

How to Describe Workflow Information Systems to Support Business Process

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Abstract

This paper addresses a methodology for developing the various user interfaces (UI) of a workflow information system (WIS), which are advocated to automate business processes, following a model-centric approach based on the requirements and processes of the organization. The methodology applies to: 1) integrate human and machines based activities, in particular those involving interaction with IT applications and tools, 2) to identify how tasks are structured, who perform them, what their relative order is, how they are offered or assigned, and how tasks are being tracked. For this purpose, workflow is recursively decomposed into processes which are in turn decomposed into tasks. Each task gives rise to a task model whose structure, ordering, and connection with the domain model allows the automated generation of corresponding UIs in a transformational approach.

1. Introduction

Inside organizations, business processes are performed to ensure that work progress towards accomplishment of goals. The organization of work, both within and between companies, is becoming more and more complicated. This is why (computerized) information systems have been developed to support the management of processes and their coordination. Today Information Systems (IS) are part of the organizations with the mission of: 1) improving organizational performance and individual quality work life and 2) providing international leadership and education in the successful management and use of information technology to achieve business objectives [9]. An information system creates value for the organization as an organizational and management solution to challenges posed by the environment. Nevertheless, they have not had the impact expected on the improvement to perform the tasks on the organizations. Workflow is an excellent way to meet this need. Defined as “the automation of a business process, in whole or part, during which documents, information or tasks are passed from one par-

ticipant to another for action, according to a set of procedural rules” [26]. Workflow Information Systems (WIS) cover the application of information technology to business problems. Its primary characteristic is the automation of processes involving combinations of human activities with information technology applications. Owing to the fact that the users of a IS interact with it through its user interfaces (UIs) in the pursuit of organizational goals, flexibility in creating them is therefore important. We will explore a systematic way to define UIs for a WIS. The workflow model defines what processes and tasks need to be fulfilled and their possible ordering; hence the workflow model is a ‘framework’ for creating task model, hence the task model is suitable for developing UIs.

A short introduction to task and workflow modeling is given in section 2, and a modeling language is provided. Section 3 presents the underlying conceptual model of the framework. Section 4 describes our approach to develop UIs. Section 5 provides a case study. Finally, in section 6 we conclude and give some notes on future work.

2. State of the art

The introduction of Workflow Information Systems in organizations has emerged as a major advantage to provide them with a competitive advantage, as a way to trim cost, to automate process, to reduce time. Implemented properly, workflow applications enable companies to reengineer and streamline business processes; for this reason, the interest in workflow systems has grown dramatically over the last years. A number of approaches have been used to model business processes and workflows; those include notations, languages, and software tools. As notations there are Petri Nets [20, 21], Statecharts Diagrams [25, 28], Business Process Modeling Notation (BPMN) [13, 27], and UML Activity Diagrams [4]. Currently, several models and design methods support the development of complex workflow-based applications providing notations for describing rich business process including tool support for designing, for instance: The Progression Model [18], YAWL [23], Microsoft Windows Work-

flow Foundation (WWF) [5], WebSphere® MQ Workflow (IBM) [7], WIDE [3], ARIS [17] among others. Due to the large amount of existing workflow products, especially all the commercial software that are equipped today with many different capabilities, we came to a point where it is very difficult to compare these capabilities on a common scheme. For this purpose, a collection of workflow patterns has been identified that provide the basis for an in-depth comparison of commercially available workflow systems. Control-flow patterns [22] identified useful basic routing constructs such as sequence, parallel split, synchronization, exclusive choice. From a data perspective, there is a series of characteristics that occur repeatedly in different workflow modeling paradigms. Workflow data patterns [15] are aimed at capturing the various ways in which data is represented and used in workflows. Workflow resource patterns [16] correspond to the manner in which tasks are allocated to resources, that is any entity that is capable of achieving some work unit.

All these approaches for workflow modeling involved in some way the task concept. However none of these methods clearly specify the boundaries of a task model and user task which is essential to the development of usable UIs. Examples of task modeling languages are:

Hierarchical Task Analysis (HTA)[1], Task Knowledge Structure (TKS) [8], GroupWare Task Analysis (GTA) [24], and ConcurTaskTrees (CTT)[14] which all support designers by hierarchically decomposing tasks, defining objects manipulated and the role responsible for performing the task. The vast number of task modeling notations results in semantic and syntactic differences which are discussed in [11].

