse duration increased in steps of 100 μs , until the threshold was reached. The power was then reduced in steps of 1 W and the pulse duration was increased by 100 μs , repeating the process until the threshold was obtained for all power/duration combinations. Three rats (RAT1-3) were used for this experimentation with the protocol described above. In addition, we report threshold values of RAT4-7 (used in other sections of this work), as a complementary information. The mean radiant exposure and energy required for neural activation are shown in table 1. The values contributing the mean radiant exposure for each sample were plotted as a function of two stimulation parameters: peak power and pulse duration (see figure 5).

The confinement of the laser energy in both space and time is critical for optical stimulation efficiency [46]. In the thermal confinement condition, the laser pulse is sufficiently short for the optically deposited energy to activate the nerve before the heat diffuses out of the optical area. The theory predicts that pulse durations must reach up to hundreds of milliseconds before breaking the confinement condition [84]. However, Izzo *et al* showed in auditory neurons that heat diffusion starts being noticeable with pulse width greater than 300 μs [46]. Furthermore, Wells *et al* obtained experimental evidence in rat SN at 2120 nm, in which that heat diffusion starts to play a role on a shorter time scale, causing the efficiency of the CNAP generation to be considerably reduced for pulses longer than 10 ms [42]. In our study, the optical penetration is similar to [42], while the pulse duration in all the experiments is at least five times shorter. We can therefore assume to be in the spatiotemporal thermal confinement condition. Based on the above considerations, the instantaneous temperature rise, just after a stimulation pulse, can be estimated by [46]:



$$T(z, 0) = \frac{\mu a \times H(Z)}{\rho \times c} = \frac{\mu a \times P \times t}{\rho \times c \times A} \quad (1)$$

where μa is the absorption coefficient of water at 1470 nm (30.6 cm^{-1} [70]), $H(Z)$ is the radiant exposure in function of the distance Z , $H(Z) = H(0) * e^{-Z/\delta}$, with δ the optical penetration depth ($\sim 190 \text{ }\mu\text{m}$), ρ is the density (1030 kg m^{-3} for most soft tissues), c is the specific heat of the tissue with a value of $3600 \text{ J kg}^{-1} \text{ }^\circ\text{C}^{-1}$, P is the power, t is the pulse duration and A is the optical beam area (0.12 mm^2). If we consider the mean radiant exposure required for reaching the threshold ($3.16 \pm 0.68 \text{ J cm}^{-2}$) to be equal at different power and duration combinations (i.e. confinement region), we can predict the instantaneous temperature rise at the nerve surface ($26.1 \pm 5.6 \text{ }^\circ\text{C}$). If the averaged thickness of the epineurium and perineurium combined ($\sim 90 \text{ }\mu\text{m}$) is taken into account [85], the estimated temperature rise at the level of the first nerve fibres is $16 \pm 3.5 \text{ }^\circ\text{C}$. Being in a spatiotemporal confinement condition, we can expect a constant relationship between power and pulse duration to stress the aforementioned relation:

