

Pressure Control for Liquid-Vapor Systems far from Thermodynamic Equilibrium

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Abstract

In this paper, we investigate the stability analysis and the feedback stabilization design problem for multiphase chemical systems using the formalism of non-equilibrium thermodynamics. We first present a compartmental model for multiphase systems far from thermodynamic equilibrium. The modelling framework allows to describe irreversible multiphase systems as a differential-algebraic (DAE) system of equations. The differential part of the model corresponds to macroscopic balance equations. The algebraic system of equations physically represents the interface as a thermodynamic subsystem that occurs between bulk phases. Integrating the DAE model is equivalent to fix the degrees of freedom prescribed by Gibbs phase rule. A linear stability analysis and numerical simulations for the resulting differential-algebraic model are presented. As a side result, we stabilize the system by introducing a pressure controller using the proposed modelling framework.

Keywords: Non-equilibrium thermodynamics, Liquid-vapor systems, Stability analysis, Pressure control.

1. INTRODUCTION

Design, analysis, and operation of thermodynamic systems stand as fundamental aspects of process systems engineering. In this article, we investigate the possibility of characterizing multiphase chemical operations using physics-based criteria. In reversible systems, the state-space of a process is assumed to be contained inside a manifold defined by thermodynamic equilibrium relations [1]. In our research, we suggest the possibility of thermodynamic systems to operate far from the equilibrium manifold. Following the ideas first presented by Georgakis [2], we propose a methodology to study the time evolution of extensive variables in a multiphase system. Using a compartmental approach, it can be shown that the state variables for each phase in a multiphase system is described as the solution to macroscopic balance equations on mass and energy.

Physics-based methodologies have proven to be useful for the characterization of dynamical systems in process engineering. Dissipative analysis, as proposed by Willems [3] for instance, has clear applications to the study of electro-mechanical systems. From a dissipative theory perspective, the stability properties of chemical systems are understood as a function of certain thermodynamic potentials. Entropy is a Lyapunov function for the equilibrium state in closed adiabatic systems [4]. Gibbs potential is minimized at equilibrium for chemical processes at constant temperature and pressure [5]. Within the same lines of research, Georgakis [2] proposed to use macroscopic thermodynamic variables for the analysis and control of process systems.

The macroscopic analysis proposed by Georgakis [2] served as a starting point for several contributions to modelling, analysis and control of chemical process systems literature. Price et al. [6] proposed inventory control guidelines for the effective design and operation of chemical process plants. Farschman et al. [7] studied chemical systems using thermodynamic inventories. The concept of thermodynamic inventories allows to define low-dimensional passivity structures that permit to establish stability of chemical processes. Favache and Dochain [8] characterized the continuous stirred tank reactor (CSTR) dynamic properties using thermodynamic-based Lyapunov theory. In related publications, Hoang et al. [9, 10] represented the CSTR utilizing a thermodynamic structured model where Gibbs free energy and an entropy-related formulations are considered as Hamiltonian functions. Moreover, the thermodynamic analysis performed in [9, 10] leads to intuitive (availability-based) control laws with clear phenomenological interpretations.

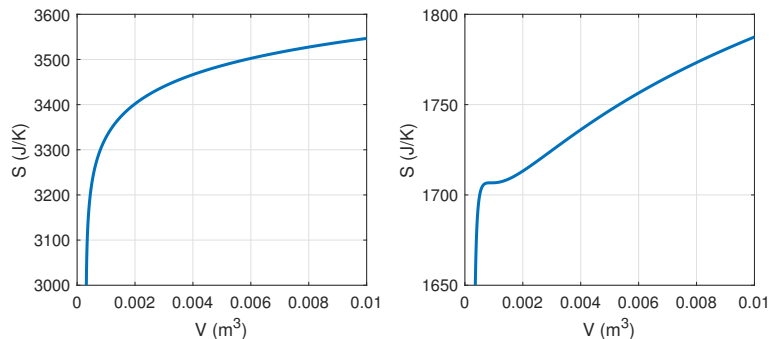


Figure 1: Van der Waals entropy-volume graph for vapor (right) and liquid-gas (left) water in a closed system.

38 As for chemical reaction networks, Hoang and Dochain [11] presented an evo-
 39 lution stability criterion based on chemical availability to characterize the
 40 stability of reaction networks in non-isothermal CSTRs. A recent contribu-
 41 tion to the literature worth to be mentioned is the work presented by García-
 42 Sandoval et al. [12, 13] where the authors utilize an entropy dissipation-based
 43 approach to discuss on the stability of different physical and chemical sys-
 44 tems.

45 The line of research in contributions [6, 7, 8, 9, 10, 11, 12, 13], which
 46 is strongly related with the idea of studying chemical process systems using
 47 macroscopic variables as proposed originally by Georgakis [2], represents a
 48 sound physics-based framework. The authors would like to remark that the
 49 references previously discussed are focused on the study of chemical systems
 50 where only one (usually liquid or gaseous) phase appears.

51 Regarding multiphase process systems, Rouchon and Creff [14] studied
 52 the multi-component flash drum using a differential algebraic system that
 53 describes the evolution of macroscopic variables in the process. Rouchon
 54 and Creff concluded that a single stage isobaric flash-drum with constant
 55 volume holdup is stable. The analysis by Rouchon and Creff [14] shows that
 56 the rate at which entropy is produced in a flash drum is a Lyapunov func-
 57 tion. Unfortunately, such conclusion does not extend to distillation columns
 58 as entropy production is no longer a minimized at equilibrium for multi-
 59 stage processes. Rouchon and Creff [14] suggest that studying the hydraulic
 60 effects on multiphase systems could lead to different conclusions. Using a
 61 compartmental-based modeling approach, Hangos et al. [15] conclude that

62 the flash drum with constant molar flows is a passive asymptotically stable
63 system. Using a passivity-based Lyapunov analysis based on the formalism
64 proposed by Hangos et al. [15], the stability properties of chemical proces
65 plants are established by Antelo et al. in [16]. Following the same lines of re-
66 search, Ydstie [1] considers the problem of characterizing the flash drum using
67 thermodynamic availability and concludes on the existence of a unique stable
68 steady-state for adiabatic systems operating inside an equilibrium manifold.
69 Our objective is to extend the multiphase systems theory by analyzing pro-
70 cesses that evolve far from the equilibrium manifold.