Task models play an important role in UI design because they support the systematic representation of the user activity as opposed to the system activity.

Model-based user interface design is intended to assist in designing user interfaces with a more formal computer supported methodology; there are solutions to developing UI that are based in eXtensible Markup Language (XML). UsiXML [10, 19] is a XML-compliant markup language capturing the essence of what a UI is or should be independently of physical characteristics. It has been selected as the User Interface Description Language (UIDL) to be used in the remainder of this work because of its capabilities of extensiveness, availability, central storage of models, and its transformational approach.

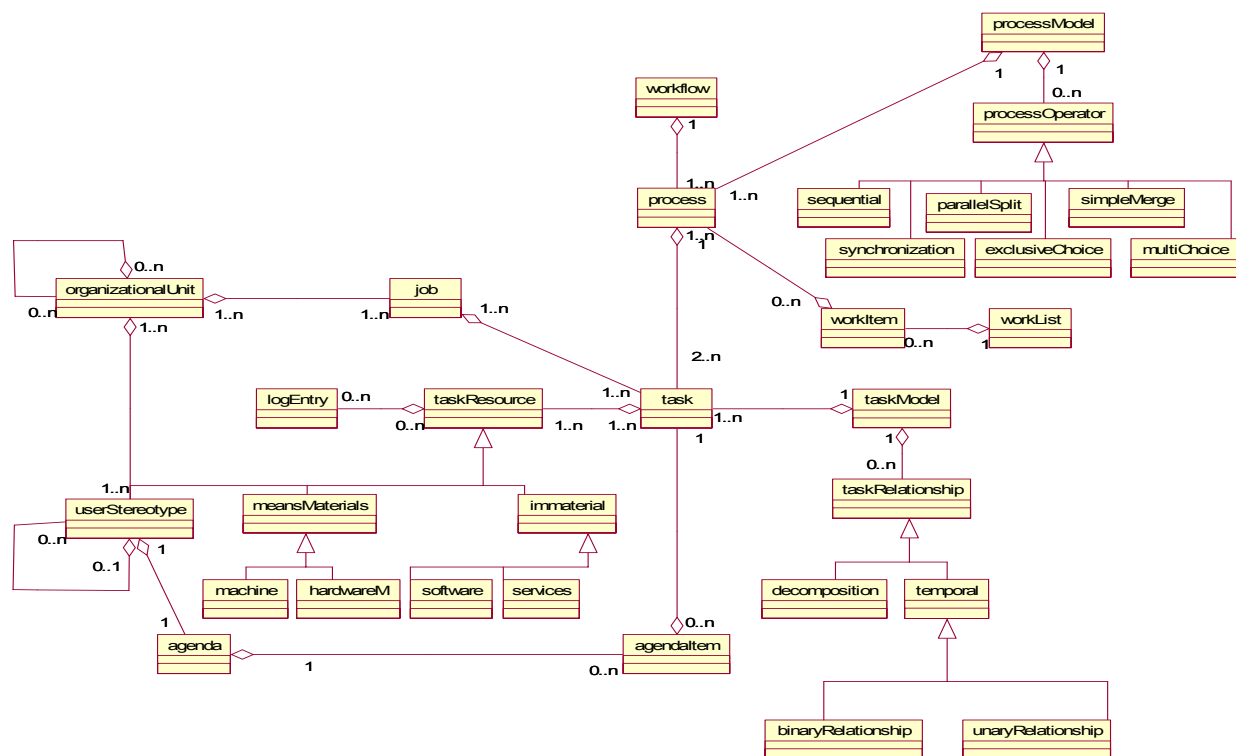


Figure 1 Partial view of the Workflow Model.

3. Conceptual Modeling of Workflow Information Systems

After reviewing the different approaches to model business process and workflow, we propose a framework (Figure 1) that considers the principal components to model workflow. The intention is to use this model as a base to develop UIs. By exploiting task model descriptions, different scenarios could be conducted. Each scenario represents a particular sequence of actions that can successfully be performed to reach a goal. Task models do not impose any particular implementation so that user tasks can be better analyzed without implementation constraints. This kind of analysis is made possible because user tasks are considered from the point of view of the users need for the application and not how to represent the user activity with a particular system.