$$P \times t = \frac{T \times A \times \rho \times c}{\mu a}. \quad (2)$$

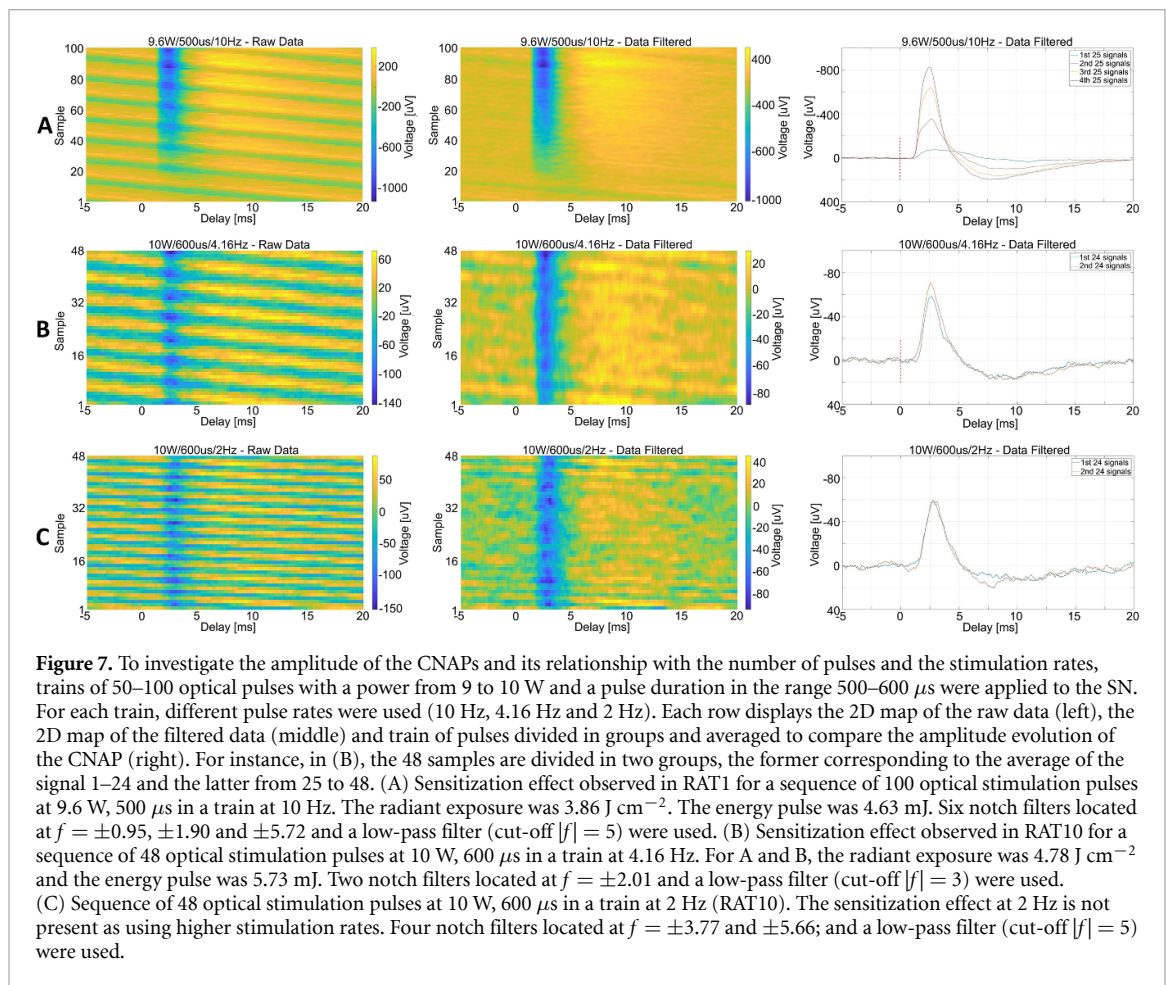
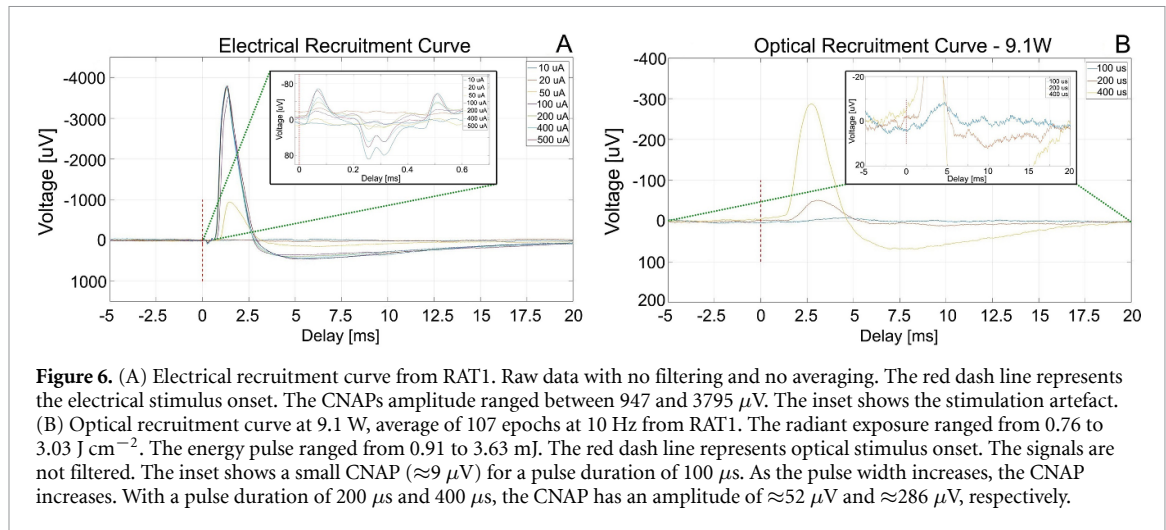
This equation (see dash line in figure 5) is compatible with the general trend of experimental measurements reported in the figure, though more experimentation is required, on a wider range, to validate this model.

3.2. Optical recruitment curve

The optical recruitment curve of the SN was obtained by stimulating with trains of 48–110 optical pulses at 10 Hz with powers in the range 9–10 W and pulse durations starting at $100 \text{ }\mu\text{s}$ up to $600 \text{ }\mu\text{s}$, with incremental steps of $100 \text{ }\mu\text{s}$. The stimulation was stopped when a CNAP was first noticeable (see section 2.10) and without exceeding a radiant exposure of 5 J cm^{-2} . Figure 6(A) shows in RAT1 the response to a set of electrical pulses, with no filtering nor averaging. As expected, the CNAP does not appear at the lowest stimulation amplitude levels (below $20 \text{ }\mu\text{A}$), then becomes visible and reaches a plateau at the highest stimulation amplitudes (above $50 \text{ }\mu\text{A}$). Recorded CNAP amplitudes in all the experiments ranged from 16.4 to $3795 \text{ }\mu\text{V}$. Figure 6(B) shows the optical recruitment curve for the same rat at a fix power of 9.1 W and pulse width ranging from 100 to $400 \text{ }\mu\text{s}$ for a stimulation rate of 10 Hz . As expected, the CNAP has a small amplitude at low stimulus duration ($100 \text{ }\mu\text{s}$) and grows as the pulse width increases (200 – $400 \text{ }\mu\text{s}$). Recorded CNAP amplitudes ranged from 1 to $938 \text{ }\mu\text{V}$. It is important to notice that the electrical stimulation artefact is visible in figure 6(A) while totally absent in figure 6(B) despite the high gain.

