

Gene alterations in epigenetic modifiers and JAK-STAT signaling are frequent in breast implant-associated ALCL.

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Abstract:

The oncogenic events involved in breast implant-associated anaplastic large cell lymphoma (BI-ALCL) remain elusive. To clarify this point, we have characterized the genomic landscape of 34 BI-ALCLs (15 tumor, 19 *in situ* subtypes) collected from 54 BI-ALCL patients diagnosed through the French *Lymphopath* network. Whole exome sequencing ($n=22$, with paired tumor/germline DNA) and/or targeted deep sequencing ($n=24$) showed recurrent mutations of epigenetic modifiers in 74% of cases, involving notably *KMT2C* (26%), *KMT2D* (9%), *CHD2* (15%) and *CREBBP* (15%). *KMT2D* and *KMT2C* mutations correlated with a loss of H3K4 mono- and tri-methylation by immunohistochemistry. Twenty cases (59%) showed mutations in at least one member of the *JAK/STAT* pathway including *STAT3* (38%), *JAK1* (18%), *STAT5B* (3%) and in negative regulators like *SOCS3* (6%), *SOCS1* (3%) and *PTPN1* (3%). These mutations were more frequent in tumor-type than *in situ* samples ($p=0.038$). All BI-ALCLs expressed pSTAT3, regardless of the mutational status of genes in *JAK/STAT* pathway. Mutations in *EOMES* gene (12%) involved in lymphocytes development, *PI3K-AKT/mTOR* (6%) and loss of function mutations in *TP53* (12%) were also identified. Copy number aberration (CNA) analysis identified recurrent alterations including gains on chromosomes 2, 9p, 12p and 21 and losses on 4q, 8p, 15, 16 and 20. Regions of CNA encompassed genes involved in *JAK/STAT* pathway and epigenetic regulators. Our results show that BI-ALCL genomic landscape is not only characterized by *JAK/STAT* activating mutations but also loss-of-function alterations of epigenetic modifiers.

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Gene alterations in epigenetic modifiers and JAK-STAT signaling are frequent in breast implant-associated ALCL.

Short title: BI-ALCL genomic landscape

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Key points

- Whole exome sequencing of a large series of BI-ALCL demonstrates recurrent mutations in epigenetic regulators.
- An accumulation of alterations in epigenetic modifiers and genes in the *JAK/STAT* pathway likely drives BI-ALCL oncogenesis.

Abstract

The oncogenic events involved in breast implant-associated anaplastic large cell lymphoma (BI-ALCL) remain elusive. To clarify this point, we have characterized the genomic landscape of 34 BI-ALCLs (15 tumor, 19 *in situ* subtypes) collected from 54 BI-ALCL patients diagnosed through the French *Lymphopath* network. Whole exome sequencing ($n=22$, with paired tumor/germline DNA) and/or targeted deep sequencing ($n=24$) showed recurrent mutations of epigenetic modifiers in 74% of cases, involving notably *KMT2C* (26%), *KMT2D* (9%), *CHD2* (15%) and *CREBBP* (15%). *KMT2D* and *KMT2C* mutations correlated with a loss of H3K4 mono- and tri-methylation by immunohistochemistry. Twenty cases (59%) showed mutations in at least one member of the *JAK/STAT* pathway including *STAT3* (38%), *JAK1* (18%), *STAT5B* (3%) and in negative regulators like *SOCS3* (6%), *SOCS1* (3%) and *PTPN1* (3%). These mutations were more frequent in tumor-type than *in situ* samples ($p=0.038$). All BI-ALCLs expressed pSTAT3, regardless of the mutational status of genes in *JAK/STAT* pathway. Mutations in *EOMES* gene (12%) involved in lymphocytes development, *PI3K-AKT/mTOR* (6%) and loss of function mutations in *TP53* (12%) were also identified. Copy number aberration (CNA) analysis identified recurrent alterations including gains on chromosomes 2, 9p, 12p and 21 and losses on 4q, 8p, 15, 16 and 20. Regions of CNA encompassed genes involved in *JAK/STAT* pathway and epigenetic regulators. Our results show that BI-ALCL genomic landscape is not only characterized by *JAK/STAT* activating mutations but also loss-of-function alterations of epigenetic modifiers.

Keywords

BI-ALCL, *JAK/STAT* pathway, epigenetic modifiers, *in-situ* and tumor subtypes.

Introduction

Breast implant-associated anaplastic large-cell lymphoma (BI-ALCL) is a rare form of T-cell lymphoma arising adjacent to a breast implant, recently recognized as a provisional entity in the 2017 revised World Health Organization (WHO) lymphoma classification.¹ The incidence of BI-ALCL varies from 1/30 000 to 1/1000 women with breast implants²⁻⁵ with approximately 660 cases reported to the FDA.⁴

Most BI-ALCL patients (80%) present with an isolated effusion adjacent to the implant (seroma BI-ALCL) and have an excellent outcome.⁵⁻⁷ In contrast, a minority of patients (20%) present with a breast tumor, which might disseminate outside the breast and have a worse prognosis.⁵⁻⁷ We recently described two BI-ALCL histological subtypes that correlate with the clinical presentations: i) *in situ* BI-ALCL found in patients with seroma, characterized by lymphoma cells lining the capsule border and/or suspended in a serous/fibrinoid material; ii) tumor-type BI-ALCL mostly found in patients with tumor mass, characterized by capsule invasion.^{6,8}

Although BI-ALCLs share a similar morphology and immunophenotype with systemic ALCLs, they lack rearrangements involving *ALK*, *DUSP22*, and *TP63* genes.^{8,9} In a manner reminiscent of systemic ALCL, a few studies using next generation sequencing have pointed towards a putative dysregulation of *JAK/STAT* signalling in BI-ALCL oncogenesis. Recurrent somatic *STAT3* mutations have been indeed observed in 26% to 64% of analyzed cases.^{6,9-15} However, since only two BI-ALCL cases have been thoroughly studied by whole exome sequencing (WES), the mutational landscape of this entity has not yet been reported.