The underlying conceptual model is composed of: workflow, process, task, and organization models. The workflow model is recursively decomposed into processes which are in turn decomposed into tasks. A process model indicates the ordering of processes in time, space, and resources. Each process gives rise to a process model structured and ordered with process operators. Process operators determine whether the flow of work is sequential, parallel split, exclusive choice or multiple choices, with the corresponding merger operators, synchronization and simple merge. A process model uses these operators to order tasks. The work list allows workflow manager to view and manage the tasks that are assigned to resources. A task model represents a decomposition of tasks into sub-tasks linked with task relationships. We propose an organizational model that is composed of: organizational unit, job, resources, and agenda. An organizational unit is a formal group of people working together with one or more shared goals or objectives. Each task could be supported thanks to a resource model, in which three types of resources can be found: human resources (i.e. a user stereotype), material resources (e.g., hardware, network, machines), and immaterial resources (e.g., software, operating system). Each resource typically fulfills one or more jobs. The agenda displays the tasks in a tabular format, it enables users to organize and manage their personal work.

Transformations are applied in cascade through the workflow layers using a mapping model. In order to support the mapping between the layers, predefined relationships were used: reification, decomposition, isExecutedIn, etc. In this section, only an overview of this concept is provided, for the complete definition of the semantics and the syntax, we refer to [6].

4. How to Generate the User Interfaces

To develop UIs, we propose a method that is compliant with the Cameleon Reference Framework [2] for developing multi-target UIs, which is decomposed in four steps (Figure 2):

- 1) Task and domain modeling (Computing Independent Model in MDA): a model is provided for the end user's task, the domain of activity and, if needed, the context of use (user, computing platform, and environment).
- 2) Abstract User Interface modeling (Platform Independent Model in MDA): this level describes UIs independently of any interaction modality and any implementation.
- 3) Concrete User Interface modeling (Platform Specific Model in MDA): this level describes a potential UI after a particular interaction modality has been selected (e.g., graphical, vocal, multimodal). This step is supported by several tools helping designers and developers to edit, build, or sketch a user interface.
- 4) Final User Interface: this level is reached when the UI code is produced by interpretation or compiled.

As we mention in section 2, UsiXML has been selected as the UIDL. It describes at a high level of abstraction the constituting elements of the UI of an application: widgets, controls, containers, modalities, interaction techniques, etc. UsiXML supports device independence: a UI can be described in a way that remains autonomous with respect to the devices used in the interactions such as mouse, screen, keyboard, voice recognition system, etc. Language provides a means of communication; linguistics—the science of languages—can also be applied to programming languages. By this reason, we introduced the abstract syntax with “*enriched*” *directed graph*. That is to say an identified, labeled, typed, constrained graph. Finally we presented the stylistics in a graphical representation for the model presented in Figure 1 which relies on icons.

Model-based user interfaces design often starts with a task model that is evolved through an incremental approach to the final UI. For instance, in Figure 2 a task that is no further divided is represented with an Abstract Individual Component (AIC). For each AIC a facet (input, output, control, navigation) and transformational rules are applied. The next step consists in code generation from a CUI to obtain a FUI. For the complete definition of the method, we refer to [19].

As we said before, the aim of this work is to generate the UIs of a workflow information system from its specifications (provided that they address the relevant aspects) and the different constructs that link the tasks and the users together.