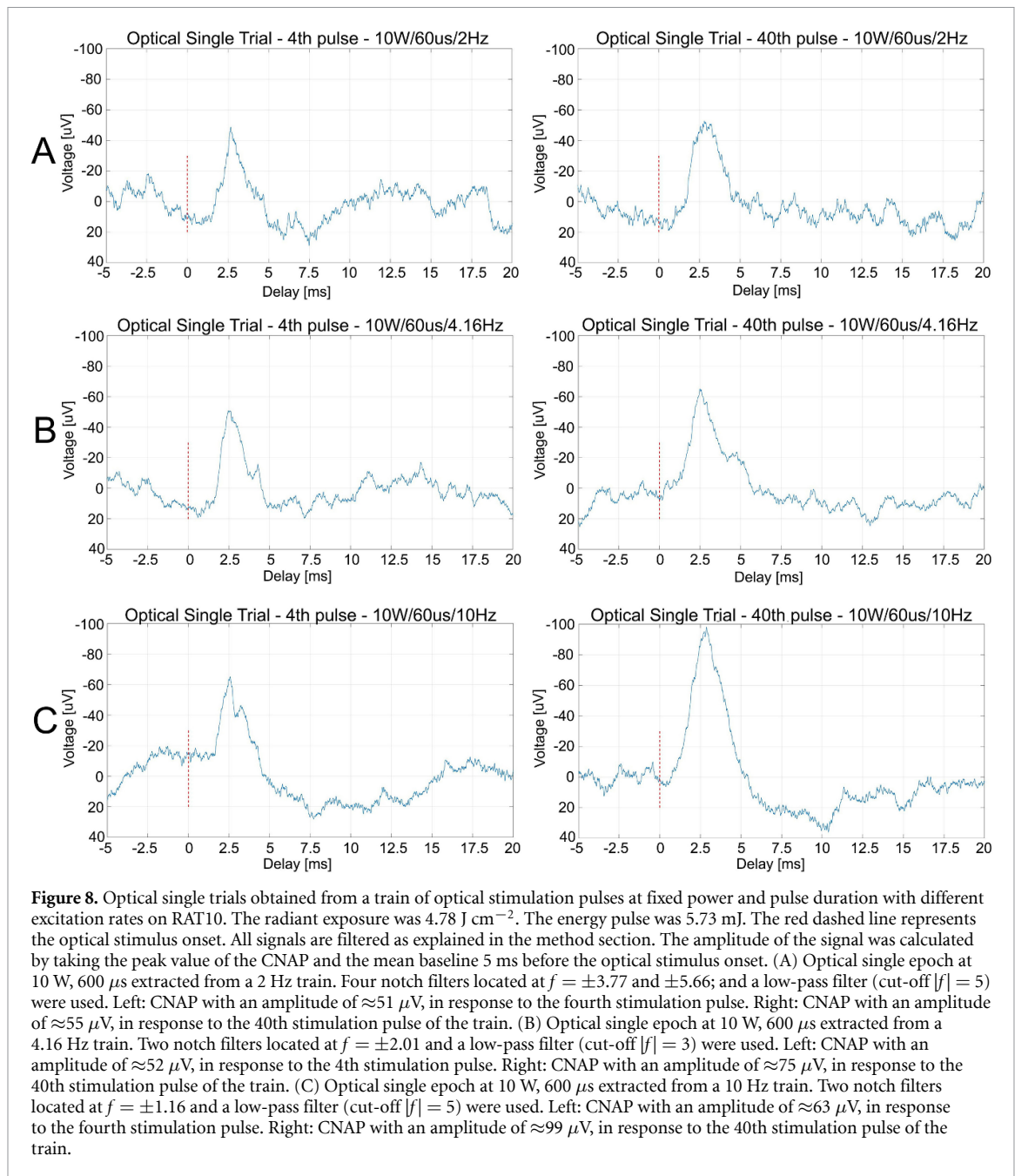
3.3. Sensitization effect

We found evidence of a ‘sensitization effect’, in which the CNAPs amplitude (proportional to the number of activated fibres) becomes larger when the optical stimulation is preceded by other optical pulses. This phenomenon was observed in eight rats, at different powers in the range of 7 – 10 W , for pulse durations from 200 to $700 \text{ }\mu\text{s}$ and stimulation rates



4.16 Hz and 10 Hz. RAT1 and RAT10 believed to be most representatives are shown as illustration of this effect. In figure 7, we show three types of graphs for each row: the 2D map of the raw data (left), the 2D map of the filtered data (middle) and train of optical pulses divided in 2–4 groups, filtered and averaged, to visualise the evolution of the signal amplitude (right). These approaches show an increasing amplitude of the CNAP as the sequence of stimula-

tion pulses rise. Furthermore, the stimulation rate has an impact on this trend, being higher at 10 Hz than at 4.16 Hz while at 2 Hz, we did not find evidence of sensitization. In addition, the temperature change ΔT (measured 1 mm apart from the optical fibre) increased differently based on the stimulation rate. For instance, in RAT10, we measured ΔT equals to 1.16 ± 0.16 $^{\circ}\text{C}$ at 10 Hz, 1 ± 0.2 $^{\circ}\text{C}$ at 4.16 Hz and 0.3 ± 0.2 $^{\circ}\text{C}$ at 2 Hz.