To further explore potential molecular mechanisms involved in BI-ALCL pathogenesis, we have analyzed a large set of 34 BI-ALCL cases by WES and/or targeted deep sequencing (TDS). The mutational landscape of BI-ALCL showed both *JAK/STAT* signalling dysregulation and epigenetic alterations.

Material and methods

Cases selection and tumor samples

Fifty-four BI-ALCL cases (including two BI-ALCL cases from Belgium pathology departments at CHU de Liège and CHU UCL Namur) were collected between 2010 and May 2018 via the French Lymphopath network aiming at providing a systematic review of every newly diagnosed or suspected lymphoma.¹⁶ Among them, 34 cases were selected based on matched germline samples availability and/or tumor DNA quality for downstream genomic

analysis. All these cases were further reviewed by four of the coauthors (CL, AN, PG and LX). They were histologically subclassified as *in situ* or tumor-type BI-ALCL and staged according to the MD Anderson TNM classification^{7,17,18} based on the pathological material submitted to the *Lymphopath* network. Clinical and pathological features are detailed in **Table 1**. Based on H&E sections and appropriate immunostainings a semi-quantitative estimation of tumor cell content was given as $\leq 20\%$, 20-50% and $>50\%$ tumor cells. In addition, 20 cases with available remaining DNA were analyzed for their T-cell receptor (TCR) repertoire profile using a CapTCR-seq NGS technique (see details in Supplemental methods) in order to evaluate the proportion of neoplastic T-cells in comparison to the total T-cell population (number of reads corresponding to the dominant clonal TCR β gene rearrangement among the total number of reads with TCR β gene rearrangements) (**Supplemental Table S1**).

These patients were included in the framework of a T-cell lymphoma consortium (Tenomic), which was approved by the local ethical committee (Comité de Protection des Personnes Ile de France IX 08-009). Informed consent was obtained from patients for the analysis of tumor and germline specimens. Tissue samples were collected and processed following standard ethical procedures (Helsinki Declaration of 1975). Clinical and pathological features of 17 cases have been previously reported.⁸

Immunohistochemistry (IHC)

Immunohistochemical studies were performed on 3 μm -thick FFPE sections using routine protocols on fully-automated platforms (Ventana, Benchmark XT, Tucson, AZ, USA). For diagnostic purpose, the cases were tested for a wide panel of antibodies detailed in **Supplemental Table S2**. To functionally validate the mutations affecting *STAT3* and *STAT5B* genes, antibodies against phospho-STAT3 (clone D3A7; 1:50; Cell signalling; Beverly; USA) and phospho-STAT5 (clone E208; 1:100; Abcam, Cambridge, United Kingdom) were used. The histone H3K4 methylation status was evaluated using antibodies against histone-3 lysine-4 (H3K4)me3 (Tri-methyl Lys4) (polyclonal GTX128954; 1/100; Gene Tex, Irvine, USA) and H3K4me1 (ab8895 clone; 1/500; Abcam, Cambridge, United Kingdom). Computer assisted software was used to score the histone H3K4 methylation status (See details in Supplementary methods).

DNA extraction

Genomic tumor DNA was extracted from 10 μm -thick formalin-fixed paraffin embedded (FFPE) tissue sections using Qiagen QIAamp DNA FFPE Tissue Kit (Qiagen Inc., Valencia,

CA) or Maxwell® 16 FFPE Plus LEV DNA Purification Kit (Promega) according to the manufacturers' recommendations. To enrich in tumor cells content, a macrodissection was performed for 8 cases. For the cases analyzed by whole exome sequencing (WES), the paired germline DNA was extracted, either from peripheral blood (n=14) or from macrodissected non-tumoral FFPE capsular tissue (n=8).

Whole exome sequencing

WES was performed on 22 samples including 12 *in situ* and 10 tumor-type BI-ALCL tissues and their matched germline DNA. DNA libraries were prepared using NEBU ultra V2 and Agilent SureSelect Clinical Research Exome V2. Sequencing was performed on an Illumina HiSeq4000 with an expected mean depth of 200X for the tumor and 70X for the germline. Sequences analysis was performed using Bcbio-Nextgen somatic variant calling pipeline (version 1.0.7a). Sequences were aligned to the human genome (version GRCh37) with the Burrows-Wheeler Aligner software (version 0.7.17). Single-nucleotide variants (SNVs) and indels were detected with the combination of 4 algorithms [Mutect2 version 3.8¹⁹, Vardict version 1.5²⁰, Strelka2²¹ and Freebayes version 1.1.046²²]. Variants were annotated using VEP (version 94) and were filtered as follows: coverage in tumor and corresponding normal samples ≥ 15 , alternative bases coverage ≥ 3 , and variant allele frequency (VAF) $> 2\%$ (ratio between alternative allele reads and total reads). Variants described in 1000 Genomes Project, gnomAD database and ExAC database over 1% of the population were discarded. The calls with reads showing strong bias or present in the majority of the samples were filtered out. All reported variants passed visual inspection using the Integrative Genomics Viewer.

Copy number aberrations (CNA) analysis

Analysis of CNA for paired tumor-normal whole exome sequenced samples was performed using FACETS algorithm.²³ Critical value for segmentation was set to 150. Other parameters remain the same as default values. To estimate the status calling, we extracted the estimated total copy number (under column tcn.em) and the ploidy from the segmentation files produced by FACETS. We also estimated the genotype to identify regions of copy-neutral loss of heterozygosity (cnLOH). Amplification was defined as more than 4 DNA copies.