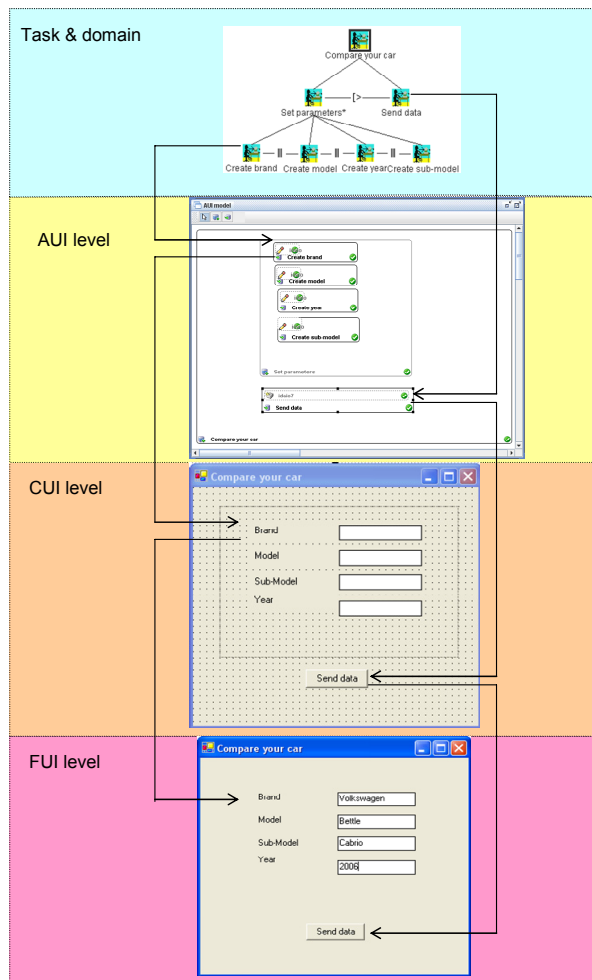


Figure 2 Steps to derive UIs from task models.

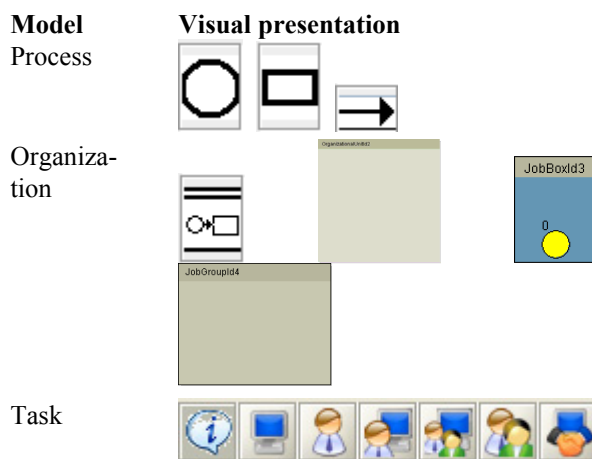


Figure 3 Stylistic representation

5. Case Study and Tool Support

Tool support. In order to support the development of UIs from a workflow model to a task model, a workflow editor has been developed. This editor allows modeling the general workflow defining processes and tasks models, defining organizational units, jobs and resources involved, allocation of tasks to resources, and to manage the flow of tasks. The task model is based on [12].

Case study. The case study analyzes how people organize the program of small conferences by using a review tool. To organize a conference it is necessary to identify the tasks that will be done, the jobs and resources which will be involved. These tasks will be assigned to each job taking into account the role that each of them will play in the workflow.

After the specification of the process to follow, the tasks identified are: find the program committee, prepare and distribute the call for paper, install and configure conference tool, find keynotes speakers, submit papers, organize submission and reviewing process, assign papers to reviewers, review papers, give feedback (accept or reject papers), organize discussion to take final decisions, announce results, edit proceedings, compose program, and attend to the conference and present the paper. Table 1 resumes the principal tasks and the jobs in charge of develop them. Also, the identification of resources that are available for doing the work and where they are working, i.e. the organizational unit (Table 2) was specified. Author resources are named as A-1, A-2, and A-3 because we do not know who will be interested in submit a paper.

Table 1. Tasks and jobs identification.

ID	Task	Job		
		Organizer	Reviewer	Author
1	Find the program committee	x		
2	Prepare the call for paper	x		
3	Distribute the call for paper	x		
4	Install conference tool	x		
5	Configure conference tool	x		
6	Find keynotes	x		
7	Submit paper			x
8	Organize submission	x		
9	Organize reviewing process	x		
10	Assign papers to reviewers	x		
11	Download paper		x	

	assigned			
12	Review paper		x	
13	Ask reviewers for preferences	x		
14	Give feedback (accept or reject papers)		x	
15	Organize discussion	x		
16	Take final decision	x		
17	Announce results	x		
18	Prepare final submission			x
19	Edit proceedings	x		
20	Compose program	x		
21	Attend the conference and present the paper			x
22	Edit final proceeding	x		

Table 2. Resource and organizational unit identification.

Resource	Job	Organizational unit
Chloé Lambin	Organizer	UL
Jacques Khelil	Organizer	UL
Ellen Martin	Organizer	UL
Angela Buker	Organizer	UL
Steve Geller	Reviewer	Reviewer's university
Rachel Walsh	Reviewer	Reviewer's university
Dylan Leitz	Reviewer	Reviewer's university
A-1	Author	Author's university
A-2	Author	Author's university
A-3	Author	Author's university

Within the workflow editor, we represent each organizational unit, i.e. UL, Reviewer's university, and Author's university (Figure 4).