71 Thermodynamic potentials have well-defined convexity properties. For
72 closed simple thermodynamic systems¹, entropy (resp. internal energy) is
73 a strictly concave (resp. convex) function of the extensive variables [5], see
74 Figure 1 (right). Such geometric properties have permitted to assess stability
75 and to build control structures through dissipative analysis [8, 12, 9, 10]. One
76 of the main problems when trying to apply this class of methodologies to mul-
77 tiphase systems is that the convexity properties are not strict in multiphase
78 systems [5, 17], see Figure 1 (left). To characterize the dynamical proper-
79 ties of a system regardless of its convexity properties, we propose to use the
80 internal entropy production. Being semipositive definite, internal entropy
81 production is a Lyapunov function candidate to characterize the stability
82 properties of equilibria in multiphase systems. Internal entropy production
83 can be described as a function of the extensive variables in a thermodynamic
84 system [18]. Therefore, it is our objective to establish a macroscopic model
85 to describe the dynamic evolution of irreversible multiphase systems.

86 The article is structured as follows. In Section 2, we define what we con-
87 sider as a macroscopic thermodynamic system. In Section 3, we model a
88 multiphase system far from equilibrium as the interconnection between two
89 macroscopic thermodynamic systems connected through an interface sub-
90 system. Mass and energy balances define the state of the bulk phases. In
91 addition, the interface subsystem is modelled using a local equilibrium as-
92 sumption. A linear analysis of the model is presented in Section 4. The
93 multiphase system is shown to be unstable due to the presence of positive
94 eigenvalues. To stabilize the system, a pressure controller is introduced to the
95 model in Section ???. Conclusions and future lines for research are presented
96 in Section 6.

¹An homogeneous system with no electric charge is a simple system. See Definition 1.

97 **2. Thermodynamic open systems**

98 It is within the interest of the research presented in this paper to establish
99 the dynamical properties of macroscopic systems, in particular of simple
100 macroscopic systems.

101 **Definition 1** (Simple Macroscopic System). *A system that is large enough to*
102 *be macroscopically homogeneous, isotropic, and to have no electric charge is*
103 *called a simple thermodynamic system. Surface effects, gravity, and electro-*
104 *magnetic fields are considered to not have an effect on simple thermodynamic*
105 *systems [5].*

106 All the physical properties of a macroscopic system are determined once its
107 state is known. For instance, an ideal gas at thermodynamic equilibrium
108 where pressure P , temperature T , and volume V are known is completely
109 determined². Callen's first postulate of thermodynamics states that, in a
110 simple system with c components, only $c + 2$ thermodynamic coordinates are
111 required to determine the equilibrium state for simple systems [5].

112 **Definition 2** (First Postulate). *The equilibrium states for a simple thermo-*
113 *dynamic system can be determined as a function of a coordinate $z \in \mathbb{R}_{\geq 0}^{c+2}$.*
114 *The choice for the coordinate points of z , although not uniquely defined, may*
115 *be represented as the set of macroscopic extensive variables*

$$z := [U, V, N_1, \dots, N_c]^t, \tag{1}$$

116 where U (J) represents the internal energy, V (m^3) the volume, and N_1, \dots, N_c
117 (mol) the mole numbers for each component³.

118 Under a local equilibrium assumption, see Appendix B, Definition 1 is con-
119 sidered to be valid for open macroscopic systems [19].

120 *2.1. Open thermodynamic systems*

121 Consider an open simple thermodynamic system as the one depicted in
122 Figure 2, where a fluid mixture with c chemical components is contained.
123 Through its open boundaries, the system exchanges mass and energy with
124 the surroundings. Moles flow through the system at an average speed v

²See Appendix A.

³The state (1) is sometimes referred as the micro-canonical ensemble [7, 1]

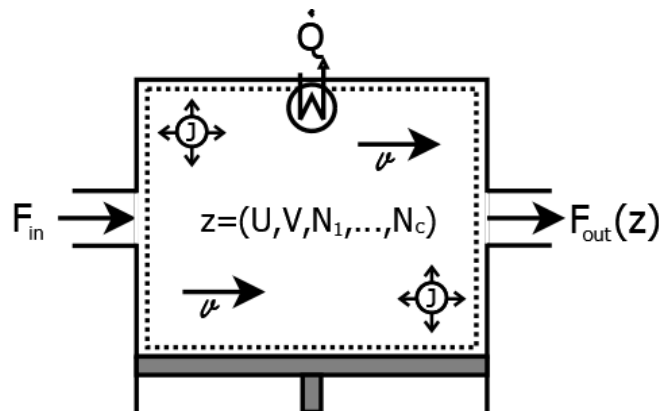


Figure 2: Open thermodynamic system with internal sources. The system has a state z and it is contained within the dotted box.

125 (m/s). Molar and enthalpy flow from the environment into the system at
 126 fixed rates $F_{N_j, \text{in}}$ (mol/s) and $F_{H, \text{in}}$ (J/s), respectively. Convective flows are
 127 discharged from the system at a volumetric flow rate $F_{V, \text{out}}(z)$ (m³/s). Non-
 128 convective sources/sinks of moles and internal energy (chemical reactions,
 129 ionic-exchange resins, or catalyzer beds for instance) are represented as J
 130 in Figure 2. As the system is not necessarily considered adiabatic, a thermal
 131 source of energy is represented as \dot{Q} (J/s).

132 *Assumption 1.* For modeling purposes, for any open simple thermodynamic
 133 system in this paper, we assume that:

- 134 A1 The system is locally at thermodynamic equilibrium⁴.
- 135 A2 Gibbs phase rule is valid for the system.
- 136 A3 Potential energy is constant over the system.
- 137 A4 The discharge flow is at the same pressure and flows at the the same
 138 average speed as the bulk-phase flow.
- 139 A5 Kinetic energy variations are insignificant when compared to variations
 140 in enthalpy or in internal energy.
- 141 A6 Velocity profiles are perpendicular to the inflow (outflow) cross-sections.
- 142 A7 Density and pressure are uniform over the inflow (outflow) cross-sections.

⁴As a consequence of thermodynamic equilibrium, The fluid flow is perfectly mixed.

143 A8 Compressibility and viscous losses in the fluid flows are negligible.

144 In the next section we consider these assumptions to describe a liquid-
 145 vapor system as the interconnection between two open simple thermodynamic
 146 systems using mass and energy balances.