Targeted deep sequencing (TDS)

To validate WES results, 24 BI-ALCL (12 *in situ* and 12 tumor-type cases) were analysed using a TDS approach, with 500X average depth (497X [265-698X]). Twelve of them were initially analysed by WES and 12 additional cases were used. The 406 genes FoundationOne Heme panel

used includes genes previously reported to be mutated in T-cell lymphomas, members of cytokine signaling and of T-cell receptor signaling pathways (https://assets.ctfassets.net/vhribv12lmne/zBxaQC12cScqgsEk8seMO/a70860fea48927c7ff8b70e90c292182/F1H_TechnicalInformation.pdf).

Reads were aligned on human genome (GRCh37) with BWA (version 0.7.17). Variant calling was done with Vardict (version 1.5) and GATK Haplotype Caller (GATK 3.8) algorithms. They were filtered on their allele frequency (VAF > 2%), on strand bias, on their depth (>50), and on the depth of the alternative allele (>3). Variants were annotated with VEP (version 94). The variants presented in a pool of normal based on whole exome sequencing analysis were discarded. Variants known as polymorphic were eliminated (variants known in 1000 Genomes or ExAC or GnomAD with a frequency greater than 1%). Only the variants associated with a frameshift, in-frame indel, start/stop codon change, missense change were kept. The sequence alignments for all JAK/STAT variants were visually inspected and artefacts excluded.

Results

Clinical data and pathological features

Patients' age at diagnosis ranged from 39 to 82 years. Thirty-two breast implants were textured and the remaining two cases were of unknown status. Seventeen patients had experienced 2 or more implant surgeries before BI-ALCL diagnosis with a median interval time between last implant surgery and BI-ALCL diagnosis of 7.3 years (range 6 to 273 months). Twenty-three patients (70%) were Ann Arbor stage I/II and 30% were stage IV. Regarding the histological subtype, 19 patients had an *in situ* BI-ALCL (T1 stage according to MD Anderson TNM staging^{7,17,18} and 15 a tumor-type BI-ALCL (4 corresponding to T2, 4 to T3, 6 to T4 stage and 1 non-evaluable). The main clinical and pathological characteristics of the 34 BI-ALCL patients are given in **Table 1**. By immunohistochemistry, all cases were strongly and uniformly positive for CD30 and showed incomplete T-cell phenotype, with a common activated cytotoxic profile. Neoplastic cells were often positive for EMA (90%) and ALK1 was consistently negative. Most patients had a favorable outcome except 3 patients who died of lymphoma progression (cases #13, #18 and #37).²⁴

Genomic landscape of BI-ALCL

WES was performed on 22 paired tumor/normal samples. The mean sequencing depth was 131X (84-153X) for the tumor and 75X (47X-145X) for the germline DNA. All but three BI-ALCLs showed somatic mutations in genes considered as relevant to oncogenesis, according to our bioinformatics filtering. In total, we identified 1248 non synonymous somatic mutations involving 1118 genes after variant filtering. SNV represented 86% of the mutations (1069 SNV for 979 genes). Among them, missense mutations were the most frequent alterations (88%) followed by nonsense (7%) and splice-site mutations (4%) (**Supplemental Figure S1A**). Indels represented 14% of somatic mutations (179 mutations involving 153 genes), including 74% frameshift and 26% inframe insertions or deletions. Among single-nucleotide mutations, G>T and C>A transversions were the most common (56%), followed by G>A and C>T transitions (30%), consistent with similar observations in other cancers and lymphomas.²⁵ The mean count of non-synonymous somatic alterations was 57 with a minimum of 9 and a maximum of 193 (See **Supplemental Table S3** for the complete list of mutations). The mutational landscape of BI-ALCL was remarkably homogeneous with enriched clusters among *JAK-STAT*, epigenetic modifier genes, and cell cycle or apoptosis pathways (**Supplemental Figure S1B**).

JAK/STAT pathway activation in BI-ALCL

Most common alterations observed in BI-ALCL samples involved members of the *JAK/STAT* pathway, found in 64% ($n=14/22$) of WES analysed cases. *STAT3* and *JAK1* were the most frequently mutated genes, accounting for 41% ($n=9/22$) and 18% ($n=4/22$) of cases, respectively. These were followed by *SOCS3* (9%), *SOCS1* (5%), *PTPN1* (5%) and *STAT5B* (5%). Interestingly, these mutations were not mutually exclusive and co-occurrence of mutations in two genes of the *JAK/STAT* pathway was found in 4 cases (**Supplemental Figure S1B**). All *STAT3* mutations were missense mutations and occurred at p.S614R, p.G618R, p.D661Y and p.I659L hotspots. Except one nonsense mutation (p.R174*), *JAK1* mutations were missense and related to the p.G1097D/V/C/S hotspots. All these aberrations have been already shown to be gain-of function mutations resulting in *JAK/STAT* pathway activation in various types of T cell lymphoma.^{26,27}

Highly recurrent alterations in epigenetic modifiers in BI-ALCL

We detected frequent mutations of epigenetic regulators and histone-modifiers. Genes encoding H3K4 methyltransferases such as *KMT2C* (p.S3213L and p.K2797Rfs*26) ($n=4$, 18%) or *KMT2D* (p.P2781T) ($n=1$, 4.5%) were the most frequently mutated, followed by mutations of genes coding for chromodomain helicase DNA-binding proteins ($n=5$, 23%) such as *CHD2* (p.G870R, p.P1796S, p.E1242K) (**Supplemental Figure S1B**). These missense and frameshift

indel mutations were predicted to be deleterious, conducting to impairment of protein function. Besides, we found nonsense or missense mutations in other epigenetic genes previously described as frequently mutated in T- and B-cell lymphomas such as *TET2* (p.E149*), *DNMT3A* (p.Q678*) and *CREBBP* (p.L1825I).²⁸⁻³²