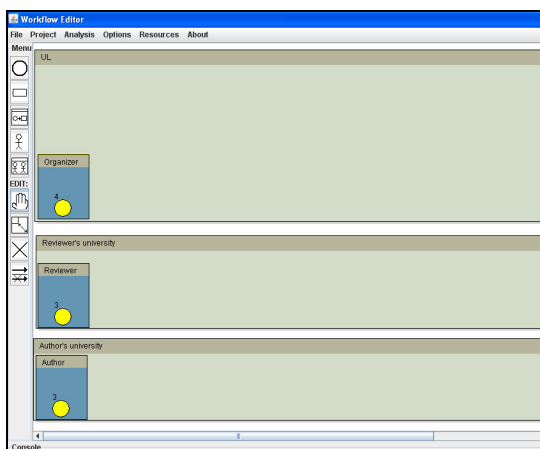


Figure 4 Specification of organizational units

After, we specify the different jobs and resources involved in the organization of the conference. It is possible to define some attributes of the job, as the specification, family, grade, and privileges (Figure 5).

Figure 5 Specification of jobs' attributes

Also, we specify other attributes for the resource (worker): the level of experience, hierarchy level (Figure 6), as requirements that the resource needs to fulfill. The number of resources available for each organizational unit can be specified once the resources have been entered (small dots with a number in Figure 4).

Figure 6 Specification of resource's attributes

After the identification of tasks, jobs, and resources, tasks are allocated or offered to resources in different ways: direct allocation, delegation, history-based allocation, among others. This assignation (Table 3) is elaborated after the analysis of the characteristics

(qualifications, skills, abilities, experience, and hierarchy level) of each resource and considering the requirements of each task. For instance, *Install conference tool* task was assigned to *Ellen Martin* because she is *computer engineering*.

Table 3. Assigning tasks to resources.

Task	Job	Resource	Pattern
Find the program committee	Organizer	Chloé Lambin	Direct allocation
Prepare the call for paper	Organizer	Jacques Khelil	Capability based
Distribute the call for paper	Organizer	Jacques Khelil	Retain familiar
Install conference tool	Organizer	Ellen Martin	Capability based
Configure conference tool	Organizer	Ellen Martin	Retain familiar
Find keynotes	Organizer	Chloé Lambin	Capability based
Submit paper	Author	A-1, A-2, A-3	Deferred
Organize submission	Organizer	Jacques Khelil	History based
Organize reviewing process	Organizer	Chloé Lambin	Capability based
Assign papers to reviewers	Organizer	Angela Buker	Hierarchy level based
Download paper assigned	Reviewer	Steve Geller, Rachel Walsh, Dylan Leitz	Direct allocation
Review paper	Reviewer	Steve Geller, Rachel Walsh, Dylan Leitz	Direct allocation
Ask reviewers for preferences	Organizer	Chloé Lambin	Retain familiar
Give feedback (accept or reject papers)	Reviewer	Steve Geller, Rachel Walsh, Dylan Leitz	Capability based
Organize discussion	Organizer	Chloé Lambin	Direct allocation
Take final decision	Organizer	Angela Buker	Capability based
Announce results	Organizer	Jacques Khelil	Distribution by allocation single resource
Prepare final submission	Author	A-1, A-2, A-3	Deferred
Edit proceedings	Organizer	Angela Buker	Capability based
Compose program	Organizer	Chloé Lambin	History based
Attend the conference and present the paper	Author	A-1, A-2, A-3	Deferred
Edit final proceeding	Organizer	Angela Buker	Capability based

It is possible to represent those concepts in the workflow editor. First, we select the job and the allocation

type. This step allows specifying if the task will be allocated or offered to an individual resource or to a group of resources, and the time that the task is allocated. After, we select the resource (worker) that will develop the task (Figure 7). As we can observe, task Find the program committee is directly allocated to Chloé Lambin, who plays the job of organizer.

In the same way, all the identification of jobs, resources, and tasks allocation is specified.

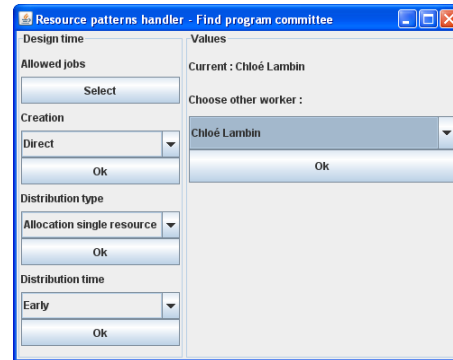


Figure 7 Selecting the resource.