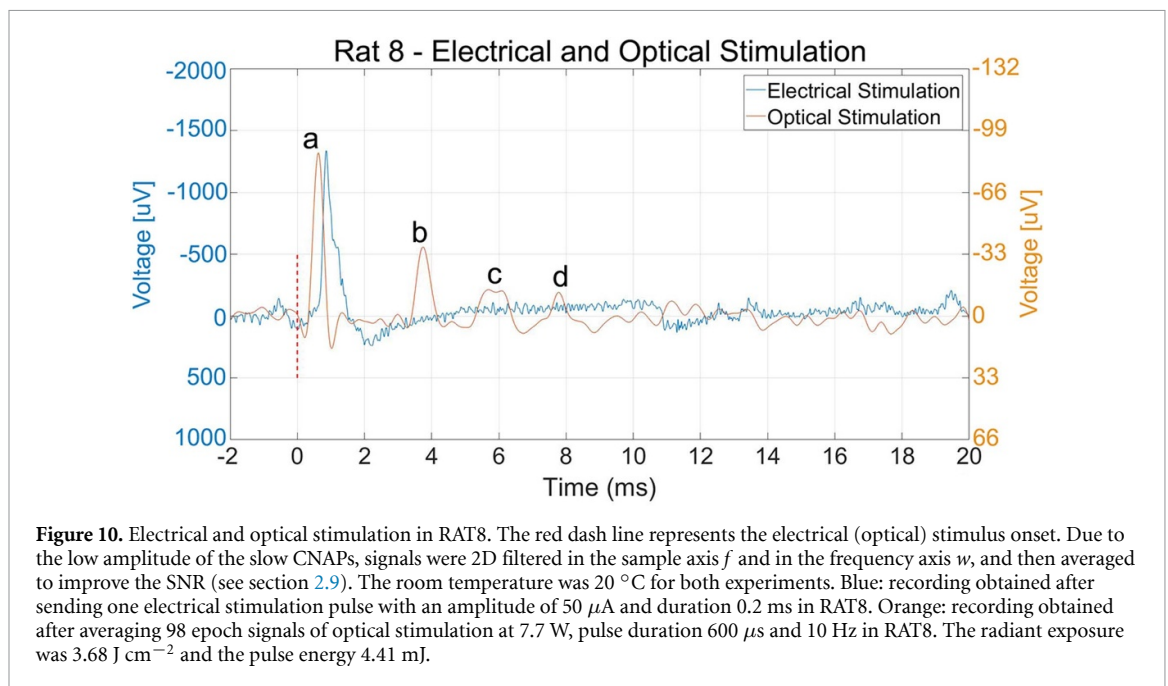
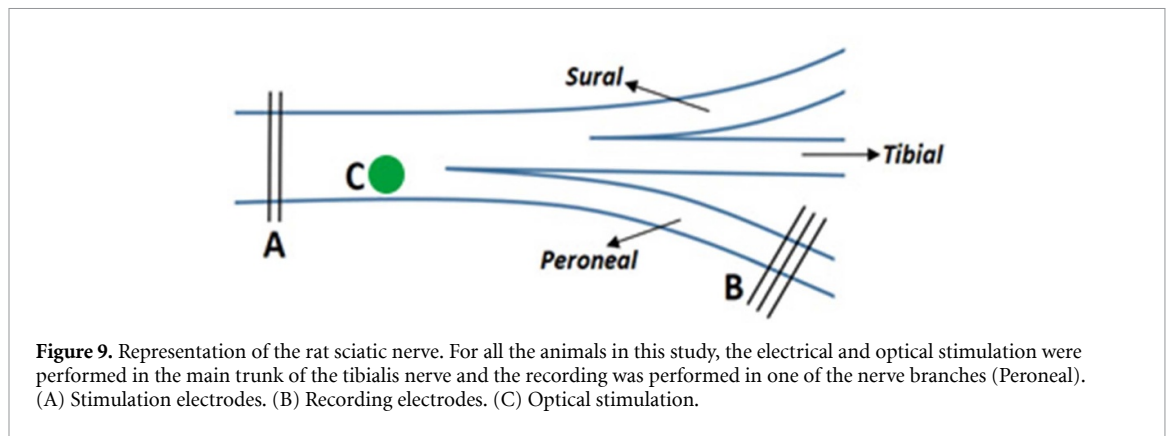
3.4. Optical single shot

CNAPs with $\text{SNR} \geq 2$ after filtration were obtained in response to single optical pulse in the ten rats at different powers in the range of 3–10 W with pulse duration from 200 to $2000 \mu\text{s}$ and rates (2 Hz, 4.16 Hz and 10 Hz). Figure 8 shows single pulse e-epochs CNAPs obtained in RAT10 at the beginning (4th) and at the end (40th) of each train of 48 pulses 10 W/ $600 \mu\text{s}$ at those three stimulation rates.