Other pathways involved in BI-ALCL

Genomic alterations in the *PI3K* signalling pathway were observed in 14% of cases and included point mutations of *PTPN11* (*n*=2) and *PIK3CG* (*n*=1) genes. In 18% of cases, *EOMES*, known to be involved in lymphocyte development, showed deleterious inframe insertion-deletion. One patient harboured missense mutation in *CARD11* (p.S621F), involved in TCR signalling. As to genes involved in cell cycle control and apoptosis, 2 patients carried mutations in *TP53* (p.R282W and p.C238Y) and 3 showed inframe deletion in *TSC22D1* (p.Q509del) acting as a tumor suppressor through apoptosis induction. None of the samples showed germline mutation of *TP53* (**Supplemental Figure S1B**).

Copy number aberrations in BI-ALCL

All 22 samples were analysed for CNA profiling, but CNA estimation from WES data was successful for 8 samples (2 *in situ* and 6 tumor-type BI-ALCL cases) whereas it was not evaluable in the remaining 12 samples probably due to degradation of DNA from FFPE samples and/or low proportion of neoplastic cells. The mean WES coverage was satisfying in CNA informative cases as well as in those with non-informative CNA (139 X [113-192]; and 129 X [83.5-153]; respectively). Four out of the 8 interpretable cases were polyploid (3 triploids, one tetraploid). All analyzed BI-ALCL genomes showed multiple aberrations and most genomes were complex. CNA analysis showed more losses than gains (61% vs 39%). Recurrent CNAs were gains on chromosomes 2, 9p, 12p and 21 and losses on 4q, 8p, 15, 16 and 20 (**Supplemental Figures S2A and S2B**). The main gain region on chromosome 2 (:173,929,213-176,957,814) encompassed *CDCA7* which is known to contribute to *MYC*-mediated tumorigenesis.³³ Genes of the *JAK/STAT* pathway including *JAK1*, *STAT3* and *STAT5B* were affected by CNAs, with concomitant gene mutations in some cases (detailed in **Supplemental Figure S2C**). Several regions of loss and copy-neutral loss of heterozygosity (cnLOH) encompassed *JAK/STAT* inhibitors and epigenetic regulators (**Supplemental Figure S2C**). Loss of the 17p region harbouring *TP53* was detected in 3 cases, with concomitant *TP53* mutation in 2 cases.

Targeted deep sequencing

In order to validate and further expand our WES data, we performed TDS in 24 BI-ALCL cases, including 12 additional cases. The 12 double-screened cases showed a perfect concordance (**Figure 1A**). This extended BI-ALCL cohort confirmed the high rate of mutations targeting the *JAK/STAT* pathway, since at least one member of this pathway was mutated in 50% of the 12 additional cases. These recurrent mutations were observed in *STAT3* ($n=3/12$), *JAK1* ($n=1/12$), *STAT5B* ($n=1/12$), whereas one case harboured concurrent *STAT3* and *JAK1* mutations. Furthermore, 66% ($n=8/12$) of the analysed cases carried one or several deleterious mutations in epigenetic modifiers, like *KMT2C* (25%), *CHD2* (17%) and *CREBBP* (17%).

Altogether, 74% of the entire cohort of 34 BI-ALCLs sequenced by WES and/or TDS, contained alterations of epigenetic regulators and histone-modifiers, whereas mutations involving the *JAK/STAT* pathway were found in 59% of the cases (**Figure 1B**). Somatic mutations in regulators of the cell cycle and apoptosis were less common (26%) including *TP53* (12%) and *PI3K/AKT* (6%). Other altered pathways included lymphocyte development or TCR signalling (26%). A linear depiction of the protein domains of frequently mutated genes is shown in **Figure 2 and Supplemental Figure S3**.

Immunohistochemistry

Using IHC, we have investigated the consequences at the protein level of mutations targeting *STAT3*, *STAT5B*, *KMT2C* and *KMT2D* (**Figure 3**). In this respect, 20 BI-ALCL cases with available material, including 15 *STAT3* wild-type and 5 *STAT3* mutated cases were analyzed using an anti-pSTAT3 antibody. All tested cases exhibited a strong nuclear positive staining indicating the presence of the phosphorylated form of STAT3 in lymphoma cells regardless of the mutational status (**Figure 3A and 3B**). Six cases including the 2 *STAT5B* mutated BI-ALCL (case #6 and #18) were also tested for the phospho-STAT5 (p-STAT5) expression. The two *STAT5B* mutated cases showed a strong nuclear expression of p-STAT5 in tumor cells, whereas the wild type *STAT5B* cases were negative (**Supplemental Figure S4**). In addition, as *KMT2C* and *KMT2D* take part in H3K4 trimethylation, staining using anti-H3K4me3 and H3K4me1 antibodies was performed in available *KMT2C/D* wild-type and mutated cases. As illustrated in **Figure 3C-F**, *KMT2C/D* wild-type BI-ALCLs disclosed H3K4me3 or H3K4me1 nuclear positivity in most of neoplastic cells (**Figure 3C and 3D**), whereas tumor cells of *KMT2C/2D* mutated cases were negative for H3K4me1 and me3 antibodies or showed a weak staining as

compared to reactive cells (**Figure 3E and 3F**). Automated quantification of the H3K4me1 and H3K4me3 staining further confirmed a significant difference between *KMT2D/2C* mutated and *KMT2D/2C* wild-type cases with a higher percentage of tumor cells negative and/or with a lower expression of H3K4me3 and H3K4me1 staining in mutated cases ($n=4$ and $n=6$, respectively) than in unmutated ones ($n=8$ and $n=4$, respectively) ($p < 0.0398$ and $p < 0.0086$, respectively) (**Supplementary Figure S5**).