Once workflow components have been identified and specified on the workflow editor, we can detail in deep the steps to carry out task using task models. To execute the task Submit paper, it is necessary to develop a series of sub-task in order to accomplish the task, i.e. the author needs to log in on web page, the system display the web site where the author fill out his personal data and submit his article, the systems gives a feed back so the author knows if there is an error or not with the file uploaded (Figure 8).

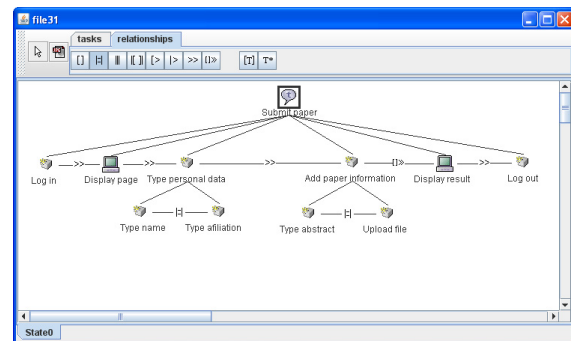


Figure 8 Representing a task model

The final workflow model is shown in Figure 9. Now, from task models we derive the UIs of the workflow information system using the method proposed in section 4.

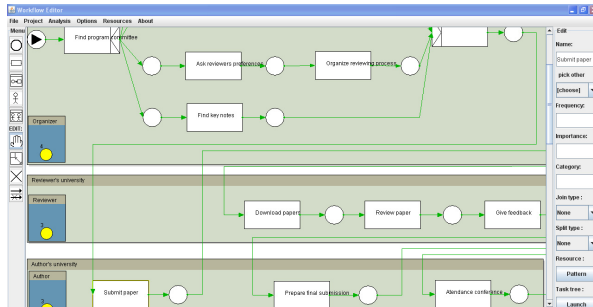


Figure 9 Workflow to organize a conference

To get the various UIs in this case study, a set of transformation rules were applied [19]. The intention is to generate the UIs for each interactive task involved in the workflow; considering that if the workflow changes, these changes will be reflected in an automatic way in each UI.

Figure 10 User interface example

As we mention on section 3, it is necessary to advertise to resources about the tasks that are available or allocated. For instance, tasks assigned to Chloé Lambin, who plays the job of organizer, are listed in her agenda (Figure 11).

Figure 11 Agenda

In order to control how the work is flowing, which tasks are in execution or were finished, the workflow manager has his own work list (Figure 12). The purpose of the manager work list is to control how tasks

are been developed, if there is a “bottle neck”, to know the status of each task, if the deadline is close, if a task is delegated, etc.

Task	Resource	State
Find the program committee	Chloé Lambin	No started
Prepare the call for paper	Jacques Khell	No started
Install conference tool	Ellen Martin	No started
Assign papers to reviewers	Angela Buker	No started
Submit paper	A-1, A-2, A-3	No started

Figure 12 Work list

6. Conclusion

This paper has addressed a methodology for developing the various user interfaces of a workflow information system, which are advocated to automate business processes, following a model-centric approach based on the requirements and processes of the organization. So far, the focus has been put mostly on the workflow information systems to support business process. For this purpose, a conceptual modeling approach integrates the following concept defined through a meta-model: workflow, process, task, domain, job definition, organizational structure, and resources. These concepts along with their attributes have been integrated in UsiXML V1.8, the last version available of UsiXML today. A transformational approach has been followed to progressively decompose the workflow model into processes which are in turn decomposed into tasks. From each task model, transformational rules were applied in order to generate the different UIs involved in the workflow. A workflow editor-manager tool has been developed to support the method enactment. The major benefit of the above method is that all the design knowledge required to progressively move from a workflow specification to its corresponding UIs is expressed in the model and the mapping rules. As future work, usability guidelines will be applied in the generation of UIs, workflow analysis methods will be taken into account. A case study has been reported and summarized to demonstrate the feasibility of this approach. This method has been so far validated on 4 real-world case studies (e.g., a hospital dept., a triathlon organization, a cycling event, and personalized order of compression stockings over Internet). More information, including a video demo of the software can be found at <http://www.usixml.org/index.php?mod=pages&id=40>.

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