147 3. Multiphase systems far from thermodynamic equilibrium

148 We consider a liquid-gas process with c chemical components inside a rigid
 149 vessel as depicted in Figure 3 (left). Liquid enters the vessel at a rate $F_{l,\text{in}}$
 150 (mol/sec) and it is discharged to the environment at a rate $F_{l,\text{out}}$ (mol/sec).
 151 Bubbles flow in and out the liquid bulk phase at rates $F_{g,\text{in}}$ (mol/sec) and
 152 $F_{g,\text{out}}$ (mol/sec), respectively. The system is represented as the union of
 153 three simple macroscopic structures, Figure 3 (right). The blue block stands
 154 for the liquid bulk phase. The white block represents the aggregate of all
 155 dispersed bubbles, which we refer to as the gas bulk phase. The gray block
 156 in between stands for the interface that corresponds to the combined surface
 157 of the gas bubbles within the liquid. Under assumptions A1-A8, the state
 158 of the system can be recovered as the solution to the differential equations
 159 described below.

160 Molar balances over gas and liquid phases, denoted with subscripts g and
 161 l , respectively, are given as

$$\frac{dN_{jg}}{dt} = F_{N_{j,g,\text{in}}} - \frac{N_{jg}}{V_g} F_{V,g,\text{out}} + J_{N_{j,g}} \quad (2a)$$

$$\frac{dN_{jl}}{dt} = F_{N_{j,l,\text{in}}} - \frac{N_{jl}}{V_l} F_{V,l,\text{out}} - J_{N_{j,l}}, \quad (2b)$$

162 where subscript $j = 1, \dots, c$, is used to refer a variable to a chemical compo-
 163 nent in the system. Energy balances over each phase give

$$\frac{dU_g}{dt} = F_{H,g,\text{in}} - \left(\frac{U_g}{V_g} + P_g \right) F_{V,g,\text{out}} - P_g \frac{dV_g}{dt} + \dot{Q}_g + J_{E,g} \quad (3a)$$

$$\frac{dU_l}{dt} = F_{H,l,\text{in}} - \left(\frac{U_l}{V_l} + P_l \right) F_{V,l,\text{out}} - P_l \frac{dV_l}{dt} + \dot{Q}_l - J_{E,l}. \quad (3b)$$

164 Assuming that the interface dynamics is much faster than the bulk phases,
 165 we can set the interconnection system in Figure 3 to be at stationary state.

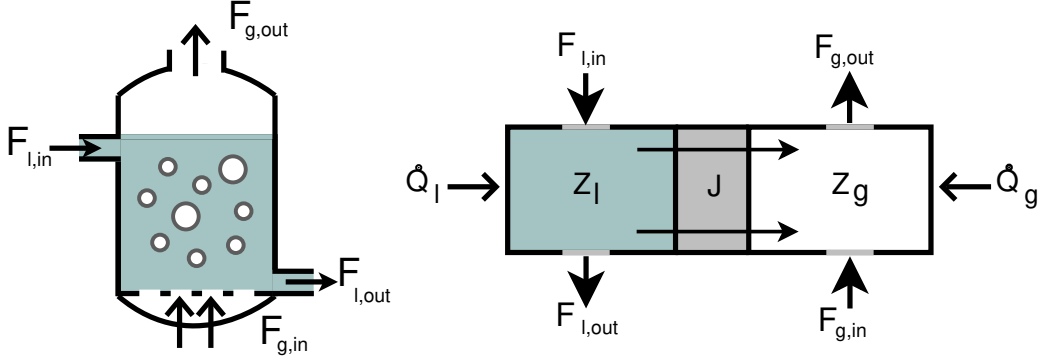


Figure 3: A liquid-gas separation system (left) and its representation as the interconnection of macroscopic thermodynamic subsystems (right).

166 Mass and energy balances around the interface thus lead to algebraic condi-
 167 tions

$$0 = J_{g,N_j} - J_{l,N_j} \quad j = 1, \dots, c - 1 \quad (4a)$$

$$0 = J_{g,E} - J_{l,E}. \quad (4b)$$

168 Neglecting the effects of crossed component transport, temperature and pres-
 169 sure gradients, interface molar transport processes correspond to [20, 21]

$$J_{g,N_j} = C_g k_{j,g} (y_{ji} - y_j) + J_N y_j$$

$$J_{l,N_j} = C_l k_{j,l} (x_j - x_{ji}) + J_N x_j,$$

170 where C_α (mol/m³) represents the molar concentration of phase $\alpha \in \{l, g\}$,
 171 $k_{\alpha,g}$ (m³/s) is a transport parameter, the pair (x_j, y_j) (mol/mol) represents
 172 the molar composition in the liquid and gas bulk phases, the pair (x_{ji}, y_{ji})
 173 (mol/mol) stands for the liquid and gas molar composition in the interface⁵,
 174 and $J_N = \sum_{j=1}^c J_{g,N_j} = \sum_{j=1}^c J_{l,N_j}$ (mol/s) is the total molar transport
 175 moving between phases. Neglecting the Dufour effect⁶, the rate $J_{\alpha,E}$ (J/sec)
 176 at which energy flows from phase α towards/from the interface can be written

⁵Subscript i is used to refer a variable to the interface.

⁶The Dufour energy flux is caused by mass, pressure, and force field gradients [21].

177 as the sum of convective and thermal energy contributions [20, 21]

$$J_{g,E} = \sum_{j=1}^c J_{N_j,g} \bar{h}_{jg} + \lambda_{gi}(T_i - T_g)$$

$$J_{l,E} = \sum_{j=1}^c J_{N_j,l} \bar{h}_{jl} + \lambda_{li}(T_l - T_i),$$

178 where $\bar{h}_{j\alpha}$ (J/mol) stands as the partial molar enthalpy of component j in
 179 phase α , $\lambda_{\alpha i}$ (J/K·s) is a known thermal exchange parameter, T_α (K) repre-
 180 sents the bulk-phase temperature, and T_i (K) stands for the interface tem-
 181 perature.

182 To complete the description of the model, we follow the ideas from Taylor
 183 and Krishna [20] and assume that the interface is locally at thermodynamic
 184 equilibrium to write

$$0 = y_{ji} - K_j(T_i, x_{i1}, \dots, x_{ic})x_{ji}, \quad j = 1, \dots, c \quad (5a)$$

$$0 = 1 - \sum_{j=1}^c y_{ji} \quad (5b)$$

$$0 = 1 - \sum_{j=1}^c x_{ji}. \quad (5c)$$

185 The functions $K_j(\cdot)$ in the previous equation represent the liquid-vapor com-
 186 position ratio for component j . Even though the composition ratio is fre-
 187 quently assumed as a constant parameter, in many liquid-vapor models this
 188 term is, in general, a nonlinear function of the intensive interface variables,
 189 as it can be seen in the following example.