Correlation between genetic alterations, T-cell repertoire, tumor cell content and pathological features

Fisher exact test was used to compare the mutational profiles of the two BI-ALCL subtypes. Across all the samples, there was no significant difference of the total number of detected mutations and in the number of mutations involving the epigenetic modifiers between these two subtypes. However, tumor-type cases showed a higher rate of mutations in members of *JAK/STAT* pathway as compared to *in situ* samples across all the samples (80% vs 42% of cases; $p=0.038$). Particularly, *STAT3* was significantly more mutated in tumor-type than *in situ* cases ($n=9/15$ vs $n=4/19$; $p=0.034$). In addition, tumor-type cases were more often mutated in genes controlling the cell cycle than *in situ* cases ($n=7/15$ vs $n=1/19$, respectively; $p=0.025$). A tendency for higher genomic complexity (i.e. total number of breakpoints) in tumor-type than *in situ* samples (mean count 118 [82-194] and 76 [73-78], respectively) ($p=0.06$) was seen. When samples were categorized according to the percentage of tumor cells ($\leq 20\%$, 20-50% and $>50\%$ of tumor cells per sample) determined by optical evaluation, there was no significant difference in terms of number of overall mutations as well as in mutations in the *JAK/STAT* pathway between the 3 categories. In an attempt to determine more precisely whether the number of alterations was related or not to the tumor cell content, we compared genetic alterations (VAF of the dominant pathogenic mutation) with the tumor cell content analyzed by the TCR repertoire using CapTCR-seq NGS. We overall found that the proportion of the dominant T-cell clone estimated by TCR-seq was well-correlated with the percentage of tumor cells evaluated by pathological examination (Spearman correlation $s=0.6$) (**Supplemental Table S1**). Considering the VAFs of the dominant pathogenic variant, the correlation with the dominant clonal TCR β rearrangement was moderate (Spearman correlation $s=0.51$). Indeed, whereas several cases – mostly tumor-type (7/9) – displayed high values of both the dominant pathogenic mutation and the dominant T-cell clone (VAF above half of TCR value), others – mainly *in-situ* BI-ALCLs (6/11) – disclosed low VAF of the dominant mutation compared to the dominant T-cell clone (VAF below half of TCR value), a finding which suggests the

possibility that the pathogenic mutation might belong to a sub-clone in these later cases (**Supplemental Table S1 and Supplemental Figure S6**).

Discussion

Chronic inflammation and subversion of cytokine receptors signalling was previously suspected to play a role in BI-ALCL pathogenesis. Our results strongly support this hypothesis, since about 60% of our cases harboured somatic mutations in at least one member of the *JAK/STAT* cascade, among which *STAT3* and *JAK1* were the most frequently mutated genes. This is in keeping with the known *STAT3* activation in BI-ALCL cell lines, with concomitant high levels of cytokines such as IL6 and IL10.³⁴ We also observed constant nuclear expression of p*STAT3* in all BI-ALCL cases regardless of their mutational status. Since *STAT3* mutations have been shown to increase the phosphorylation of *STAT3* in response to IL-6,³⁵ overexpression of cytokines and/or somatic mutations might be responsible for constitutive *STAT3* activation in BI-ALCL.

This pathogenic mechanism appears not BI-ALCL specific since *STAT3* activation is known to occur in systemic ALCLs. *JAK/STAT* activation in BI-ALCL may be exacerbated since the tumor occurs in an enclosed space in which cytokines can reach high levels due to chronic inflammation. Furthermore, 15% of our cases exhibited co-occurring mutations in two genes of the *JAK/STAT* pathway. Such double hits could potentialize *JAK/STAT* signalling in BI-ALCL. It was indeed previously demonstrated *in vitro* that double *JAK1/STAT3* mutants could act synergistically to amplify *STAT3* activation and sustain cell transformation.²⁵ Likewise, the observed mutations in *JAK/STAT* negative regulators and deletions in chromosomal regions containing these genes might further boost BI-ALCL growth.

In our series, 74% of cases showed deletions or inactivating mutations targeting *KMT2C*, *CHD2*, *CREBBP* and *KMT2D*. The relevance of epigenetic alterations has been already emphasised in other T-cell lymphomas such as those of TFH origin, hepatosplenic T-cell lymphoma, ALCLs, intestinal T cell lymphoma, peripheral T-cell lymphoma, NOS (PTCL, NOS) and extranodal NK/T-cell lymphoma.^{15,30,31,36-40} These latter malignancies, however, harbour mutations of the *TET2*, *IDH2*, *DNMT3* and *SETD2* genes, which were either absent or uncommon in our series (see **Supplemental Figure S7 and Supplemental Table S4**).

KMT2D and *KMT2C* are members of the MLL (mixed lineage leukemia) methyltransferase family involved in the methylation of H3K4, an important process regulating gene

transcription.^{41,42} So far, hundreds of *KMT2C* and *KMT2D* mutations have been identified, making them among the most frequently mutated genes in human cancers.^{41,42} Our results are in keeping with recent reports depicting alterations of these two genes in T-cell lymphomas like Sézary syndrome, extranodal NK/T-cell lymphoma and PTCL, NOS.^{40,43-45} Loss of MLL proteins function is known to affect cell differentiation and proliferation programs.⁴⁶ Accordingly, we observed an absence or decrease of H3K4 methylation in *KMT2C* or *KMT2D* mutated BI-ALCL cases compared to wild-type cases. By contrast to the high frequency of missense mutation affecting the *STAT3* gene, *KMT2* genes were more often targeted by non-sense or frameshift mutations. This could be explained by the fact that *STAT3* mutations (especially in its kinase domain) are known to induce oncogenic activation^{25,47} whereas *KMT2* mutations in the C-terminal portion (including the SET domain-containing lysine methyltransferase) are potentially inactivating, with subsequent loss of KMT2 protein reducing the methyltransferase activity.^{48,49} We could also detect frequent mutations in other genetic modifiers such as *CHD2*, *CREBBP* and *HDAC* family members.