3.5. High spatial resolution—fibre selection

Preliminary trials also pointed towards the high spatial resolution and fibre selectivity achievable with optical stimulation. In eight rats, CNAPs were composed of a single peak. On the contrary, in two samples, we observed clear evidence of signals with

different conduction velocities. It is important to highlight that CNAPs obtained in response to electrical and optical stimulations were acquired with the same recording electrodes, and are thus considered as originating from the same branch of the nerve (figure 9). Yet, they differed in the nerve response and CNAP conduction velocities. Figure 10 shows results for RAT8. The electrically evoked CNAP reached an amplitude of $1317 \mu\text{V}$ and the estimated conduction velocity is 68 m s^{-1} . For the same rat, after optical stimulation, four successive peaks were distinguished with various amplitudes (a: $85 \mu\text{V}$, b: $34 \mu\text{V}$, c: $11 \mu\text{V}$ and d: $10 \mu\text{V}$) and conduction velocities (a: 70 m s^{-1} , b: 4.7 m s^{-1} , c: 3 m s^{-1} and d: 2.3 m s^{-1}). In RAT7, a similar signal was observed for the electrically evoked CNAP. For the same rat, after optical stimulation, five



successive peaks were distinguished with amplitudes (a: 60 μ V, b: 56 μ V, c: 30 μ V, d: 19 μ V and e: 22 μ V) and conduction velocities (a: 72 m s⁻¹, b: 5 m s⁻¹, c: 2.9 m s⁻¹, d: 2.2 m s⁻¹ and e: 1.5 m s⁻¹).

4. Discussion

Electrical stimulation has been the gold standard for neuroprosthetics and neuromodulation devices [86]. However, this approach has shown limitations in therapeutic applications. For instance, the current spreading in tissue does not allow precise stimulation targeting, leading to a reduced resolution, in particular for spinal cord stimulators [87] as well as retinal and cochlear implants [88, 89]. Even high contact density electrodes are restricted by the safety limit of high current density [90]. Penetrating electrodes are often not an alternative because of the traumatic nerve damage [91]. Additionally, non-myelinated c-fibres have a high threshold to electrical stimulation, thus remaining out of reach

in most clinical neuromodulation applications such as in vagus nerve stimulation. Optical stimulation may overcome these drawbacks, by targeting small areas in the order of hundreds of micrometres without any tissue-electrode interface and probably characterized by a different fibre recruitment order.

In this study, we report CNAPs elicited by INS in *ex-vivo* rat sciatic nerve with a laser source operating at 1470 nm. This animal model was used due to the anatomical and physiological similarities with humans, having in mind a potential clinical application as well as a good candidate to be used in a laboratory facility. The radiant exposures for stimulation threshold obtained span from 2.48 to 3.84 J cm⁻². Table 2 shows the radiant exposure for stimulation threshold reported in literature for SNs at different wavelengths. To explain the large variability among wavelengths and with *in-vivo* vs. *ex-vivo* experiments, we suggest several hypotheses.

First, from table 2, considering the literature data about thresholds to stimulation with similar beam

Table 2. INS threshold for rat and rabbit sciatic nerve activation (thermal confinement regime).

Reference	λ (nm)	Animal	Experiment	Beam size (mm ²)	Radiant exposure (J cm ⁻²)	Energy pulse (mJ)
Wells [44]	2120	Rat sciatic nerve	<i>In-vivo</i>	0.5–1	0.32 ± 1	1.6 ± 0.5 3.2 ± 1.0
Wells [43]	2120	Rat sciatic nerve	<i>In-vivo</i>	1.2	0.4	4.8
Duke [50]	1875	Rat sciatic nerve	<i>In-vivo</i>	0.36	1.7 ± 0.3	6.0 ± 1.1
Duke [56]	1875	Rat sciatic nerve	<i>In-vivo</i>	0.24	2.0 ± 0.4	4.9 ± 1
Duke [55]	2120	Rat sciatic nerve	<i>In-vivo</i>	0.12	1.12	1.34
McCaughey [51]	1495	Rat sciatic nerve	<i>In-vivo</i>	0.12	3.7 ± 2.8	4.4 ± 3.4
	2120		<i>In-vivo</i>	3.80	0.45 ± 0.05	19 ± 1.9
Peterson [58]	1875	Rabbit sciatic nerve	<i>In-vivo</i>	0.12	1.98	2.5
Throckmorton [62]	1450	Rat sciatic nerve	<i>In-vivo</i>	0.28	≈1	≈2.8
	1470					
	1875					
	2120				≈0.7	≈1.96
Dautrebande [38]	1470	Rat sciatic nerve	<i>In-vivo</i>	0.031	0.05–0.42	0.15–1.32
This study	1470	Rat sciatic nerve	<i>Ex-vivo</i>	0.12	3.16 ± 0.68	3.79 ± 0.82

Table 3. Absorption coefficient in water and fat.

λ (nm)	μ_a water (cm ⁻¹)			μ_a fat
	[92]	[93]	[94]	(cm ⁻¹)[95]
1470	30.60	24.82	28.61	0.14
1490	24.92	19.41	22.63	0.14
1870	28.85	22.63	34.82	2.00
2120	26.62	24.01	24.92	20.0

size, it can be stated that higher wavelengths require lower mean radiant exposures. For instance, the mean radiant exposure at 2120 nm is 1.12 J cm⁻², at 1875 nm is 1.98 J cm⁻², at 1495 nm is 3.7 J cm⁻² and at 1470 nm is 3.16 J cm⁻². To explain this difference, we hypothesise that another chromophore besides water, such as lipids (main constituent of the nerve membrane), could play a role in the efficiency of energy accumulation and confinement in INS. Table 3 summarises literature data about the absorption coefficient in fat at the wavelengths listed above. At 1870 nm and 2120 nm, the μ_{afat} is ≈14 and ≈142 times higher than at 1470 nm and 1490 nm. This could therefore at least partially explain, the decrease of energy threshold with longer wavelengths.