190 *Example 1.* Consider a liquid-vapor system at low pressure. Assume that
 191 Antoine's equation and Margules' two-parameter activity coefficient model
 192 describe the liquid vapor-ratio k_j . Then, the interface pressure, temperature
 193 and composition satisfy the following equilibrium relation

$$\begin{bmatrix} 0 \\ 0 \\ 1 \\ 1 \end{bmatrix} = \begin{bmatrix} -1 & 0 & k_1 & 0 \\ 0 & -1 & 0 & k_2 \\ 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{bmatrix} \begin{bmatrix} y_1 \\ y_2 \\ x_1 \\ x_2 \end{bmatrix},$$

194 where the liquid-vapor ratio functions correspond to

$$k_1 = \frac{1}{P} \left(A_1 - \frac{B_1}{T - C_1} \right) \exp [2A_{12}x_1x_2(1 - x_1) + A_{21}(1 - 2x_1)x_2^2]$$

$$k_2 = \frac{1}{P} \left(A_2 - \frac{B_2}{T - C_2} \right) \exp [2A_{21}x_1x_2(1 - x_2) + A_{12}x_1^2(1 - 2x_2)].$$

195 Here A_j , B_j , C_j , $j = 1, 2$, $l = 1, 2$, A_{12} , and A_{21} are known thermodynamic
196 parameters.

197 3.1. DAE system

198 Balance equations (2)-(3) restricted by the interface equations (4)-(5)
199 sum to $4c + 4$ coupled equations that can be represented a semi-explicit DAE
200 system of index one [22]

$$\frac{dz}{dt} = f(z, w) \quad (6a)$$

$$0 = g(z, w), \quad (6b)$$

201 where we call the vector z , of dimension $2c + 2$, the vector of dynamic vari-
202 ables:

$$z = [N_{1g} \dots N_{cg} \ N_{cl} \dots N_{cl} \ U_g \ U_l]^t, \quad (7a)$$

203 and we call the vector of dimension $2c + 2$

$$w = [y_{1i} \dots y_{ci} \ x_{1i} \dots x_{ci} \ T_i \ J_N]^t, \quad (7b)$$

204 the vector of algebraic variables. To complete the description of the model, in
205 the next section we present the constitutive equations to describe the volume,
206 pressure, temperature, conductive heat flows, and volumetric outflows for the
207 DAE system (6).

208 3.2. Constitutive equations

209 We write the liquid volume as a function of the molar holdup [23]

$$V_l(z) = \bar{v}_1 N_{1l} + \dots + \bar{v}_c N_{cl}, \quad (8a)$$

210 where \bar{v}_j (m³/mol) corresponds to the partial molar volume of component j
 211 in the liquid phase. As the vessel containing both phases is rigid, the gas
 212 volume corresponds to the volume that is not occupied by the liquid phase

$$V_g(z) = V_\Omega - V_l, \quad (8b)$$

213 where V_Ω (m³) is the (constant) volume of the rigid vessel.

214 Temperature is a function of internal energy. The internal energy for each
 215 phase $\alpha \in \{l, g\}$ can be written as

$$U_\alpha = U_{o,\alpha} + \mathcal{C}_\alpha(T_\alpha - T_o), \quad (9)$$

216 where $U_{o,\alpha} = \sum \bar{u}_{o,j} N_{j,\alpha}$ (J) represents the internal energy of the system at
 217 a reference temperature T_o , and $\mathcal{C}_\alpha = \sum N_{j,\alpha} \bar{c}_{\alpha,j}$ (J/K) stands for the total
 218 heat capacity for phase α . Solving (9) for T we can write

$$T_\alpha(z) = T_o + \frac{U_\alpha - U_{o,\alpha}}{\mathcal{C}_\alpha(z)}. \quad (10)$$

219 Heat flows \dot{Q}_α (J/s), $\alpha \in \{l, g\}$, in the energy balance equation (3) are
 220 written as being proportional to differences between the temperature $T_{\alpha,Q}$
 221 (K) of a heat exchanger, considered a known input, and the bulk-phase tem-
 222 perature T_α (K)

$$\dot{Q}_\alpha(z) = \lambda_\alpha(T_{\alpha,Q} - T_\alpha), \quad (11)$$

223 where λ_α (J/K) is a known heat exchange parameter.

224 Without loss of generality, the ideal gas equation is used to write the
 225 pressure in the gas phase as a function of the extensive parameters

$$P_g(z) = R \frac{N_g}{V_g} \left(T_o + \frac{U_g - U_{o,g}}{\mathcal{C}_g} \right). \quad (12a)$$

226 An accurate description for the liquid pressure would require a detailed study
 227 on the hydrodynamic properties of the liquid subsystem, which is beyond
 228 the scope of this article⁷. In our study, we assume that the liquid and the
 229 interface pressures are equal to the gas pressure

$$P_l(z) = P_i = R \frac{N_g}{V_g} \left(T_o + \frac{U_g - U_{o,g}}{\mathcal{C}_g} \right). \quad (12b)$$

⁷The reader interested on a local study on how to couple hydrodynamic effects in multiphase systems is referred to [24].

230 To complete the constitutive equations, following the suggestion by Rou-
 231 chon and Creff [14], we account for the hydraulic effects in the system by
 232 adding a stationary mechanical energy balance to the description of the sys-
 233 tem.