Altogether, we report here that the genomic profiling of BI-ALCL identifies common alterations in genes of the JAK-STAT pathway and in epigenetic modifiers. Most of the mutations observed in BI-ALCL have been reported in other PTCL subtypes,^{25,36,37,40,50-55} though at variable frequencies (**Supplemental Figure S7**). However, the limited number of systemic or cutaneous ALCL cases with available sequencing data reported so far^{25,52} precludes definitive conclusion as to putative differences between the mutational landscape of BI-ALCL and other ALK-negative, systemic or cutaneous ALCL. Further larger studies are warranted to compare the genetic landscape of these different ALCL subsets.

TP53 germline mutations in BI-ALCL have been recently reported.¹⁴ We could not identify such mutations in our cases, although *TP53* somatic mutations were present in 12% of cases and were associated with loss of the corresponding 17p region in 2 cases, whereas 17p loss was detected in another non-mutated case. These data suggest a significant impact of *TP53* defect on BI-ALCL growth.

Five BI-ALCL cases studied by both WES and/or TDS did not show pathogenic mutations. For 2 samples with low tumor cell content, which also lacked *TCR* rearrangements, we cannot exclude a false negative result. In the 3 remaining samples, we could ensure enrichment in tumour cell content by macrodissection and detect a monoclonal *TCR* rearrangement. The lack of mutations in these cases suggests that other mechanisms could contribute to *STAT3*

activation. This is consistent with our observation that all tested BI-ALCLs expressed pSTAT3, regardless to their mutational status. However, the possibility of minor subclones below the detection threshold of our sequencing approach cannot be totally ruled out.

Such an alternative mechanism is also supported by the finding of *STAT3* being less frequently mutated in *in situ* than in tumor-type cases. This suggests a continuum of *JAK/STAT* pathway activation ranging from cytokine hypersecretion to *JAK/STAT* mutations. High cytokine levels could be solely responsible for some *in situ* lesions, whereas the aggressive tendency of tumor-type cases may be underline by mutant *JAK/STAT* clones. In accordance with this hypothesis, TCR repertoire profile analysis indicated that in most tumor-type samples, the dominant pathogenic mutation was present in the majority of neoplastic T-cells.

To conclude, this genomic characterization of the largest BI-ALCL series reported to date not only confirms the key role of the *JAK/STAT* pathway, but also highlights the importance of epigenetics in BI-ALCL pathogenesis. Compounds targeting these molecular alterations, which are already being explored in systemic ALCL and other T-cell lymphomas, might be thus considered in the future to treat the small proportion of BI-ALCL patients with aggressive refractory disease.

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Authorship Contribution

Ca.L., A.N., V.F., N.A., P.B., L.X. and P.G. collected data; Ca.L., A.N., Ce.L., L.X. and P.G. analysed data and made the figures; Ca.L., A.N., Ce.L., P.B., L.X. and P.G. designed the research and wrote the paper; Ca.L., A.N., Ce.L., J.A., D.B., N.M., F.X.F, L.L, A.B.F, M.H.D, N.P., L.X. and P.G. performed experiments; Ce.L., F.E. and B.T. provided expert statistic analysis; C.H, M.A, F.L.B, L.O., J.M.S., F.R., [RB](#) and M.B. provided patient samples and clinical information; C.L., A.N., LX., P.B., P.G., A.T.G., M.P.C., L.M., A.S.H.P, A.M., F.L., C.C.C and J.S. provided samples for analysis.

Conflicts of interest disclosure

The authors declare that we have no conflict of interest.

Data Sharing Statement

For original data, please contact laurent.c@chu-toulouse.fr

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Abbreviations:

BI-ALCL, Breast implant-associated anaplastic large cell lymphoma; WES, whole exome sequencing; TDS, targeted deep sequencing; CNA, copy number aberrations; IHC, immunohistochemistry.

Table legend:

Table 1. Main clinical characteristics and histological features of 34 BI-ALCL patients.

Figure legends:

Figure 1. Mutational landscape of BI-ALCL. (A) Whole-exome sequencing and/or targeted deep sequencing in 34 BI-ALCL. The heatmap of most significantly mutated genes is ordered according to biological pathways. (B) Functional pathways involved in BI-ALCL genomic abnormalities. The percentages refer to the frequency of alterations in the respective pathways.

Figure 2. Mapping of protein variants produced by frequently mutated genes. Protein domains of STAT3, JAK1, KMT2C and KMT2D were annotated according to PFAM database.

Each variant is represented by a colored circle with missense mutation in blue, nonsense mutation in red, frameshiftIndel mutation in pink and inframeIndel in light pink colors.

Figure 3. pSTAT3 and H3K4me3 immunostaining in BI-ALCL. Representative cases of *STAT3* wild-type (**A**) and *STAT3* mutated (**B**) BI-ALCL showing nuclear expression of pSTAT3 in tumor cells regardless of the mutational status (**A** x200; **B** x100). Representative cases of *KMT2C/2D* wild-type BI-ALCLs showing strong nuclear expression of H3K4me3 (**C**, x400) and H3K4me1 (**D**, x400) with similar intensities in lymphoma cells and reactive surrounding cells. By contrast, the nuclear H3K4me3 staining in a case of *KMT2C* mutated BI-ALCL was weaker in tumoral cells than in reactive cells such as neutrophils (**E**, x200). Similarly, the nuclear H3K4me1 staining was lost in most neoplastic cells of a *KMT2D* mutated BI-ALCL case (**F**, x200).