Second, while *in-vivo* studies using the same wavelength show similar radiant exposure for activation, comparing *in-vivo* (and usually through the motor response) with *ex-vivo* (nerve response) leads, as expected, to a much large variability. In these studies, compound muscular action potentials (CMAPs) were recorded instead of CNAP. In this regard, CMAPs are at least one order of magnitude larger than CNAPs.

Furthermore, motor nerve fibres (large myelinated fibres about 10–12 μ m diameter) are much easier to record from muscles. This may support the fact that Dautrebande *et al* could not measure any CNAP while

CMAP were successfully obtained during the recordings [38]. In line to this, Cargill *et al* 2008 has also claimed dissimilarities between *in-vivo* and *in-vitro* experiments of murine SN [80]. Third, Throckmorton *et al*, showed that radiant exposures to reach the threshold at 2120 nm were lower to those for diode laser at 1450 nm and 1470 nm, due to an initial microsecond high-power spike at the beginning of the excitation pulse in the Ho:YAG laser [62]. In this respect, we recommend to perform an analysis with different laser sources at different wavelength, with the same experimentation type (i.e. *in vivo* or *ex vivo*, motor or nerve response, etc), to focus only on the impact of the wavelength and the pulse profile. Also, regarding table 2, the energy requirements for neural activation during electrical and optical stimulation may differ due to the difference in the underlying mechanism for each approach. For instance, in our study, the energy required to evoke a CNAP electrically (~1–2 nJ) is much lower than the one required to evoke a CNAP optically (see table 2). To compute this energy, we considered the current range to reach the threshold (20–50 μ A), the stimulation and recovery pulse width (200 μ s + 200 μ s) and the nerve impedance between the stimulating electrodes. The nerve impedance measured (0.77 k Ω mm⁻¹) was in agreement with the literature [96, 97]. Electrical stimulation seems a more energy-efficient process, however, it is constrained by the low spatial resolution and relatively low allowable current density. Optical stimulation may overcome these limitations by depositing most of its energy in specific sections of the tissue.

We found evidence of a sensitization effect in INS, in which the number of activated fibres becomes larger when the optical stimulation pulse is being repeated. This phenomenon was observed at 4.16 Hz and 10 Hz. In some experiments a saturation effect was detected, in which the CNAPs amplitude did not

increase after a given number of stimulation pulses (figure 7(A)). Moreover, while at 10 Hz the increasing trend of the CNAPs amplitude was always noticeable, in some rats this effect was bigger than in others. For example, for the same stimulation rate, the sensitization is more important in RAT1 (figure 7(A)) than in RAT10 (figure 8(C)). For 2 Hz, we found no evidence of CNAPs amplitude dependence on the ranking order as seen in figure 7(C). We believe this sensitization effect arises from the increase in temperature due to the energy deposition during stimulation. This increase was directly confirmed by monitoring the local temperature. In line to this, there is evidence of an increase in the force response of the plantar-flexor muscle from rats, to both electrical and hybrid stimulation when the nerve has been preconditioned with an optical pulse [56]. This work suggested that optical stimulation increases the nerve's baseline temperature, thus enhancing the nerve's excitability to electrical stimulation in that region. At least four hypothetical mechanisms of sensitization linked to temperature can be proposed:

- heat accumulation and diffusion could sensitise heat-activated channels (TRPM2 and TRPV1) of the nerve membrane [98], leading to a lower threshold and the recruitment of more fibres. In line with this proposal, Cesare *et al* suggested that temperature rise causes heat-sensitive channels in neurons to change to a more disorganised and sensitive state [99].
- peripheral sensitization, known as the increase in response of sensory nerve fibres (A- δ and C) and the decrease in the threshold to thermal, chemical and mechanical stimuli in the frame of tissue injury and inflammation [100]. Conditioning stimuli induce the release of potassium and a wide range of signalling molecules (ATP, prostaglandins, cytokines, protons and others) activating nociceptors and non-neuronal cells mediating the peripheral sensitization [101]. It has been claimed that radiant energy as low as 2 J cm^{-2} could damage tissues [36, 44], while in our work, the mean radiant exposure to reach neural activation threshold was 3.08 J cm^{-2} (see table 1 for further details).
- heat accumulation and thermal diffusion could alter the voltage sensitive ion channels, leading to a change in their kinetics and therefore in the opening and closing rates of the voltage gates [42]. The degree of temperature dependence is quantified by the Q^{10} coefficient which describes the ratio between the rate of a biological process at two temperatures separated by 10°C [102]. Opening and closing rates of voltage sensitive channels present a typical Q^{10} value in the range 2–4. The conductance increase (ionic diffusion) due to temperature shows a Q^{10} value in the range 1.2–1.4 [103]. This is well described by the Hodgkin–Huxley model where the

threshold for activation decreases due to the change in kinetics of the voltage gates.