234 In a number of important cases, the mechanical equilibrium state is
 235 reached very quickly in comparison with the thermodynamic process [25].
 236 In the context of this paper, a mechanical equilibrium assumption permits
 237 to define an additional constitutive equation that allows to recover the vol-
 238 umetric outflow as a function of the state and the input variables for the
 239 system. At equilibrium, the mechanical energy conservation equations for
 240 each phase in the system correspond to [21]

$$0 = F_{K,g,\text{in}} - \left(\frac{K_g}{V_g} + P_g \right) F_{V,g,\text{out}} - P_g \frac{dV_g}{dt} + J_{K,g}, \quad (13a)$$

$$0 = F_{K,l,\text{in}} - \left(\frac{K_l}{V_l} + P_l \right) F_{V,g,\text{out}} - P_l \frac{dV_l}{dt} + J_{K,g}, \quad (13b)$$

241 where $F_{K,\alpha,\text{in}}$ (J/sec) corresponds to the mechanical energy carried in the
 242 inflow streamlines, $K_\alpha = Mv^2/2$ (J) stands for the kinetic energy of the
 243 mass that flows through phase $\alpha \in \{l, g\}$ and

$$J_{K,\alpha} = \frac{1}{2} v_{\alpha i}^2 J_{M,\alpha} + \frac{P_\alpha}{\rho_\alpha} J_{M,\alpha}, \quad (14)$$

244 represents the mechanical energy that flows between the interface and the
 245 bulk phases. In Equation (14), ρ_α (kg/m³) represents the mass density of
 246 the bulk-phase α . Setting \bar{m}_j (kg/mol) to be the molar mass of component
 247 j , we write

$$J_{M,\alpha} = \sum \bar{m}_j J_{N,\alpha j}$$

248 for the mass interface transport rate. The term $v_{\alpha i}$ (m/s) in (14) represents
 249 the average velocity of the mass flowing through the boundary layers sur-
 250 rounding the interface. Setting A_i (m²) as the interface area we define the
 251 interface flow velocity in the boundary layer α as

$$v_{\alpha i} = \frac{J_{M,\alpha}}{\rho_\alpha A_i}.$$

252 Equation (13) determines uniquely the volumetric outflow from each phase
 253 as a function the state of the system. In the following Proposition we show
 254 that the volumetric outflow is determined as the unique as a state function
 255 which corresponds to the solution to the mechanical equilibrium equation.

256 *Proposition 1.* Consider an open macroscopic system with a positive amount
 257 of mass $M > 0$ at mechanical equilibrium. As the system is at mechanical
 258 equilibrium it fulfills

$$0 = F_{K,\text{in}} - \left(\frac{K}{V} + P \right) F_{V,\text{out}} - P \frac{dV}{dt} + J_K. \quad (15)$$

259 In addition, assume that we can write the volumetric outflow as

$$F_{V,\text{out}} = A_{\text{out}} v,$$

260 where A_{out} (m^2) is the outflow streamline cross section and v is the velocity
 261 of the flow in the system. Then the volumetric outflow can be determined
 262 uniquely as a function

$$F_{V,\text{out}} = F_{V,\text{out}}(F_{K,\text{in}}, V, P, J_K).$$

263 *Proof:* To demonstrate the result, we start by writing the kinetic energy as

$$K = \frac{1}{2} M v^2 = \frac{1}{2 A_{\text{out}}^2} M F_{V,\text{out}}^2.$$

264 Substitution of the previous into (15) gives

$$0 = F_{K,\text{in}} - \frac{M}{2V A_{\text{out}}^2} F_{V,\text{out}}^3 - P F_{V,\text{out}} - P \frac{dV}{dt} + J_K$$

265 which may be rewritten as a cubic equation

$$0 = F_{V,\text{out}}^3 + p F_{V,\text{out}} + q \quad (16)$$

266 where

$$p = \frac{2PV A_{\text{out}}^2}{M} > 0, \quad q = \frac{2V A_{\text{out}}^2}{M} \left(P \frac{dV}{dt} - F_{K,\text{in}} - J_K \right).$$

267 The discriminant for the cubic equation corresponds to

$$\Delta = -4p^3 - 27q^2 < 0.$$

268 As the discriminant is negative, (16) has only one real root [26]. Having only
 269 one real root, the volumetric outflow is uniquely determined as a solution
 270 to (16). Therefore, at mechanical equilibrium, the outflow $F_{v,\text{out}}$ is uniquely
 271 determined as a function of parameters p and q defined in (16).

272

□

273 *Remark 1.* A close look to the constitutive equations that describe the triad
 274 (P, V, J_K) suffices to realize that pressure, volume, and interface kinetic trans-
 275 port are functions of the state of the system (6). Proposition 1 implies there-
 276 fore that, at mechanical equilibrium, the volumetric outflows are uniquely
 277 defined as a function of the state in a thermodynamic multiphase system.

278 Being modeled as independent subsystems, liquid and vapor phases in the
 279 DAE model (2)-(5) do not necessarily have the same pressure and tempera-
 280 ture. In addition, the molar composition of each bulk phase is not subject
 281 to satisfy a liquid-vapor equilibrium relation⁸. The liquid-vapor system de-
 282 picted in Figure 2 is therefore not necessarily at thermodynamic equilibrium.
 283 Being far from equilibrium, we cannot apply Gibbs' phase rule to determine
 284 the degrees of freedom of the system as a whole. We can however, through
 285 assumption A1, apply the Gibbs' phase rule locally to each subsystem in the
 286 liquid-vapor system depicted in Figure 2.

287 3.3. Local Gibbs phase rule

288 Gibbs phase rule states that, in a thermodynamic system with c chemical
 289 components and p coexistent phases at equilibrium, it is possible to arbitrar-
 290 ily presassign $c - p + 2$ intensive variables from the set [5]

$$(T, P, x_{1,1}, \dots, x_{c-1,1}, x_{1,p}, \dots, x_{c-1,p}).$$

291 We call degrees of freedom the number of intensive variables that can be
 292 arbitrarily preassigned in a thermodynamic system. Note that in the previous
 293 set, $x_{j,k}$ represents the composition of component j in phase k . The intensive
 294 variables that are not arbitrarily set are defined by equilibrium relations.

295 If a multiphase system is far from thermodynamic equilibrium, Gibbs
 296 rule does not apply. However, considering the bulk phase (and the interface)
 297 subsystems to be locally at equilibrium, see Assumption A2, Gibbs phase
 298 rule might be applied locally to each subsystem.

299 The phase rule states that each bulk phase in Figure 2 has $c + 1$
 300 degrees of freedom. Let the degrees of freedom for the gas and liquid bulk
 301 phases be defined as vectors

$$[T_g(z) \ P_g(z) \ y_1(z) \ \dots \ y_{c-1}(z)]^t$$

⁸Note that only the local interface compositions are bounded to satisfy (5).

302 and

$$[T_l(z) P_l(z) x_1(z) \dots x_{c-1}(z)]^t$$

303 respectively. Note that the intensive variables are written as functions of
 304 the state of the system. The functional relations that define the intensive
 305 variables as functions of z correspond to Equations (10) and (12). The
 306 molar fractions are defined as state functions by $x_j = N_{jl} / \sum_1^c N_{jl}$ and
 307 $y_j = N_{jg} / \sum_1^c N_{jg}$.