Table 1. Main clinical characteristics and histological features of 34 BI-ALCL patients.

N°	Age (years)	BI-ALCL histological subtype (T component of MD Anderson TNM staging)	Implant characteristics			Ann Arbor staging	Therapy (implant removal/CT/RT)	Overall Survival in months (Outcome NED/DOD/DOC)	T cell clone (% tumor cells per sample)	Exome sequencing by WES/TDS
			Number of implant surgeries prior to BI-ALCL diagnosis (reason for implant)	At least one macro-textured of implant (yes or no)	Delay (years) from implant to BI-ALCL diagnosis (delay from first implant)					
2	42	<i>in situ</i> (T1)	2 (cosmetic)	yes	10.5y (20y)	I	implant removal + RT	56.48 (NED)	yes (≤20%)	WES
5	54	<i>in situ</i> (T1)	NA (cosmetic)	NA	9 y (NA)	IE	NA	NA	NA (20%-50%)	WES
6	62	<i>in situ</i> (T1)	2 (breast carcinoma)	yes	0.26y (17y)	IV	implant removal	55.59 (NED)	yes (>50%)	WES
7	76	<i>in situ</i> (T1)	1 (breast carcinoma)	yes	14.23y	I	implant removal	44.91 (NED)	yes (≤20%)	WES + TDS
8	43	<i>in situ</i> (T1)	1 (breast carcinoma)	yes	6.7y	I	implant removal	42.81 (NED)	yes (20%-50%)	WES + TDS
10	61	tumor-type (T4)	4 (cosmetic)	yes	7.2y (17y)	IV	implant removal + CT ¹	77.24 (NED)	yes (>50%)	TDS
11	54	tumor-type (T4)	NA (cosmetic)	yes	3y (NA)	II	NA	NA	yes (>50%)	TDS
13	82	tumor-type (T4)	1 (breast carcinoma)	yes	6.96y	IV	implant removal + CT ¹	10.45 (DOD)	yes (>50%)	WES
14	80	tumor-type (T3)	NA (cosmetic)	yes	8y	IE	implant removal + CT ¹	3 (NED)	NA (>50%)	TDS
15	50	<i>in situ</i> (T1)	NA (cosmetic)	yes	8y	IE	implant removal	NA	yes (≤20%)	TDS
16	77	<i>in situ</i> (T1)	3 (breast carcinoma)	yes	1.23y (NA)	I	implant removal + CT ²	60.29 (NED)	NA (≤20%)	WES
17	74	tumor-type (T4)	2 (breast carcinoma)	yes	8.12y (NA)	IV	implant removal + CT ¹	44.88 (NED)	yes (≤20%)	WES
18	75	<i>in situ</i> (T1)	5 (breast carcinoma)	yes	1.27y (NA)	IV	implant removal + CT ¹	16.39 (DOD)	NA (≤20%)	TDS
19	57	tumor-type (T4)	2 (breast carcinoma)	yes	7.57y (NA)	IV	NA	3.71 (DOC)	yes (20%-50%)	WES + TDS
21	62	<i>in situ</i> (T1)	1 (breast carcinoma)	yes	9.37y	I	implant removal	27.73 (NED)	yes (≤20%)	WES + TDS
22	39	<i>in situ</i> (T1)	1 (cosmetic)	yes	6.48y	I	implant removal	23.95 (NED)	NA (20-50%)	WES
24	62	<i>in situ</i> (T1)	2 (breast carcinoma)	NA	4.57y (NA)	I	implant removal + CT	30.39 (NED)	NA (≤20%)	WES + TDS
26	54	<i>in situ</i> (T1)	1 (cosmetic)	yes	9.88y	I	implant removal	27.17 (NED)	NA (≤20%)	TDS
28	66	tumor-type (T2)	2 (breast carcinoma)	yes	5.36y (NA)	II	implant removal + CT	22.01 (NED)	no (≤20%)	WES + TDS
29	44	tumor-type (T2)	1 (cosmetic)	yes	8.07y	I	implant removal	22,64 (NED)	no (20%-50%)	WES + TDS
30	54	tumor-type (T2)	2 (breast carcinoma)	yes	3.6y (NA)	I	implant removal + CT	25.26 (NED)	no (>50%)	WES + TDS
31	54	<i>in situ</i> (T1)	1 (cosmetic)	yes	5.11y	IV	implant removal	24.67 (NED)	yes (≤20%)	TDS
32	65	<i>in situ</i> (T1)	3 (cosmetic)	yes	4.25y (NA)	I	implant removal	21.32 (NED)	yes (≤20%)	WES
33	40	tumor-type (T3)	1 (cosmetic)	yes	10.12y	IV	implant removal + CT	19.22 (NED)	yes (>50%)	WES + TDS
34	50	tumor-type (T3)	1 (cosmetic)	yes	9.85y	I	implant removal	15.74 (NED)	yes (>50%)	WES + TDS
35	43	tumor-type (Tx)	1 (cosmetic)	NA	12.31y	IV	implant removal + CT	23.49 (NED)	yes (>50%)	TDS
36	72	<i>in situ</i> (T1)	1 (cosmetic)	yes	22.77y	I	implant removal	11.76 (NED)	yes (>50%)	TDS
37	63	tumor-type (T3)	1 (breast carcinoma)	yes	8.74y	I	CT	7.75 (DOD)	NA (>50%)	WES
38	55	<i>in situ</i> (T1)	3 (breast carcinoma)	yes	5.31y (NA)	I	implant removal	13.11 (NED)	yes (>50%)	TDS
42	48	<i>in situ</i> (T1)	2 (cosmetic)	yes	4.88y (NA)	I	implant removal	13.54 (NED)	yes (>50%)	WES + TDS
48	65	tumor-type (T4)	3(cosmetic)	yes	0.5y (NA)	I	implant removal	7.66 (NED)	yes (≤20%)	TDS
50	58	<i>in situ</i> (T1)	2 (cosmetic)	yes	1.89y (11y)	IV	implant removal	NA	no (≤20%)	TDS
Bel1	59	<i>in situ</i> (T1)	2 (NA)	yes	8y (NA)	I	implant removal	18 (NED)	NA (>50%)	WES
Bel2	47	tumor-type (T2)	2 (NA)	yes	6y (13y)	NA	implant removal	22 (NED)	yes (20%-50%)	WES + TDS