- Shapiro *et al* obtained experimental evidence showing that infrared-induced currents are produced by a transient change in the membrane electrical capacitance after local heating [67]. Heat accumulation could produce a decrease in the membrane capacitance and therefore an increase of the voltage threshold for nerve activation.

The temperature rising in the nerve based on the excitation rate is supported by [42], in which stimulation rates less than 5 Hz are recommended to avoid heat accumulation. Our results in figure 7(C) shows that the sensitization effect is not present at 2 Hz in agreement with a lower heat accumulation in the optical zone at low rates. Therefore, we suggest that the sensitization effect results from a local increasing in temperature.

Following the assumption that neural activation takes place before heat starts to dissipate significantly [42, 46], the temperature reached at the level of the nerve fibres is expected to be proportional to the deposited energy, in agreement with [46]. However, in several multidisciplinary fields such as INS, optogenetics and optochemistry, the metric for describing neural activation and damage threshold, is the radiant exposure (J cm^{-2}). This is understandable since the spot size distributing the energy over different volumes could suggest the use of density (radiant exposure) as the main parameter. However, for a nerve fibre, point activation of a single node of Ranvier or the simultaneous activation of a whole range of nodes will produce the same all-or-nothing action potential. These discrepancies are reflected in table 2, summarising the experimental studies of INS performed in SNs in warm-blood animals. To the best of our knowledge, two studies analysed the relationship between the spot size diameter and the radiant exposure threshold (or the energy threshold). The first work provides experimental data in rat SN, suggesting that for any given wavelength, the spot size does not have an effect on the stimulation threshold [62]. The second work, modelled the nerve activity under INS, indicating that for a fixed energy and fibre-tissue distance, the temperature variation is higher for smaller spot size [104].

An important consideration for long-term applications of INS is the heat build-up. While heat accumulation over time may potentially damage the tissue, nerve exposition to relatively high temperatures can be tolerated for short amount of time. For instance, mammalian cells can survive to temperatures up to 42°C – 47°C for several seconds, while higher temperatures can only be tolerated for a limited duration [105]. Increasing the temperature has several effects on the nerve, including lowering the stimulation threshold, increasing the conduction

velocity and potentially damaging the tissue. Optical stimulation parameters such as energy density, pulse duration, excitation rate, wavelength determining the penetration and absorption depth, and thermal relaxation time have a direct impact on the increase of temperature and the duration the nerve is exposed to it. In our experiments, the nerve's base temperature was 20 °C, yielding an estimate average peak value of 46 °C, in line with other temperature rises reported in the literature [82]. While this range seems relatively high, the pulse duration is short (i.e. shorter than 2 ms) and so is the thermal relaxation time in the nerve (around 90 ms as reported in [42]). Even if we do not know precisely how long the nerve is exposed to the high temperature, the pulse duration and thermal relaxation are orders of magnitude below known damaging exposure times. This is in keeping with our observation of maintained nerve functionality for the whole duration of the experiment, while regularly assessing its healthiness through regular evoked electrical stimulations.

Wells *et al* recommended stimulation rates below 5 Hz to avoid thermal build-up [42]. Nevertheless, we suggest that a short train of optical pulses with a repetition rate higher than 2 Hz may be tolerated if compensated by a shorter train duration. In this regard, alternative means to limit heat exposure during INS, can be suggested. For instance, adequate focusing of the light beam on the functional target can save energy delivery and hence heat production. Additionally, we found that a lower radiant exposure was required for neural activation with shorter pulses (even if this corresponds to a stimulation power increase). As an illustration, with a pulse width of 200 μ s, 10 W is required to evoke a CNAP, leading to a radiant exposure of only 1.59 J cm⁻². Whereas, with a pulse width of 2 ms (i.e. much longer), 3 W is still required to evoke a CNAP, leading to a radiant exposure of 4.78 J cm⁻², i.e. much larger. As a result, we recommend a shorter high-power pulse, for a more effective stimulation as suggested by Peterson *et al* [58] and also, a short pulse will better comply with the thermal confinement regime [42, 46]. Furthermore, the energy density to reach the threshold can be reduced if a subthreshold electrical stimulus is applied before INS. This preconditioning of the nerve will require lower optical energy for stimulation [50, 56]. In agreement with [56], the sensitization effect observed in our study could precondition the nerve, i.e. decreasing the fibre threshold of both electrical and hybrid stimulation. If confirmed, optical pulses could for instance lower the electrical threshold of unmyelinated fibre (C-fibres), otherwise difficult to activate.