308 The phase rule applied to the interconnection subsystem in Figure 2 states
 309 that the interface has c degrees of freedom. The degrees of freedom corre-
 310 spond to c variables of the vector (7b) which might be arbitrarily chosen. The
 311 remaining $c + 2$ variables in (7b) are given by the solution to the equilibrium
 312 equation (5).

313 We conclude from locally using Gibbs phase rule that, if

314 1. We assume that the solution to (6) is unique and

315 2. We set the inputs (as fixed values or using control laws),

316 integrating (6) to establish state trajectories $z(t)$ is equivalent to fix the
 317 degrees of freedom for the system.

318 4. Linear analysis

319 In this section we show that the model (6) has an unstable steady state.
 320 Let (z^*, w^*) represent a steady state of (6). The linearization $f_L(\cdot)$ of the
 321 right hand side of (6a) around the steady state corresponds to

$$f_L(z, w) = \left. \frac{\partial f}{\partial z} \right|_{(z^*, w^*)} (z - z^*) + \left. \frac{\partial f}{\partial w} \right|_{(z^*, w^*)} (w - w^*), \quad (17)$$

322 where the partial derivatives represent the Jacobian matrices of f with re-
 323 spect to z and w respectively. Similarly, linearizing (6b) at the steady state
 324 gives

$$0 = \left. \frac{\partial g}{\partial z} \right|_{(z^*, w^*)} (z - z^*) + \left. \frac{\partial g}{\partial w} \right|_{(z^*, w^*)} (w - w^*), \quad (18)$$

325 where the Jacobian matrices of g with respect to z and w are represented
 326 using the partial derivatives. As the DAE system (6) is of index one, the

327 Jacobian $\partial g/\partial w|_{(z^*,w^*)}$ is non-singular [22]. Therefore we can solve (18) for
 328 $(w - w^*)$ to obtain

$$(w - w^*) = -\left(\frac{\partial g}{\partial w}\bigg|_{(z^*,w^*)}\right)^{-1} \frac{\partial g}{\partial z}\bigg|_{(z^*,w^*)} (z - z^*). \quad (19)$$

329 Substitution of (19) into (17) allows to write a linearized version of the DAE
 330 system (6) as

$$\frac{dz}{dt} = \Theta(z - z^*) \quad (20)$$

331 where

$$\Theta = \left(\frac{\partial f}{\partial z}\bigg|_{(z^*,w^*)} - \frac{\partial f}{\partial w}\bigg|_{(z^*,w^*)} \left(\frac{\partial g}{\partial w}\bigg|_{(z^*,w^*)} \right)^{-1} \frac{\partial g}{\partial z}\bigg|_{(z^*,w^*)} \right),$$

332 stands for the matrix of the linearized dynamics.

333 4.1. Numerical open loop analysis

334 To study the stability properties of the model, we consider a liquid-vapor
 335 two component mixture. Methanol and water are considered as components
 336 1 and 2 in the mixture, respectively. The inputs for the model are assumed to
 337 be fixed at thermodynamic equilibrium conditions described in Table 1. The
 338 parameters used for the simulation are reported in Appendix C. For the sake
 339 of simplicity we fix the inflow streamline velocities at 1 m/s for each phase.
 340 To linearize the system, the inflow conditions fixed at the values established
 341 in Table 1. Fixing the inflow conditions to the values reported in Table 1
 342 and the initial volumes for each phase as $V_g(0) = 0.9\text{m}^3$ and $V_l(0) = 0.1\text{m}^3$,
 343 the system reaches the steady state reported in Table 2.

344 *Remark 2.* At steady state, see Table 2, the system reaches thermodynamic
 345 equilibrium. The equilibrium steady state follows as a consequence from the
 346 inflows being at thermodynamic equilibrium. When the inflows are far from
 347 equilibrium it can be easily shown that there exists a stationary state that is
 348 far from thermodynamic equilibrium. We restrict our study to equilibrium
 349 steady states. The possibility of studying the dynamic properties of systems
 350 where the steady state is far from thermodynamic equilibrium is outlined as
 351 a line for future work in the conclusions for the article.

Property	Value	Inflow	Value
$y_{1,\text{in}}$	0.6615 mol/mol	$F_{N_{1,g,\text{in}}}$	22.9473 mol/s
$x_{1,\text{in}}$	0.2764 mol/mol	$F_{N_{2,g,\text{in}}}$	11.7401 mol/s
$T_{l,\text{in}}$	351.24 K	$F_{N_{1,l,\text{in}}}$	1.1419×10^4 mol/s
$T_{g,\text{in}}$	351.24 K	$F_{N_{2,l,\text{in}}}$	2.9891×10^4 mol/s
$T_{l,Q}$	351.24 K	$F_{H,g,\text{in}}$	1.4005×10^6 J/s
$T_{g,Q}$	351.24 K	$F_{H,l,\text{in}}$	1.8461×10^8 J/s
$P_{l,\text{in}}$	1.013 kPa	$F_{K,g,\text{in}}$	1.0130×10^5 J/s
$P_{g,\text{in}}$	1.013 kPa	$F_{K,l,\text{in}}$	1.0175×10^5 J/s

Table 1: Inflow conditions.

Bulk phase variable	Value	Interface variable	Value
$N_{1,g}^*$	20.6525 mol	y_{1i}^*	0.6615
$N_{2,g}^*$	10.5661 mol	y_{2i}^*	0.3385
$N_{1,l}^*$	1.1419×10^3 mol	x_{1i}^*	0.2764
$N_{2,l}^*$	2.9891×10^3 mol	x_{2i}^*	0.7236
U_g^*	1.1693×10^6 J	T_i^*	351.24 K
U_l^*	1.8451×10^7 J	J_N^*	0 mol/s

Table 2: Steady State.

λ_1	0.0306
λ_2	-10.0036
λ_3	-10.0003
λ_4	-1.2697
λ_5	-1.1774
λ_6	-2.5×10^{-10}

Table 3: Eigenvalues for the linearized model.

352 At the steady state reported in Table 2, the matrix Θ for the linearized
353 model (20) has rank 5 and one unstable eigenvalue⁹, see Table 3. The unsta-
354 ble properties for the steady state can be better appreciated in state trajec-
355 tories obtained from numerical simulations. In Figure 4 we present the state

⁹The eigenvalues are computed using Matlab 9.4-R2018a.