Abbreviations note: CT, chemotherapy not specified, CT¹, cyclophosphamide, adriamycin, vincristine and prednisone (6 cures) [CHOP] or [CHOP like]; CT², dexamethasone, high dose aracytine, oxaliplatin [DHAOX]; DOD, dead of disease; DOC, dead from other cause (breast carcinoma); NA, not available; NED, no evidence of disease; RT, radiotherapy; TDS, Targeted deep sequencing; WES, Whole exome sequencing.

A

Alterations

- Nonsense
- Missense
- FrameshiftIndel
- InframeIndel

Pathways

- JAK/STAT Signalling
- Epigenetic Modifiers
- Cell Cycle / Apoptosis
- PI3K/AKT/mTOR
- Others

Sample Type

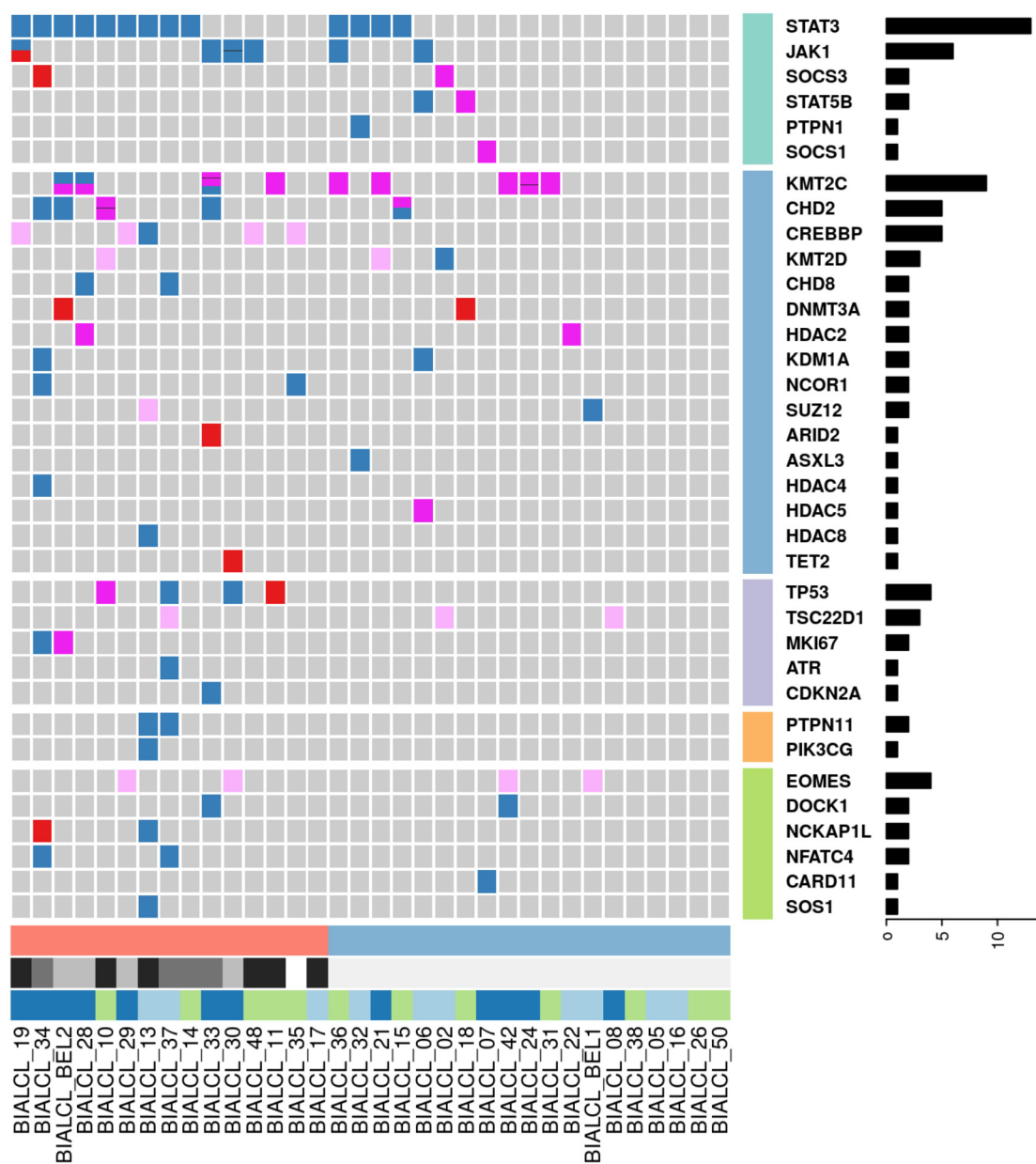
- tumor-type
- in situ

TNM

- T1
- T2
- T3
- T4

Technology

- WES
- WES-Targeted
- Targeted



B