Strength-duration curves, similar to the optical power/duration relationship reported in this work, are commonly used to characterise the neural

electrical stimulation. Different models have been proposed in the literature to describe the electrical current needed to reach the nerve activation threshold at a given pulse duration: the Weiss–Lapicque hyperbolic equation [106] and the Lapicque–Blair exponential equation [107]. We know that while these two approaches essentially describe the electrical charging of the cell membrane capacitance [108], the same mechanism is, of course, not at stake with optical stimulation. In this case, we conjecture that a constant transient temperature change is the main factor characterising what has now become the power–duration relationship. For this proposed model, heat diffusion is not considered as a dominant factor due to the pulse duration applied to the SN (confinement region). However, as Wells *et al* suggested [42], the heat diffusion begins immediately after the laser pulse and becomes considerably higher with pulses longer than 10 ms. Therefore, we assume that within the time duration of the stimulation pulse, there must be a thermal dissipation effect. Most of INS studies were conducted in the thermal confinement regime. However, Coventry *et al* showed evidence of evoked CNAPs at 700–800 nm using very short pulses of 10 ns, i.e. in the regime of both thermal and stress confinement [77]. In this regard, neural activation may have different mechanisms at the cell membrane depending on whether the thermal or pressure confinement regime is prevailing.

The variability seen across experiments for the radiant exposure at stimulation threshold; and the sensitization effect can be explained by several factors. First, variation in heat absorption (during the stimulation pulse) and diffusion (within the time intervals between pulses of a stimulation train) based on the degree of hydration of the nerve, could lead to a higher efficiency of the stimulation, requiring lower power and shorter pulses for nerve excitation. Second, the presence or absence of connective tissue could decrease or increase the efficiency of INS. Third, variation in fibre stimulation optical thresholds. Fourth, the stimulating fibre core size and its positioning on the nerve have a significant influence, determining how spatial selective is optical stimulation. To illustrate this spatial selectivity, figure 6 shows an electrical and optical recruitment curves in which the optical CNAP amplitude is about one order of magnitude lower than the electrical one. Whereas with electrical stimulation, the current spreads around the nerve volume, thus a bigger number of nerve fibres are recruited [36, 42]; with optical stimulation, only the superficially located nerve fibres receiving the optical power will contribute to the CNAP. This amplitude difference of the CNAP between electrical and optical stimulation in our work ($\sim 90\%$) are in line with the values reported in literature at 2120 nm (60%–90%) and 4000 nm (90%) [36, 44]. To

explain this variation, we proposed three hypotheses: (a) the level of hydration in the tissue (considering water as the main chromophore in the energy transfer process); (b) the alignment of the optical fibre with the nerve fibres underneath could provide a better fit of energy deposition to the target; and (c) the variation of the thickness in the epineurium and perineurium in the rat SN [85] might lead to a change in the amount of energy deposited and thus in the volume of nerve fibres excited. Additionally, in figure 6, the latency defined as the time from stimulus onset to the onset of the CNAP, also differs for optical and electrical stimulation. Hence, the type of excited nerve fibres differs when stimulating electrically or optically. If optically activated nerve fibres are relatively far from the recording electrodes, then no CNAP will be measured. This may support the fact that seven rats did not respond after an optical stimulus. For instance, in RAT 10, at the beginning of the recordings, no CNAPs were obtained, however, after moving the fibre to a slightly different position, CNAPs were recorded successfully. These results are in line with [58]. In this study, some locations of the rabbit SN did not respond to optical stimulation while other sections were stimulated successfully. In two rats, we report evidence of simultaneous recordings of CNAPs with different conduction velocities. The slower conduction velocities recorded are in the range attributed to C fibres (i.e. in the range of 1 m s^{-1}). Our preliminary results therefore suggest that these fibres could be activated by optical stimuli. If confirmed, this finding would be of major importance. To explain why the later peaks were only observed in a low number of samples, we propose three hypotheses. First, the SN is essentially a somatic nerve (containing somatosensory and somatomotor fibres, which are mostly A and in a small part C fibers). Therefore, the CNAP amplitude generated by these unmyelinated fibres in SNs is small. Second, the light spot size might have missed them. Third, the explored time window for stimulation might have been too short, leading to an energy deposition lower than the required for the activation of these small fibres. Vagus nerve would be a good candidate to study whether C fibres are best activated with optical stimulation, as it is a mixed nerve in contrast to others somatic nerves. It is essentially a visceral nerve with only a small somatic component (the visceral fibres are B and above all C type). Optical stimulation would open completely new clinical application fields considering that a nerve such as the vagus nerve contains mainly small unmyelinated fibres [109]. Future works will be conducted to analyse and implement stimulation of C fibres before fast fibres. Moreover, evaluating if the temperature diffusion matches Hodgkin–Huxley model for stimulation threshold vs. temperature, may give insights about stimulation efficiency at different power and pulse duration combinations.

Data availability statement

The data that support the findings of this study are available upon reasonable request from the authors.

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Conflict of interest

The author confirms that this article has no conflict of interest.

ORCID iDs

Joaquin Cury  <https://orcid.org/0000-0002-4076-0245>

Louis Vande Perre  <https://orcid.org/0000-0003-3401-2993>

Hugo Smets  <https://orcid.org/0000-0002-5649-6389>

Lars Stumpp  <https://orcid.org/0000-0003-2542-3846>

Simone Vespa  <https://orcid.org/0000-0002-0896-8142>

Anne Vanhoestenbergh  <https://orcid.org/0000-0002-7244-5864>

Jean Delbeke  <https://orcid.org/0000-0001-9542-3599>

Riëm El Tahry  <https://orcid.org/0000-0002-2440-0194>

Simon-Pierre Gorza  <https://orcid.org/0000-0002-2599-7017>

Antoine Nonclercq  <https://orcid.org/0000-0003-0292-9588>

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