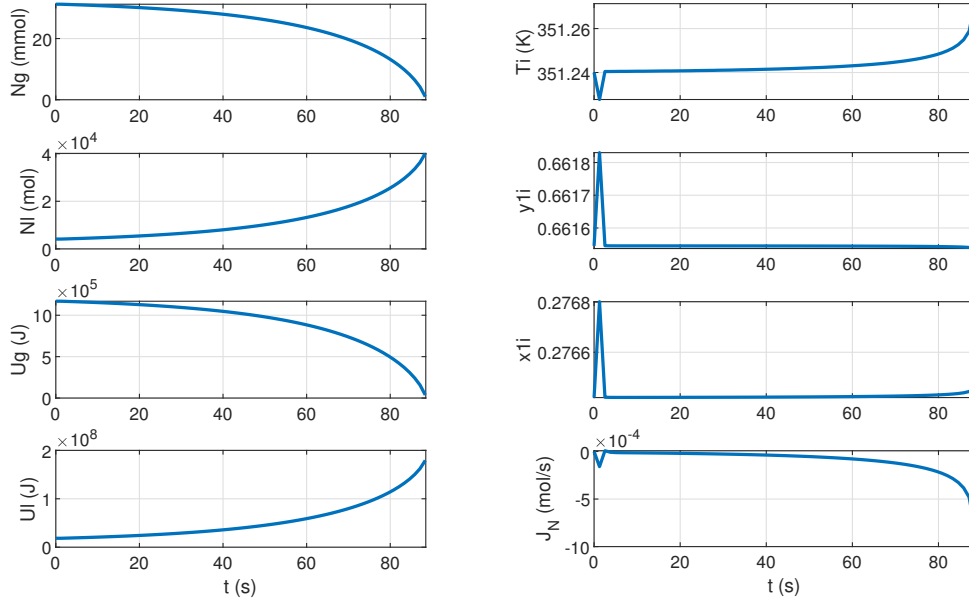


Figure 4: Unstable molar and energy holdup trajectories (left). Unstable interface temperature, composition, and molar transport (right). Simulation started at the stationary state presented in Table 2 and disturbed by the ramp function in Equation (21)

356 trajectories for a simulation where the inflow streamline is briefly pushed
 357 far from equilibrium. To simulate such scenario the liquid composition of
 358 methanol in the inflow is disturbed by a ramp

$$x_{1,\text{in}} = \begin{cases} 0.2764 + 2.764 \times 10^{-3}(t - 1) & \text{for } t \in [1, 1.5] \\ 0.2764 & \text{for } t \notin [1, 1.5] \end{cases} \quad (21)$$

359 The instability of the steady state causes the system trajectories to drift,
 360 even after the disturbance has been removed, as it is depicted in Figure 4.
 361 In Figure 4 it is clear that the gas phase collapses at $t \approx 88.7$ s.

362 5. Pressure controller

363 Using thermodynamic dissipativity theory, multiphase open thermody-
 364 namic systems at constant pressure have been shown to be stable when the
 365 pressure of the process is fixed [14]. Numerical evidence shows that open

366 irreversible multiphase systems are stabilized when pressure is kept constant
 367 [18]. Following these ideas, we propose a control structure that fixes the
 368 pressure of the system.

369 *Theorem 1.* Assume that the inputs and the state of the liquid-vapor system
 370 represented by (6) can be measured. Assume that the concentration C_g of
 371 the gas phase is constant. Let $\gamma(\cdot)$ stand for a function

$$\begin{aligned} \gamma = & \left(\frac{P^*}{RC_g} - T_o \right) \sum_{j=1}^c \bar{c}_{g,j} \left(F_{N_j,g,\text{in}} - \frac{N_{jg}}{V_g} F_{V,g,\text{out}} + J_{N_j,g} \right) \\ & - F_{H,g,\text{in}} + F_{H,g,\text{out}} + \sum_{j=1}^c P_g \bar{v}_j \left(F_{N_j,l,\text{in}} - \frac{N_{jl}}{V_l} F_{V,l,\text{out}} - J_{N_j,l} \right) \\ & - J_{g,E} \end{aligned} \quad (22)$$

372 where P^* (K) represents a constant pressure set-point and $F_{\beta,\alpha,\text{out}}$ stands
 373 for the convective outflow of property β in phase α ¹⁰. Consider that there
 374 is a heat exchanger in the gas phase and let the temperature of the heat
 375 exchanger be defined as

$$T_{g,Q} := \frac{\gamma}{\lambda_g} + \left(T_o + \frac{U_g - U_{o,g}}{C_g} \right). \quad (23)$$

376 Then the pressure of the liquid-vapor (6) is fixed at $P = P^*$.

377 *Proof:* First note that, using the temperature constitutive relation (10),
 378 Equation (23) can be rewritten as

$$\lambda_g(T_{g,Q} - T_g) = \gamma.$$

379 Thus

$$\begin{aligned} \dot{Q}_g = & \left(\frac{P^*}{RC_g} - T_o \right) \sum_{j=1}^c \bar{c}_{g,j} \left(F_{N_j,g,\text{in}} - \frac{N_{jg}}{V_g} F_{V,g,\text{out}} + J_{N_j,g} \right) \\ & - F_{H,g,\text{in}} + F_{H,g,\text{out}} + \sum_{j=1}^c P_g \bar{v}_j \left(F_{N_j,l,\text{in}} - \frac{N_{jl}}{V_l} F_{V,l,\text{out}} - J_{N_j,l} \right) \\ & - J_{g,E}. \end{aligned}$$

¹⁰The remaining variables are defined in Section 3.

380 After algebraic simplification, substitution of the previous in the energy bal-
 381 ance (3) for the gas phase

$$\begin{aligned} \frac{dU_g}{dt} &= \left(\frac{P^*}{RC_g} - T_o \right) \sum_{j=1}^c \bar{c}_{g,j} (F_{N_{j,g},\text{in}} - \frac{N_{jg}}{V_g} F_{V,g,\text{out}} + J_{N_{j,g}}) \\ &= \left(\frac{P^*}{RC_g} - T_o \right) \sum_{j=1}^c \bar{c}_{g,j} \frac{dN_{jg}}{dt}. \end{aligned} \quad (24)$$

382 Integration of (24) allows us to write

$$U_g = \left(\frac{P^*}{RC_g} - T_o \right) \sum_{j=1}^c \bar{c}_{g,j} N_{jg} + U_{o,g},$$

383 where the integration constant $U_{o,g}$ corresponds to the internal energy of the
 384 gas phase at temperature T_o . To demonstrate the theorem, note that we can
 385 rearrange the previous equation to show that the pressure of the gas phase
 386 is fixed at the desired set-point

$$P^* = RC_g \left(T_o + \frac{U_g - U_{o,g}}{\sum_{j=1}^c \bar{c}_{g,j} N_{jg}} \right) = RC_g T_g = P_g. \quad (25)$$

387 □

388 Linearizing the closed loop system, following the procedure established
 389 in Section 4, we conclude that the pressure controller stabilizes the system.
 390 The eigenvalues for the closed loop system are presented in Table 4.

λ_1	-10.0036
λ_2	-10.0003
λ_3	-1.1954
λ_4	-1.1111
λ_5	-1.1415×10^{-3}
λ_6	-2.5×10^{-10}

Table 4: Eigenvalues for the closed loop linearized model.

391 6. Concluding remarks

392 In this paper, we have shown that macroscopic-based descriptions allow to
 393 address the modelling and control aspects of irreversible multiphase systems.

394 Using a local stability analysis, we concluded that the obtained model, see
395 Equations (2)-(5), predicts the existence of unstable modes for a thermody-
396 namic equilibrium steady state. Finally, we demonstrated that it is possible
397 to stabilize the model through a pressure feedback control structure. The
398 introduction of mechanical effects in the description of the system, Equation
399 (13), allows to describe the volumetric outflow as a function that depends on
400 the state variables. We have shown that the volumetric outflow is uniquely
401 described as a function of the state. In addition, it has been discussed on
402 how establishing an initial condition and fixing the inputs of the dynamic
403 model is equivalent to fix the degrees of freedom of the system. The mod-
404 eling methodology here presented is focused on describing on a liquid-gas
405 system. Note however, that it can easily be extended other class of fluid
406 multiphase systems by changing interface description presented in Equations
407 (4)-(5).

408 In this paper we have explored the stability properties of a steady state,
409 see Table 2, in irreversible multiphase system. Nevertheless the authors
410 believe that, as a consequence of the nonlinearities introduced in the local
411 equilibrium relation (5), there exists the possibility for multiple stationary
412 states to occur. Exploring the relations between the thermodynamic equi-
413 librium model and the steady state multiplicity represents a fruitful line for
414 future research. The steady state presented in Table 2 corresponds to a ther-
415 modynamic equilibrium state. When the inflows are not at thermodynamic
416 equilibrium there exists a stationary state which is far from equilibrium. It
417 should be remarked that the dynamic properties of such steady state are not
418 known at the present time and should be explored in the immediate future.

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501 **Appendix A. Ideal gas at equilibrium**

502 All the physical properties of an ideal gas at equilibrium can be written
 503 as functions of the thermodynamic coordinate (P, T, V) , temperature T (K),
 504 pressure P (Pa), and chemical potential μ (J/mol). For an ideal gas with
 505 one chemical component at thermodynamic equilibrium, concentration and
 506 chemical potential are given by

$$C = P/TR \tag{A.1a}$$

$$\mu = -Ts_o + RT(c_p^*) - RT \ln \left(\left(\frac{P_o}{P} \right) \left(\frac{T}{T_o} \right)^{c_p^*} \right) \tag{A.1b}$$

507 where C (mol/m³) is the concentration of the gas, s_o (J/mol·K) is the entropy
 508 computed at a reference state (P_o, T_o) , and $c_p^* = c_p/R$ is the dimensionless
 509 isobaric heat capacity of the gas. The extensive variables (N, H) for an ideal
 510 gas can be determined as

$$N = V/C \tag{A.2a}$$

$$H = Nh_o + c_p(T - T_o) \tag{A.2b}$$

511 where N (mol) stands for the molar holdup of the system, H (J) represents
 512 the enthalpy, and h_o is the molar enthalpy at the reference temperature T_o .

513 **Appendix B. Local Equilibrium**

514 A macroscopic system can be understood as the interconnection between
 515 individual cell-subsystems. Each cell being big enough to be considered as
 516 a macroscopic system by itself, but small enough to be at thermodynamic
 517 equilibrium. A system where such partition exists is said to be locally at ther-
 518 modynamic equilibrium. The local equilibrium assumption restricts systems
 519 to operate through processes whose evolution can be completely described
 520 without any explicit introduction of molecular effects [27].

521 Let $\tilde{\phi}$ represent the density per unit of volume of a property ϕ in a macro-
 522 scopic system. Let $\Delta\phi = \lambda|\nabla\phi|$ represent a spatial variation of the property
 523 over a distance λ caused by a gradient $\nabla\phi$. The system is locally at ther-
 524 modynamic equilibrium if there exist a characteristic length λ such that
 525 $\Delta\phi/\phi \ll 1$, where $\phi := \tilde{\phi}\lambda^3$ represents the property measured in a cell of
 526 length λ [19]. In addition, a time varying process is said to be locally at
 527 thermodynamic equilibrium if exists an intrinsic relaxation time scale τ for
 528 the system such that macroscopic fluctuations vanish within cells much faster
 529 than the overall time evolution of the system τ_{ev} , that is $\tau \ll \tau_{ev}$ [19].

530 **Appendix C. Parameters**

$k_{1,g}$	8×10^{-2} m/s
$k_{1,l}$	2×10^{-4} m/s

Table C.5: Mass transport parameters taken from [20]

λ_{gi}	100 J/s·K
λ_{li}	500 J/s·K
λ_g^*	0 J/s·K
λ_g^{**}	0 J/s·K
λ_l	600 J/s·K

Table C.6: Energy transport parameters adjusted from [8]

531
532

* Parameter for open loop simulation.
 ** Parameter for closed loop simulation.

A_1	23.402 Pa
A_2	23.196 Pa
B_1	3593 K·Pa
B_2	3816 K·Pa
C_1	-34.29 K
C_2	-46.13 K
A_{12}	0.4648
A_{21}	0.8517

Table C.7: Thermodynamic equilibrium parameters taken from [20]

Total volume	V_Ω	1 m ³
Interface area	A_i	1 m ²
Outflow cross section area	A_{out}	1 m ²

Table C.8: Geometric parameters